

Istituto Superiore di Sanità  
III Convegno

# **PREVENIRE LE COMPLICANZE DEL DIABETE DALLA RICERCA DI BASE ALL'ASSISTENZA**

Roma, 16 febbraio 2009

## **Applicazioni cliniche della ricerca in retinopatia diabetica**

Massimo Porta  
Dipartimento di Medicina Interna  
Università di Torino

# FROM BENCH TO BEDSIDE



Ovvero ...

la storia di quattro storie finite in modo inatteso e di una che non si sa ancora come finirà ...

# **Storia n° 1 - Somatostatina**



# **GH/IGF-I Axis and DR**

1952: Sheehan syndrome (post-partum pituitary apoplexy) observed to revert PDR

1950-70: Pituitary ablation effective in arresting progression of PDR

1960-70: Growth hormone levels increased in diabetes

1980-90: Insulin-like growth factor-I (IGF-1) increased in vitreous of eyes with PDR

1980-90: Somatostatin may slow florid PDR

1990- : Role of GH and IGF-1 in angiogenesis

*THE HOUSSAY PHENOMENON IN MAN*

# **Recovery from Retinopathy in a Case of Diabetes With Simmonds' Disease**

*Jacob E. Poulsen, M.D. GENTOFTE, DENMARK*

In a rare but instructive combination of endocrine disorders, nature has made the Houssay experiment in the human being. This has been observed in a small number of cases of diabetes, when damage to the pituitary gland by disease has caused loss of pituitary function with resultant modification of the diabetes. The changes in the ocular complications of diabetes observed in the following case are of special interests.

almost all the hospitals in Copenhagen. From 1936 to 1939, she was admitted 11 times to Steno Memorial Hospital, on each occasion with ketosis and often with infectious complications.

## **CASE REPORT**

A female patient, born in 1915, had diabetes which began in 1924. During childhood and early youth it was very difficult to keep her diabetes under control; she was careless and uncooperative in regard to treatment. She had coma at least 20 times and was admitted to

# IGFs in DR

- Elevated vitreous levels of IGF-I and -II found in patients with diabetic retinopathy<sup>1,2</sup>
- IGF-I actions in the retina
  - Induce leakage across blood retinal barrier<sup>3</sup>
  - Stimulate neovascularization<sup>4</sup>
  - Stimulate contraction of Müller cells<sup>5</sup>
  - Upregulate VEGF expression in retinal pigment epithelial cells
- Inhibition of IGF-I receptor function inhibits neovascularization<sup>6</sup>

1. Grant et al. *Diabetes*. 1986;35:416.; 2. Burgos et al. *Diabetes Care*. 2000;23:80.

3. Hussain et al. *Diabetes*. 1995;44:1209. ; 4. Castellon et al. *Exp Eye Res*. 2002;74:523.

5. Guidry et al. *Diabetes*. 2004;53:2428.; 6. Smith et al. *Nat Med*. 1999;5:1390.

# IGF-I concentrations in Vitreous (Grant et al., 1986)

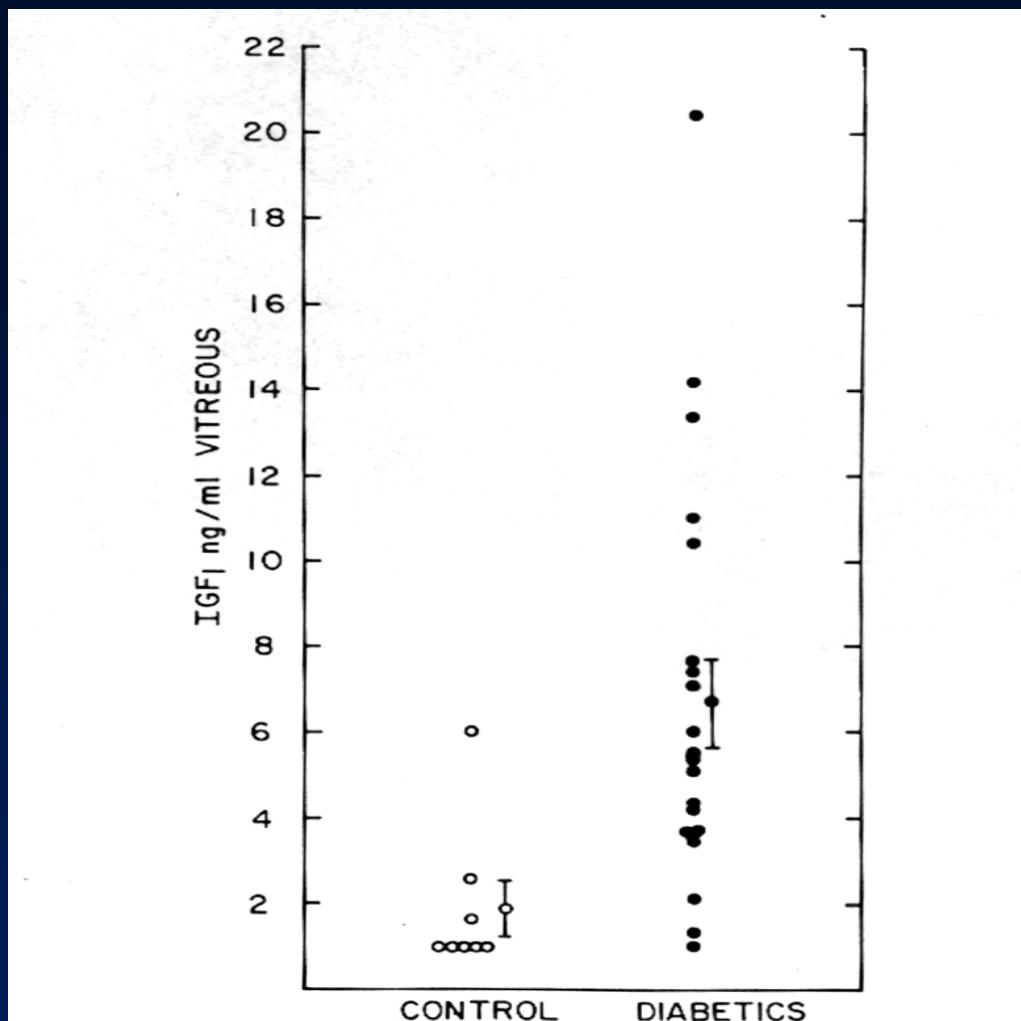
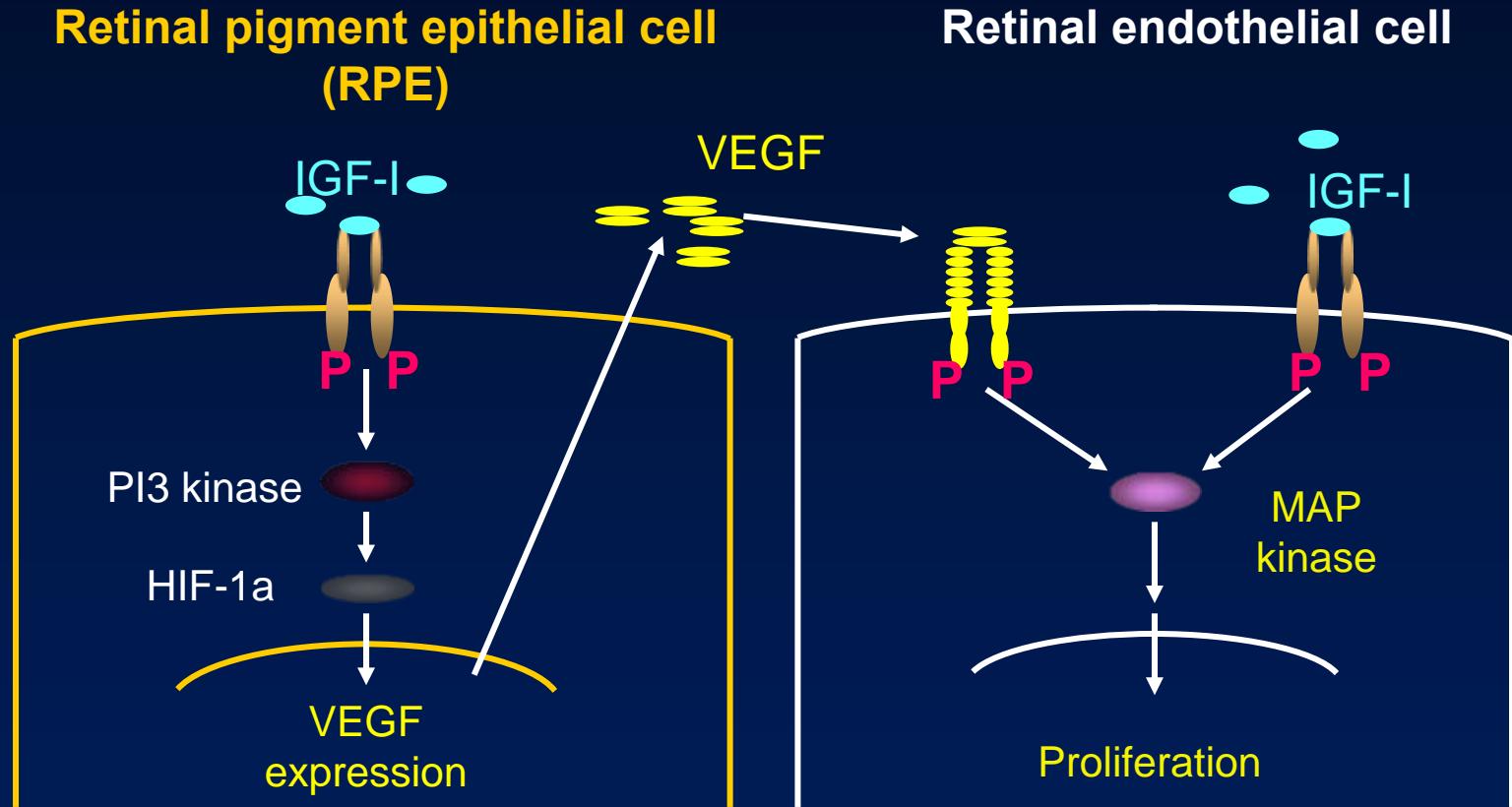


FIGURE 1. IGF-I concentrations in vitreous are shown for control and diabetic subjects. The mean concentration of IGF-I in vitreous from controls is  $2.7 \pm 0.96$  versus  $6.3 \pm 0.13$  ng/ml in diabetic subjects with neovascularization.

## **GH and IGF-I involvement in PDR: *in vitro* data**

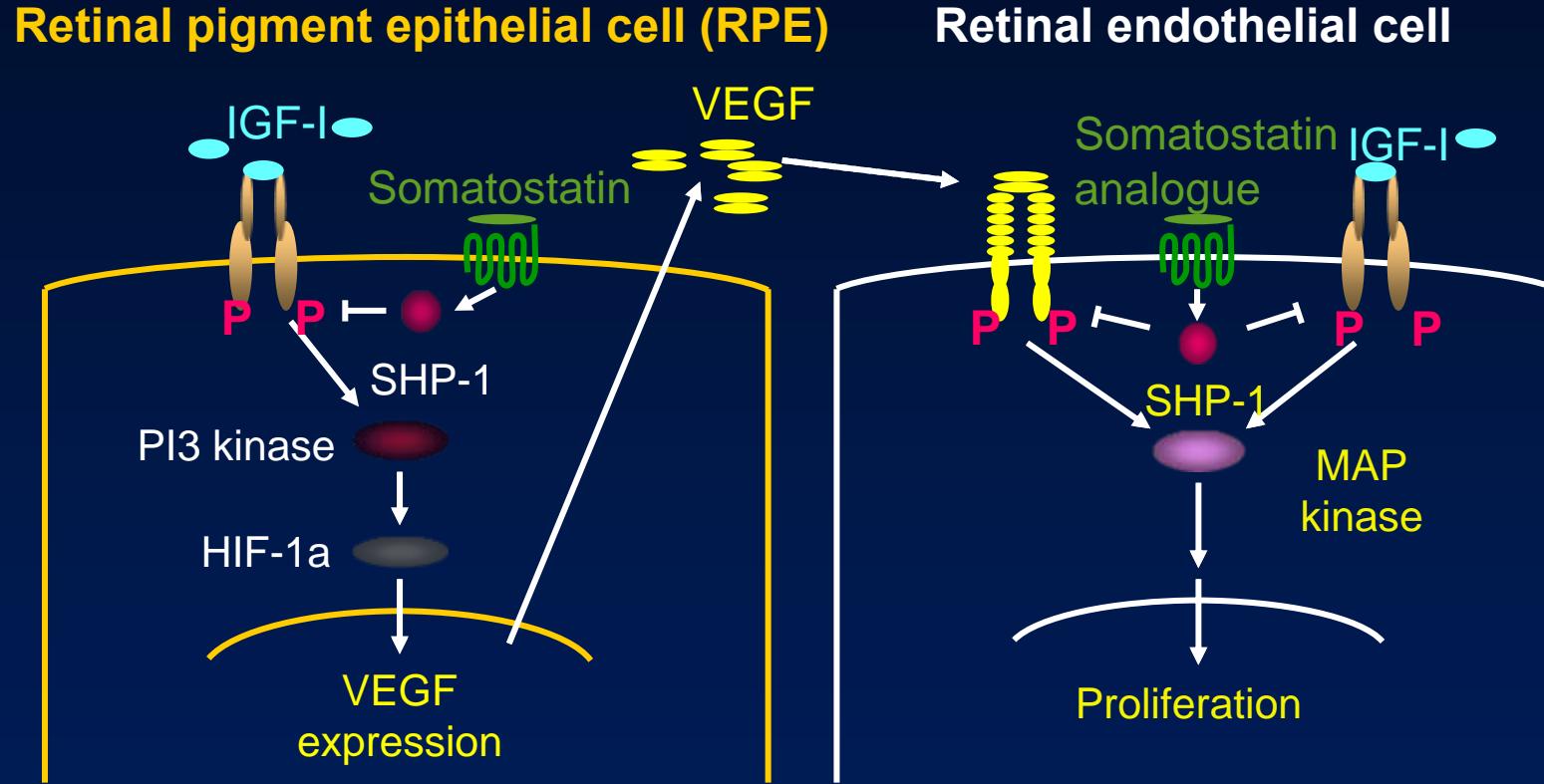
- Receptors for IGF-I on mammalian retinal microvascular cells
- IGF-I induces release of plasminogen activator and increases DNA synthesis in retinal endothelium
- GH can stimulate proliferation of human retinal endothelial cells (HREC)
- Somatostatin receptors present on HREC
- Octreotide inhibits endothelial cell proliferation stimulated by IGF-I or b-FGF

# Stimulation of Endothelial Proliferation by IGF and VEGF



- IGF-I stimulates VEGF production by RPE cells
- IGF-I and VEGF promote neovascularization by endothelial cell proliferation

# Proposed Model for Local Inhibition of Neovascularization by Somatostatin/ Somatostatin Analogues

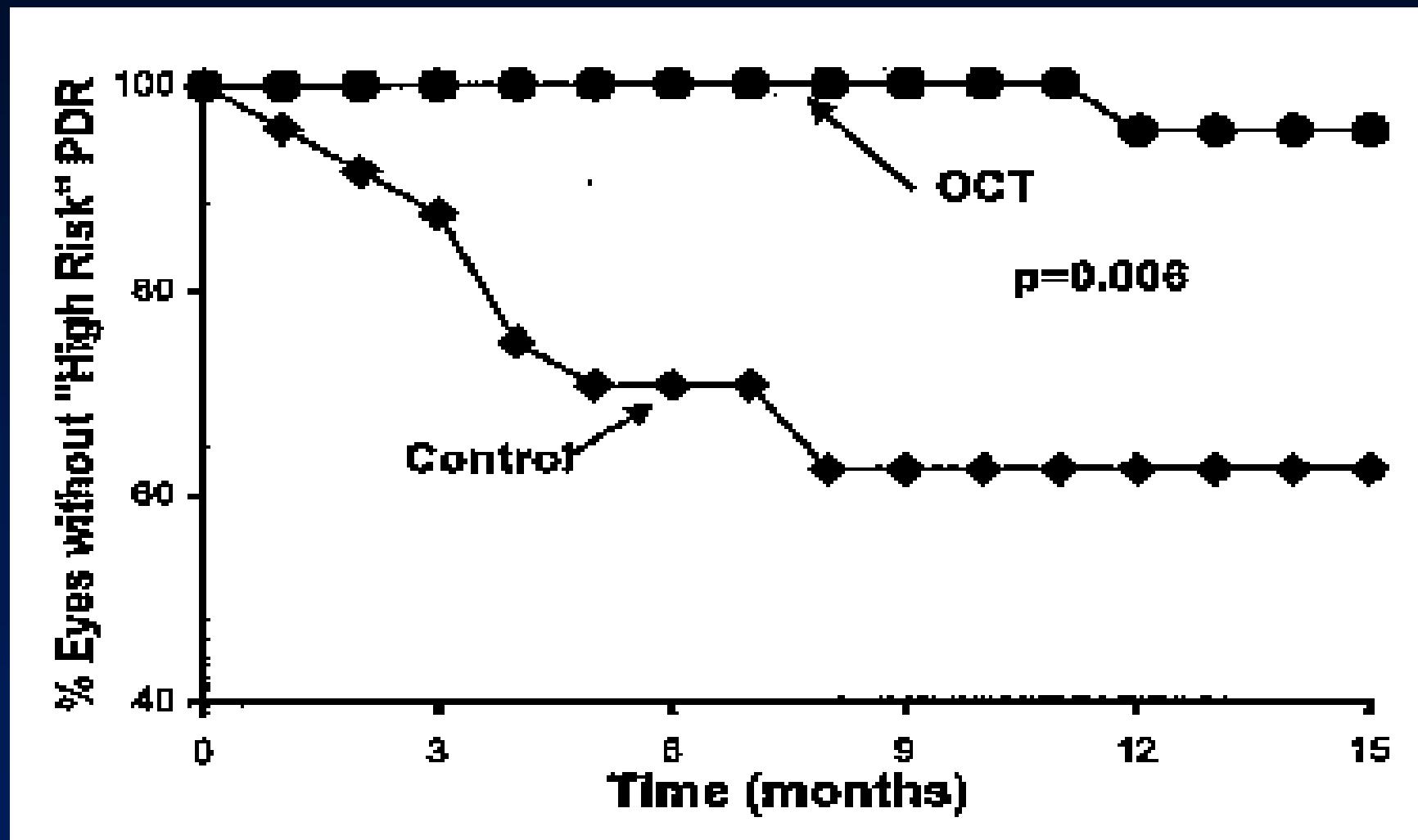


- Somatostatin blocks IGF-I receptor activity and VEGF expression in RPE cells, possibly by activation of SHP-1 tyrosine phosphatase
- Somatostatin blocks IGF-I and VEGF stimulation of endothelial proliferation, possibly by activation of SHP-1

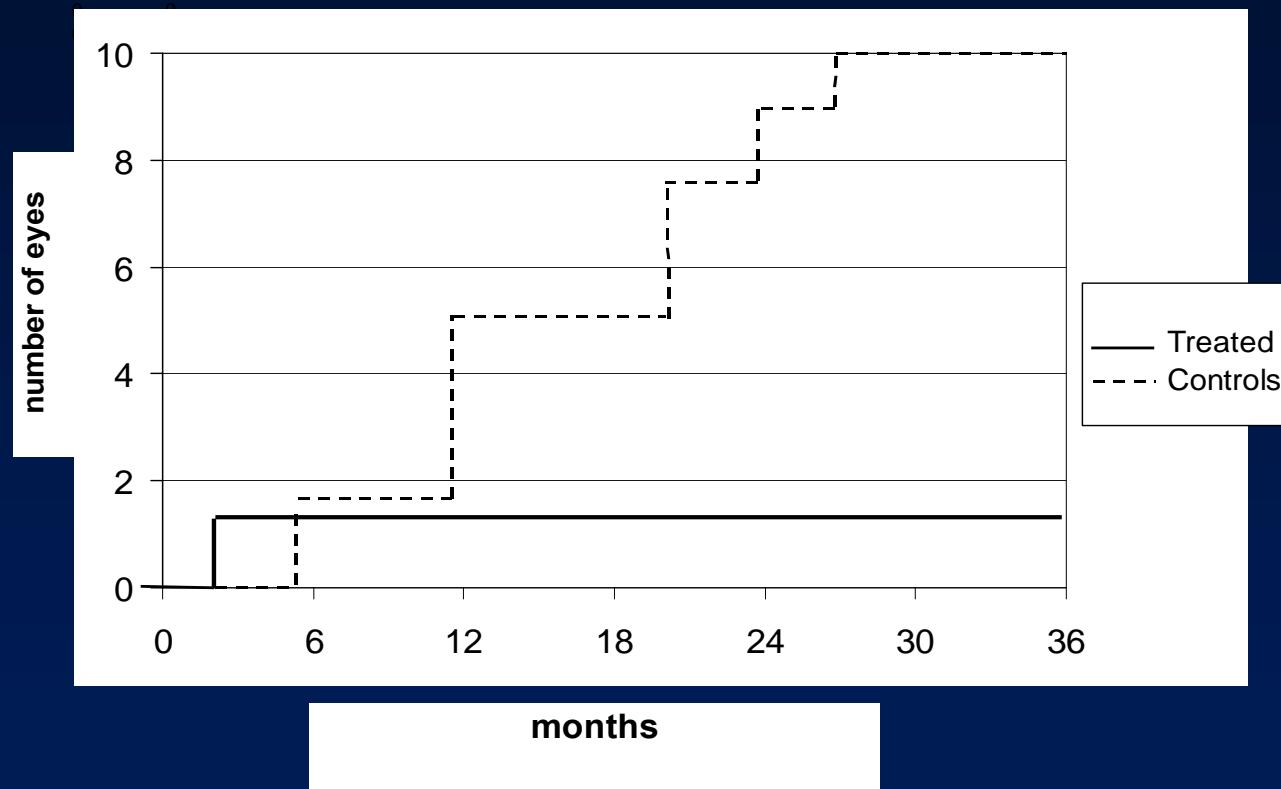
Treins et al. *Mol Endocrinol*. Epub before print. Smith et al. *Nat Med*. 1999;5:1390-1395.

Sall et al. *Exp Eye Res*. 2004;79:465-476. Baldysiak-Figiel et al. *J Endocrinol* 2004;180:417-24.

# Octreotide Reduces Progression to High-Risk PDR



# Octreotide Reduces Risk of Vitreous Hemorrhage in Patients with Prior Laser Photocoagulation



# Octreotide - Phase III Studies

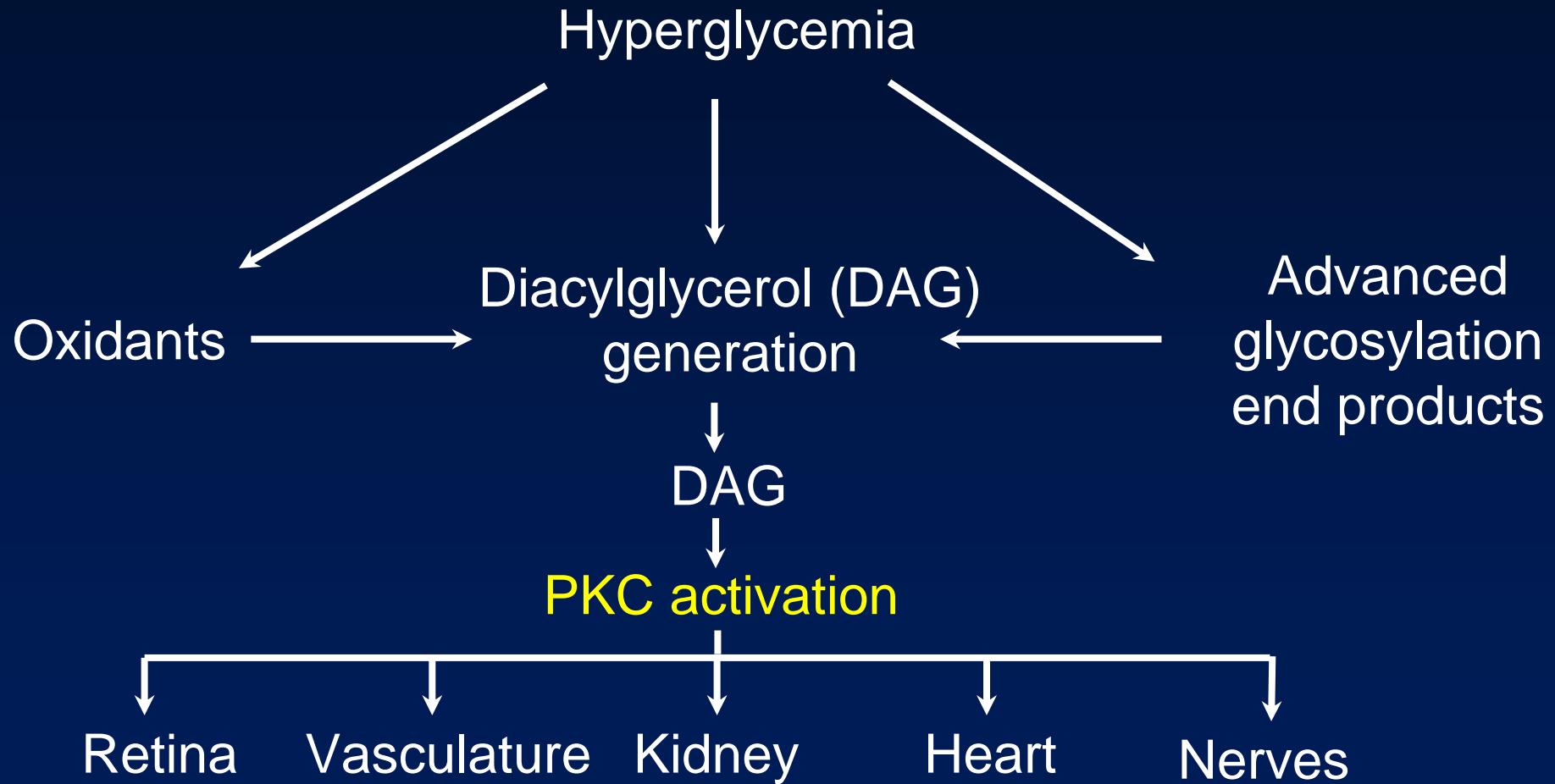
- Study 802  
European; 3 arms  
Sandostatin LAR 20 and 30 mg, Placebo
- Study 804  
American; 2 arms  
Sandostatin LAR 30 mg, Placebo

Status: completed

## **Storia n° 2 - Ruboxistaurina**



# Diabetes-Induced Activation of PKC



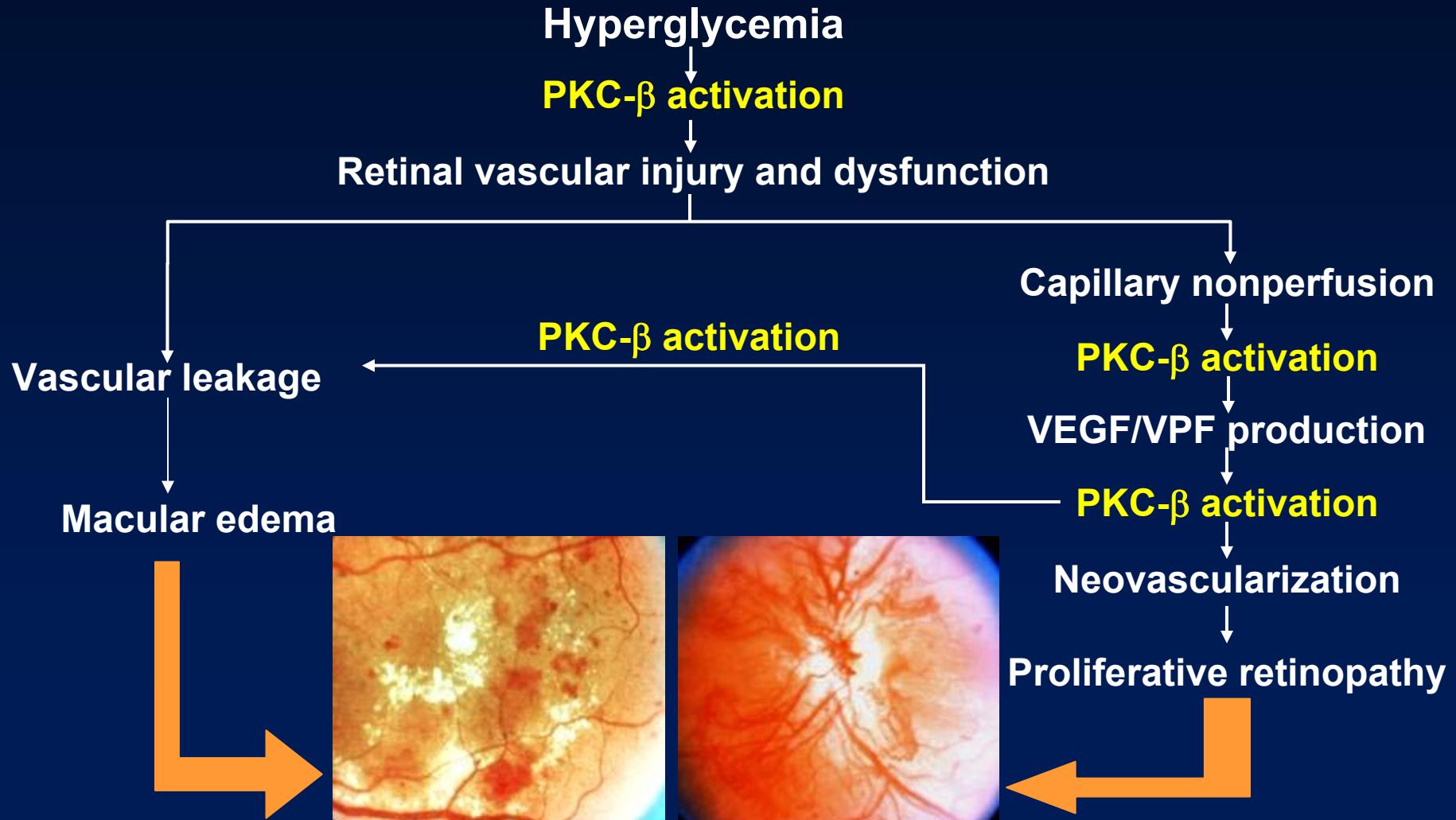
# PKC Activation in the Vasculature

---

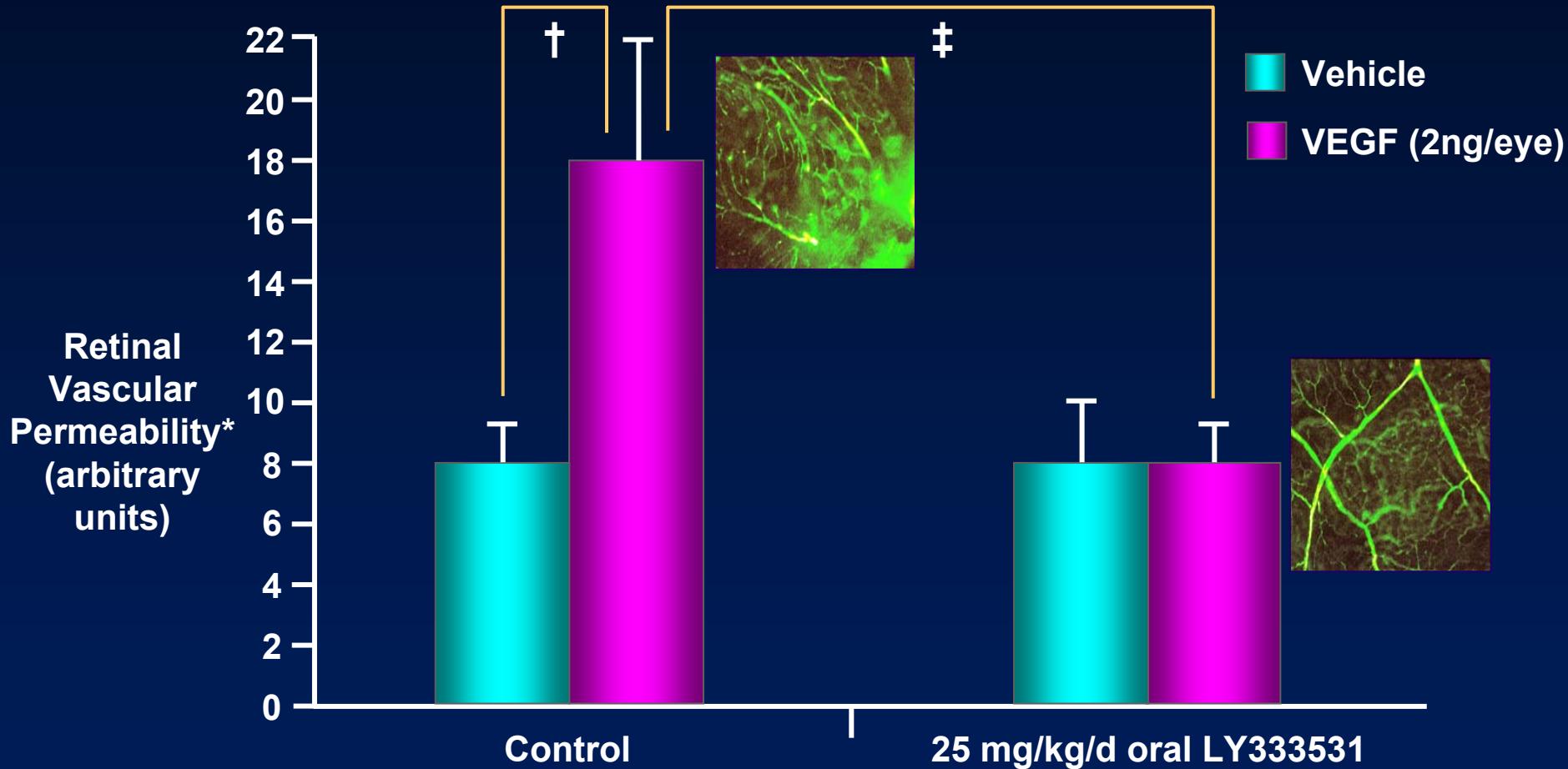
## Increases:

- Basement matrix protein synthesis
- Activation of leukocytes
- Endothelial cell activation and proliferation
- Endothelial permeability
- Smooth muscle cell contraction
- Cytokine activation, TGF- $\beta$  and VEGF, endothelin
- Angiogenesis

# PKC- $\beta$ in Diabetic Retinopathy



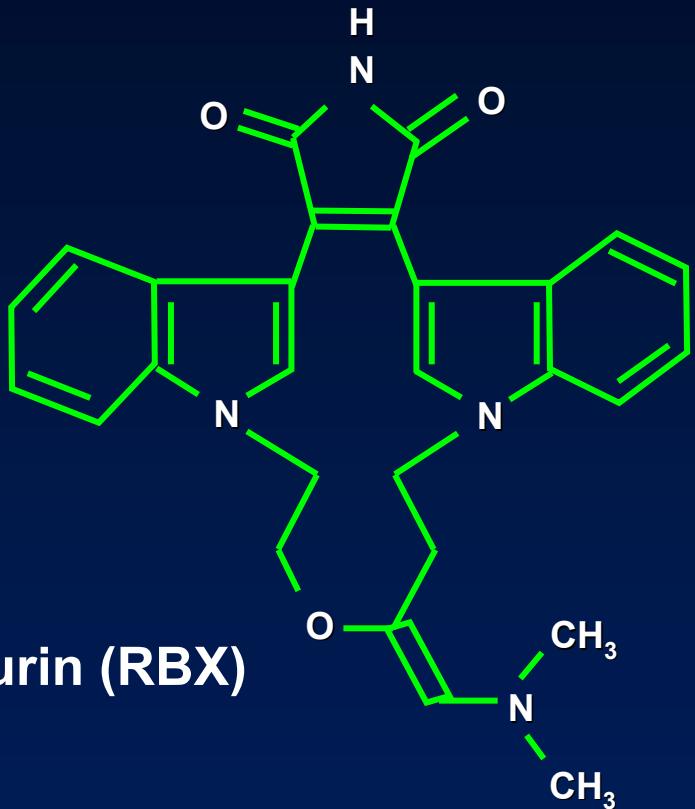
# PKC- $\beta$ Inhibition and Retinal Permeability



\*Measured by vitreous fluorescein leakage.  $†P = .015$ .  $‡P = .043$ .

Reproduced with permission from Aiello LP et al. *Diabetes*. 1997;46:1473-1480.

# PKC- $\beta$ Inhibitor



Ruboxistaurin (RBX)

Highly PKC selective  
Highly  $\beta$  isoform selective  
Orally bioavailable  
  
Reduces retinal NV  
Reduces DM-induced perm  
Normalizes retinal blood flow

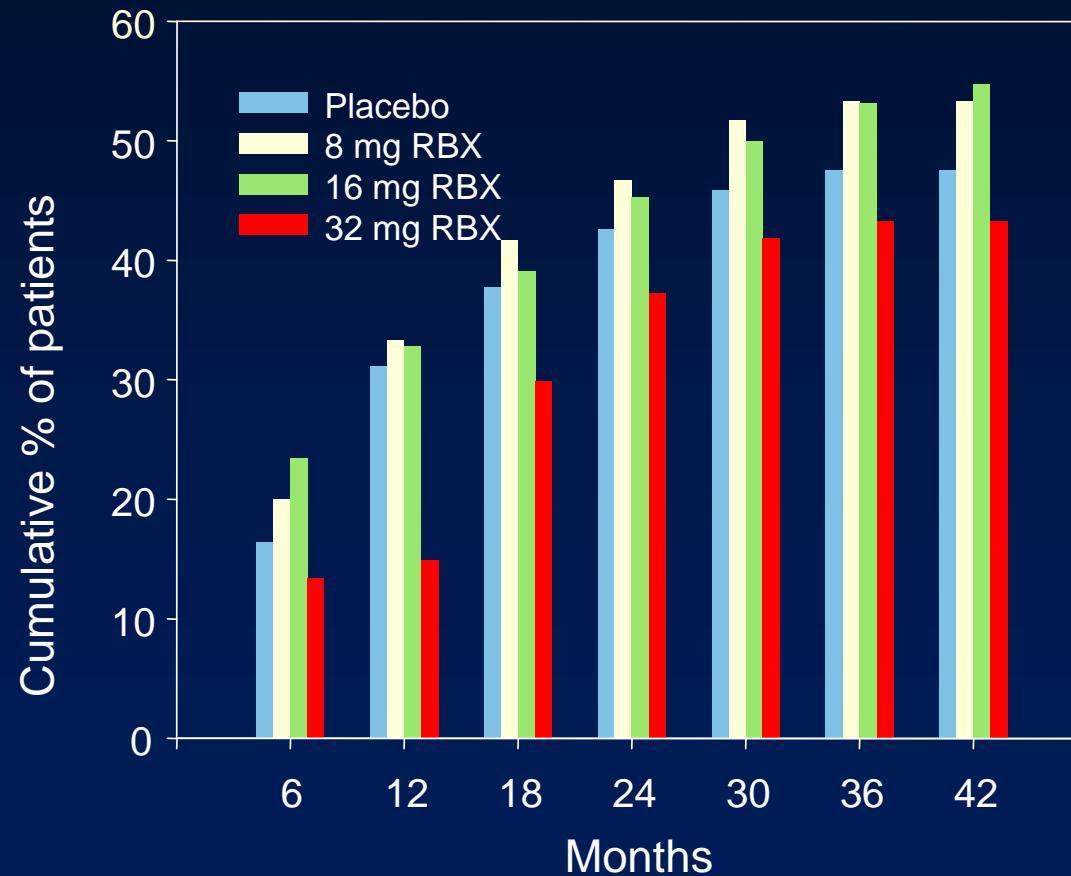
# PKC- $\beta$ Inhibition and DR trials

TRIAL	ACTION	INDICATION	STATUS
PKC- $\beta$ inhibition	<u>Oral</u>	RBF & MCT	Phase Ib
DRS n=252	<u>8, 16, 32 mg</u> <u>Once</u>	NPDR Progression & Laser (CSMO)	Phase II/III
DME n=686	<u>Daily</u>	Diabetic ME Progression & Laser (CSMO)	Phase II/III
MBCM n=680	<u>32 mg v</u> <u>placebo</u>	DM ME NPDR	Phase III

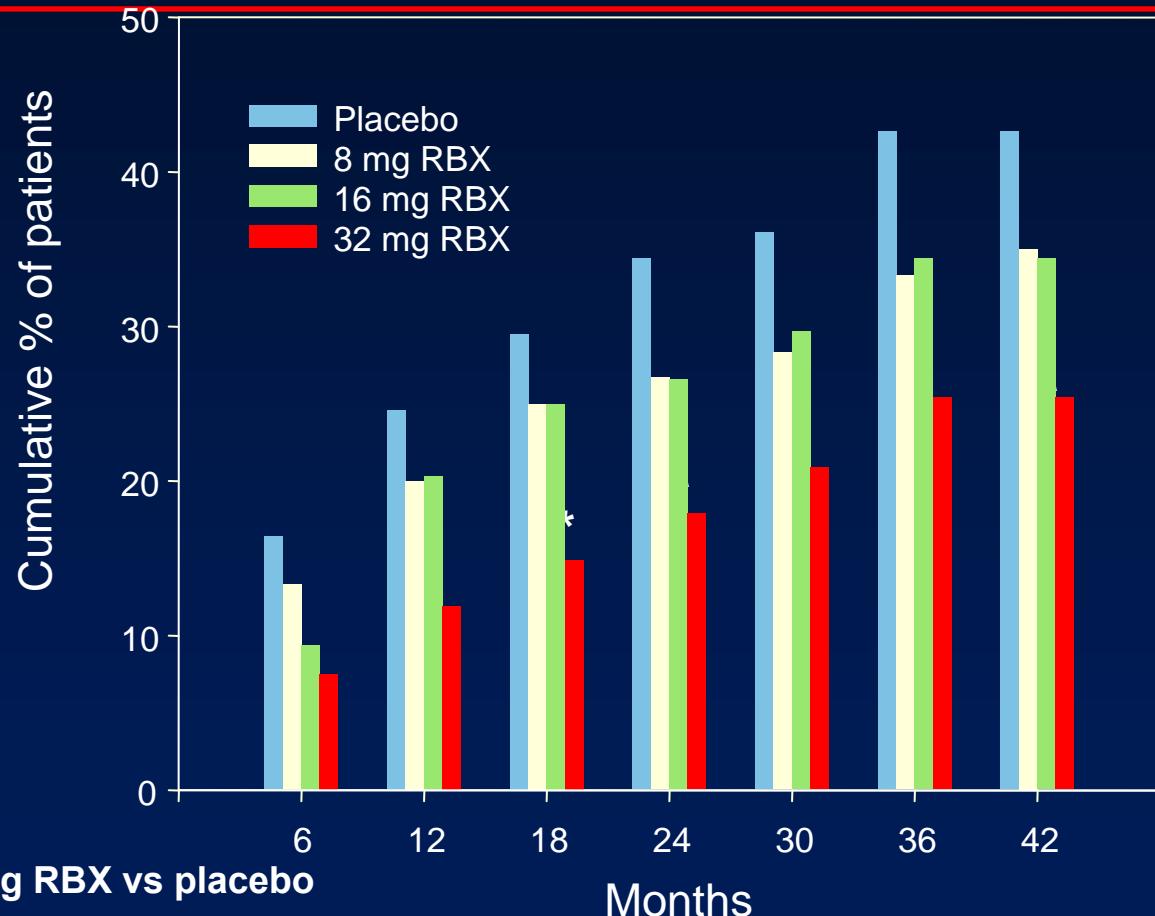
# PKC-β Inhibition and DR trials

TRIAL	ACTION	INDICATION	STATUS
PKC-β inhibition	<u>Oral</u>	RBF & MCT	Phase Ib Completed
DRS n=252	<u>8, 16, 32 mg</u> <u>Once</u>	NPDR Progression & Laser (CSMO)	Phase II/III Completed April 2002
DME n=686	<u>Daily</u>	Diabetic ME Progression & Laser (CSMO)	Phase II/III Completed Sept 2002
MBCM n=680	<u>32 mg v</u> <u>placebo</u>	DM ME NPDR	Phase III

# Progression of Diabetic Retinopathy or Photocoagulation



# Moderate Visual Loss (decrease of $\geq 15$ letters)



# PKC-β Inhibition and DR trials

TRIAL	ACTION	INDICATION	STATUS
PKC-β inhibition	<u>Oral</u>	RBF & MCT	Phase Ib Completed
DRS n=252	8, 16, 32 mg <u>Once</u>	NPDR Progression & Laser (CSMO)	Phase II/III Completed April 2002
DME n=686	<u>Daily</u>	Diabetic ME Progression & Laser (CSMO)	Phase II/III Completed Sept 2002
MBCM n=680	<u>32 mg v placebo</u>	DM ME NPDR	Phase III Completed 2005

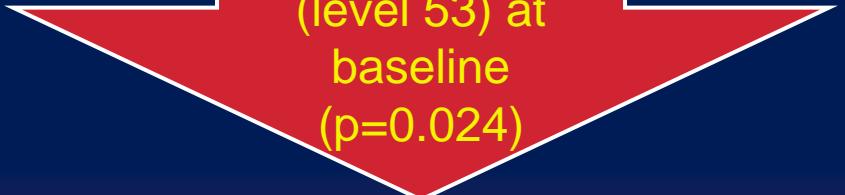
# Phase 3 DR Study

## *Trial Results*

- Treatment with ruboxistaurin:
  - Demonstrated an effect ( $p = 0.038$ ) on the study outcome of moderate visual loss



Reduction...  
in sustained  
moderate visual  
loss in eyes with  
diabetic macular  
edema at  
baseline  
( $p=0.017$ )

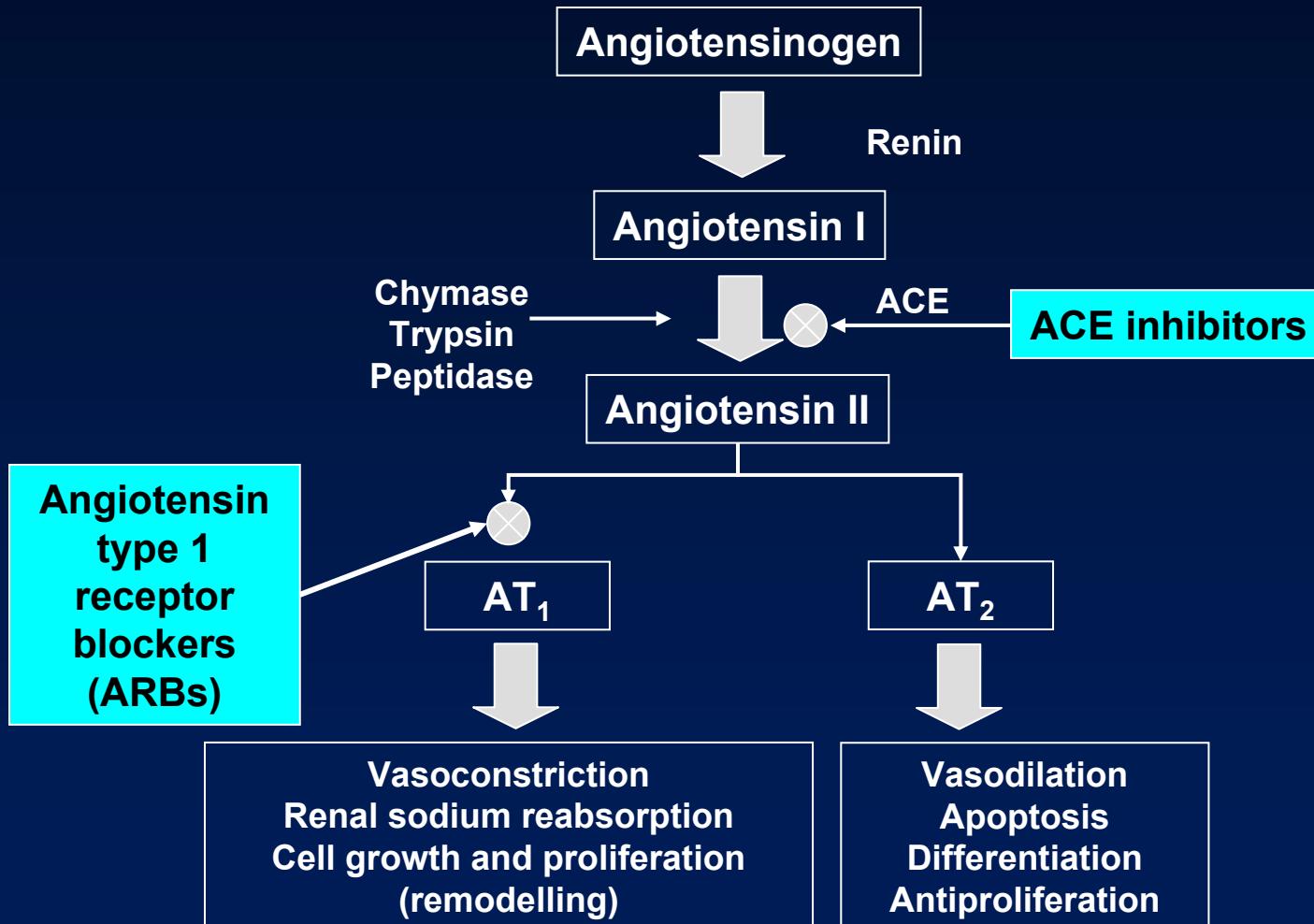


Reduction...  
in sustained  
moderate visual  
loss in eyes with  
more severe  
diabetic  
retinopathy  
(level 53) at  
baseline  
( $p=0.024$ )

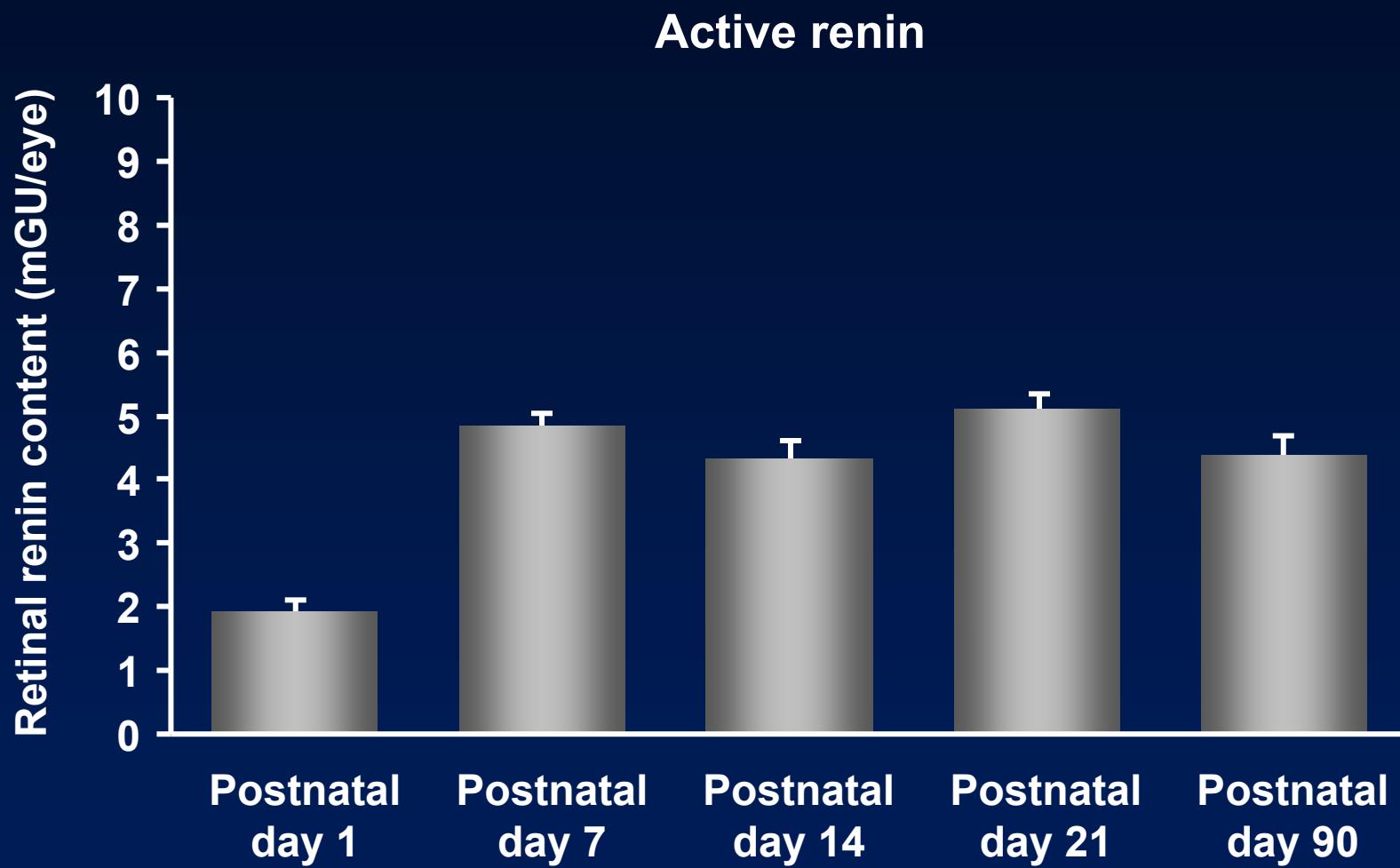
# Storia n° 3 – Il sistema renina-angiotensina



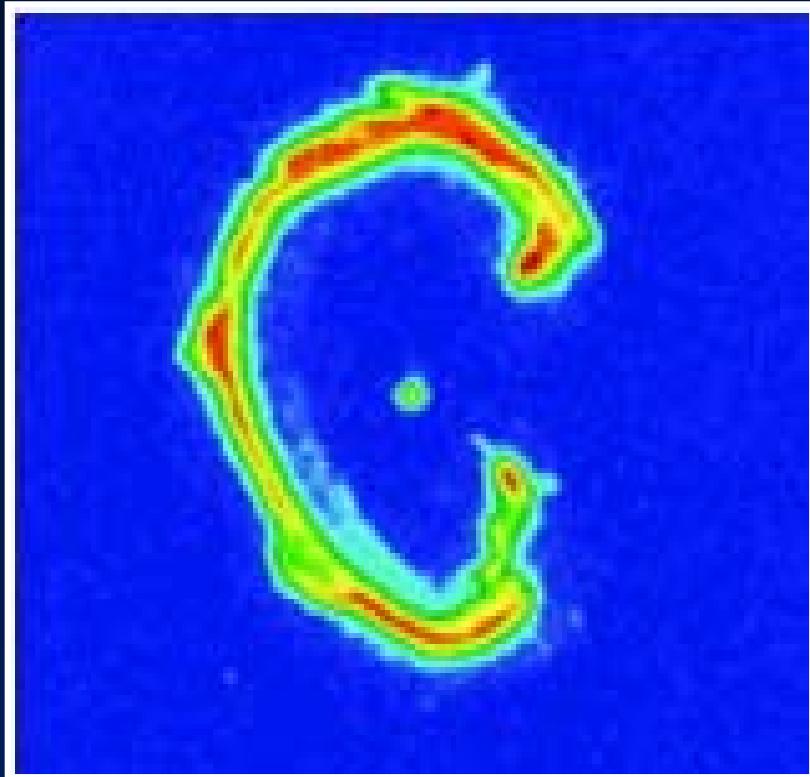
# The renin–angiotensin system (RAS)



# The retinal RAS: renin levels in rat retina

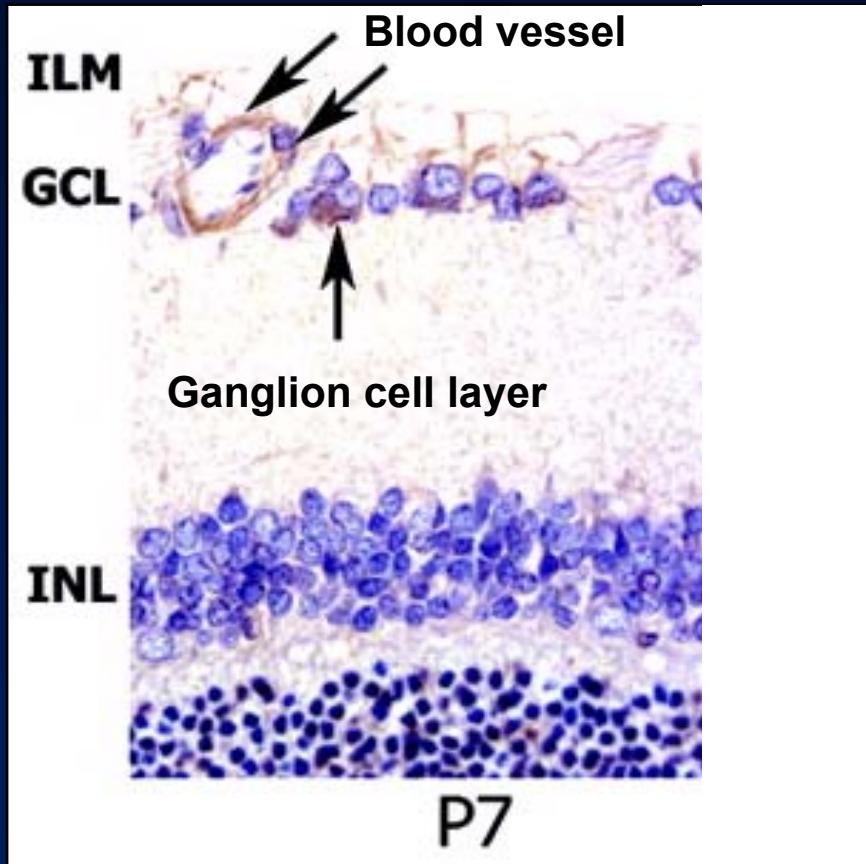


# The retinal RAS: ACE levels in rat retina



Representative computer-generated colour autoradiograph of specific ACE radioligand binding in retina of Sprague-Dawley rat  
Shown is high (red), moderate (yellow and green) and low (blue) ACE binding

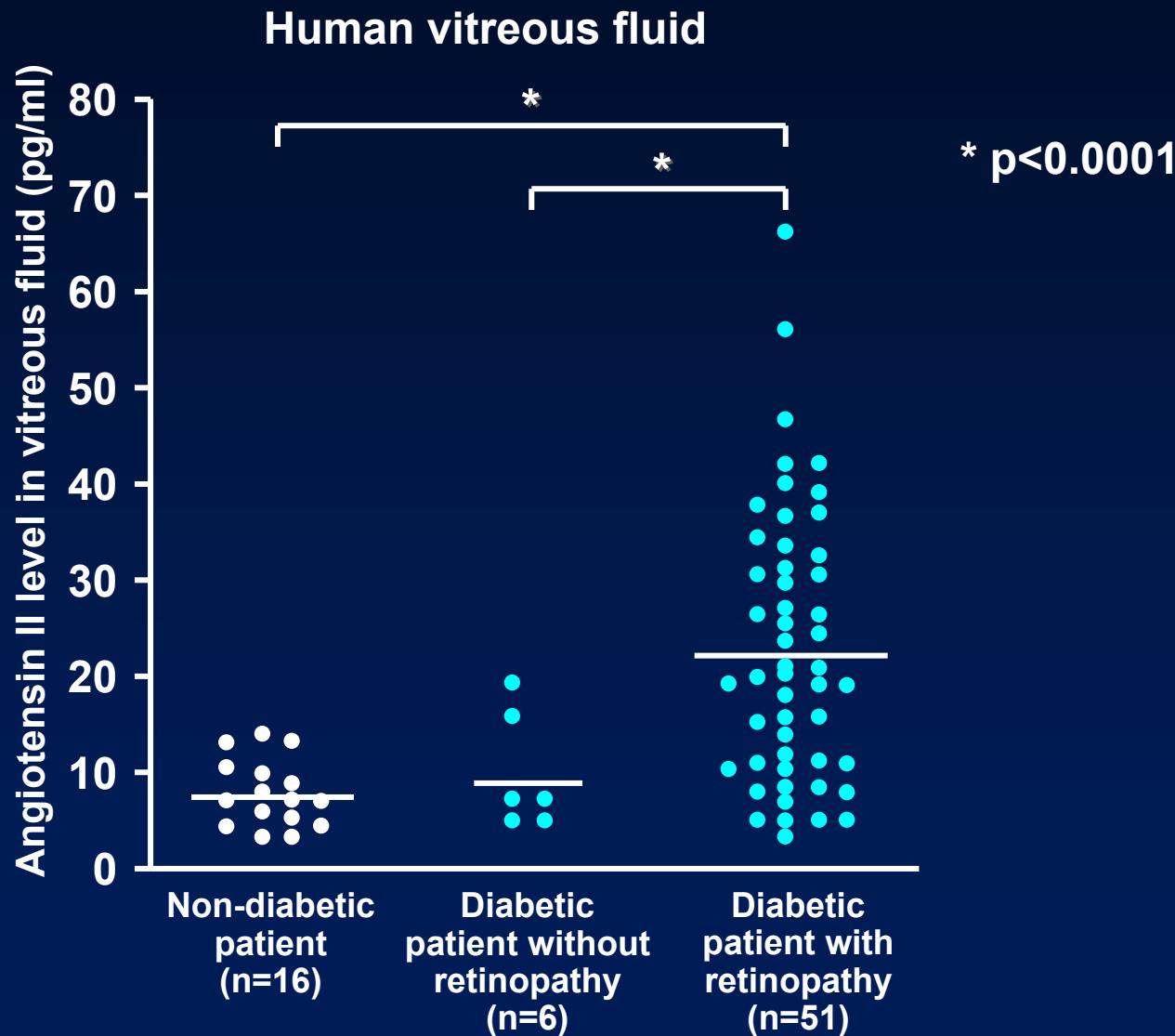
# The retinal RAS: AT<sub>1</sub>-receptor distribution in rat retina



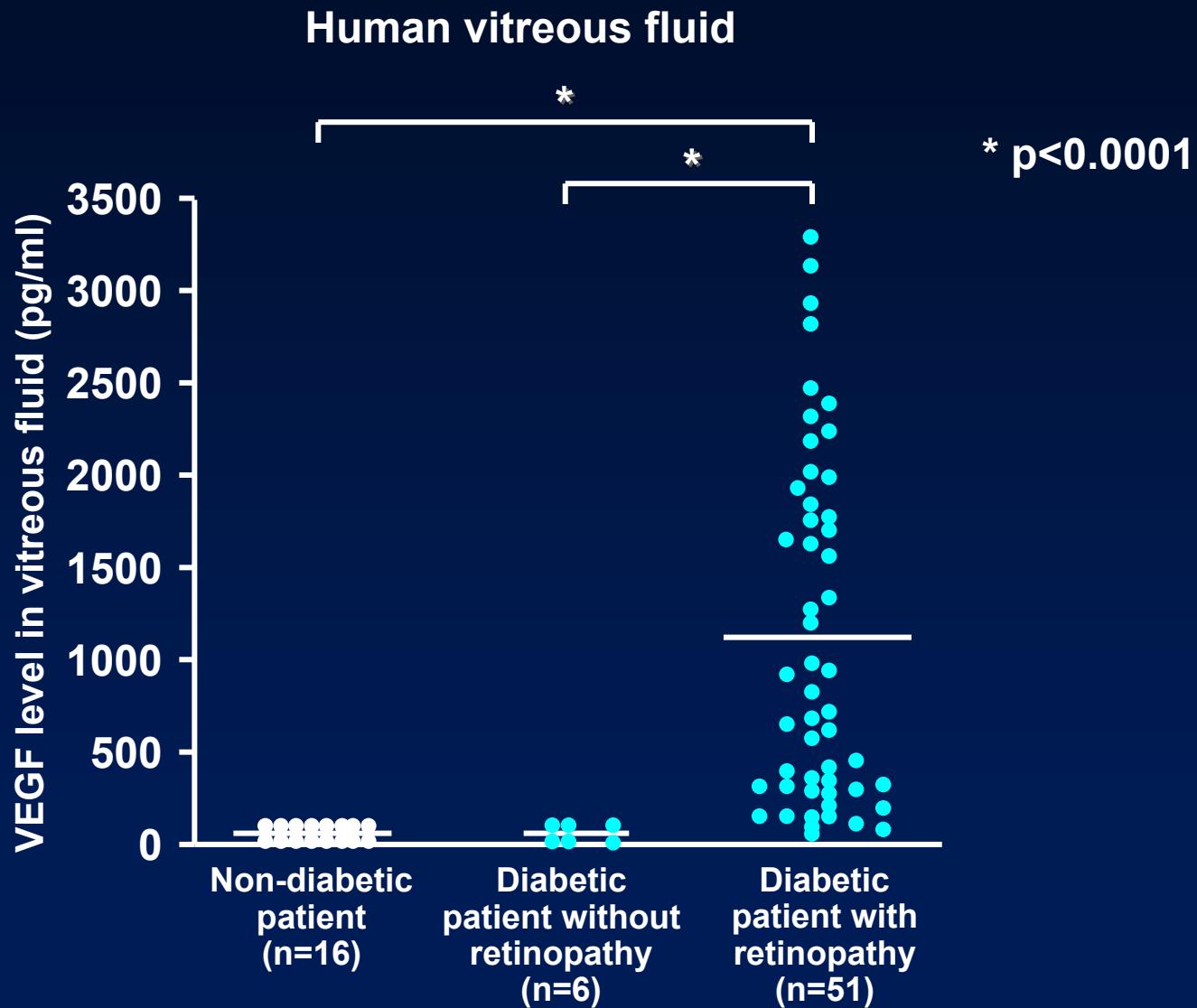
AT<sub>1</sub> receptor immunolabelling in Sprague-Dawley rat retinas at postnatal day (P) 7

Immunolabelling was observed in hyaloid vessels (double arrows), blood vessels in the inner limiting membrane and ganglion cell layer, and cells in the ganglion cell layer (single arrows)

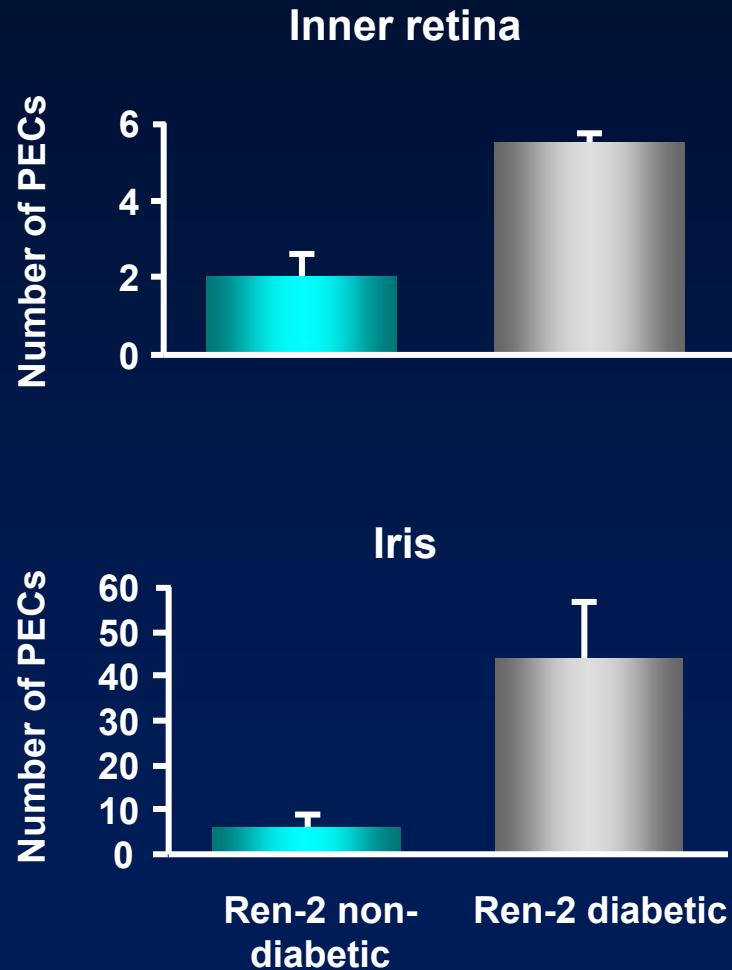
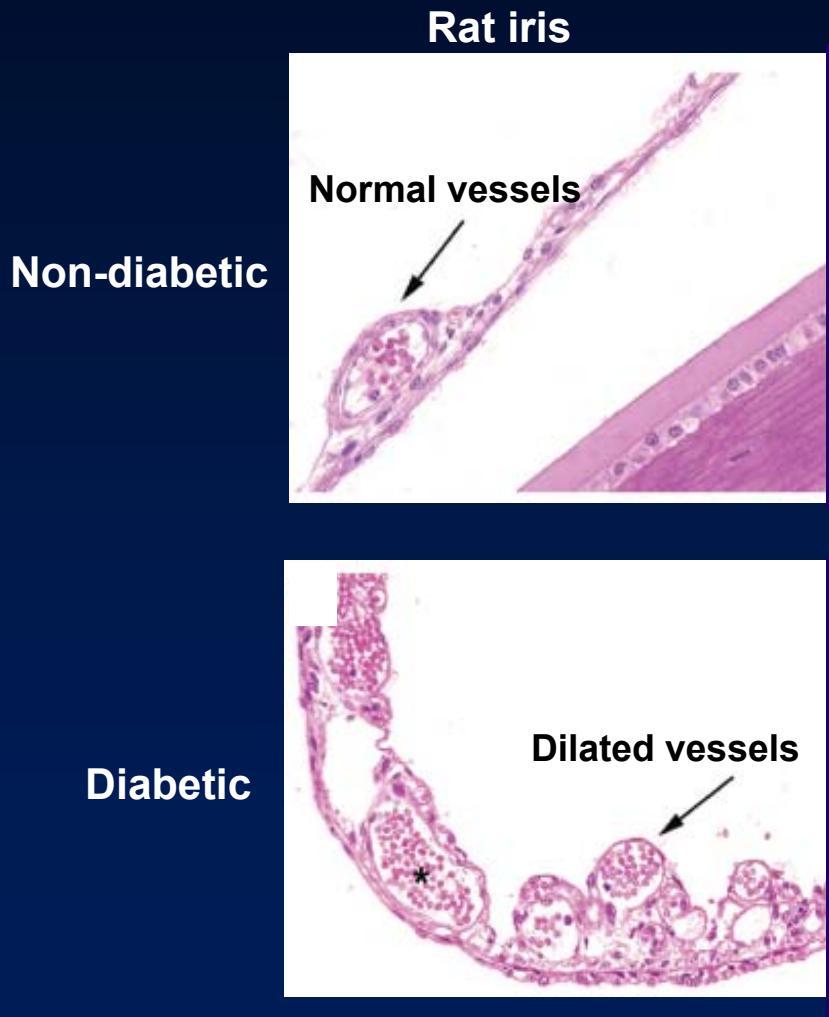
# The retinal RAS is activated in diabetes: vitreous angiotensin II levels



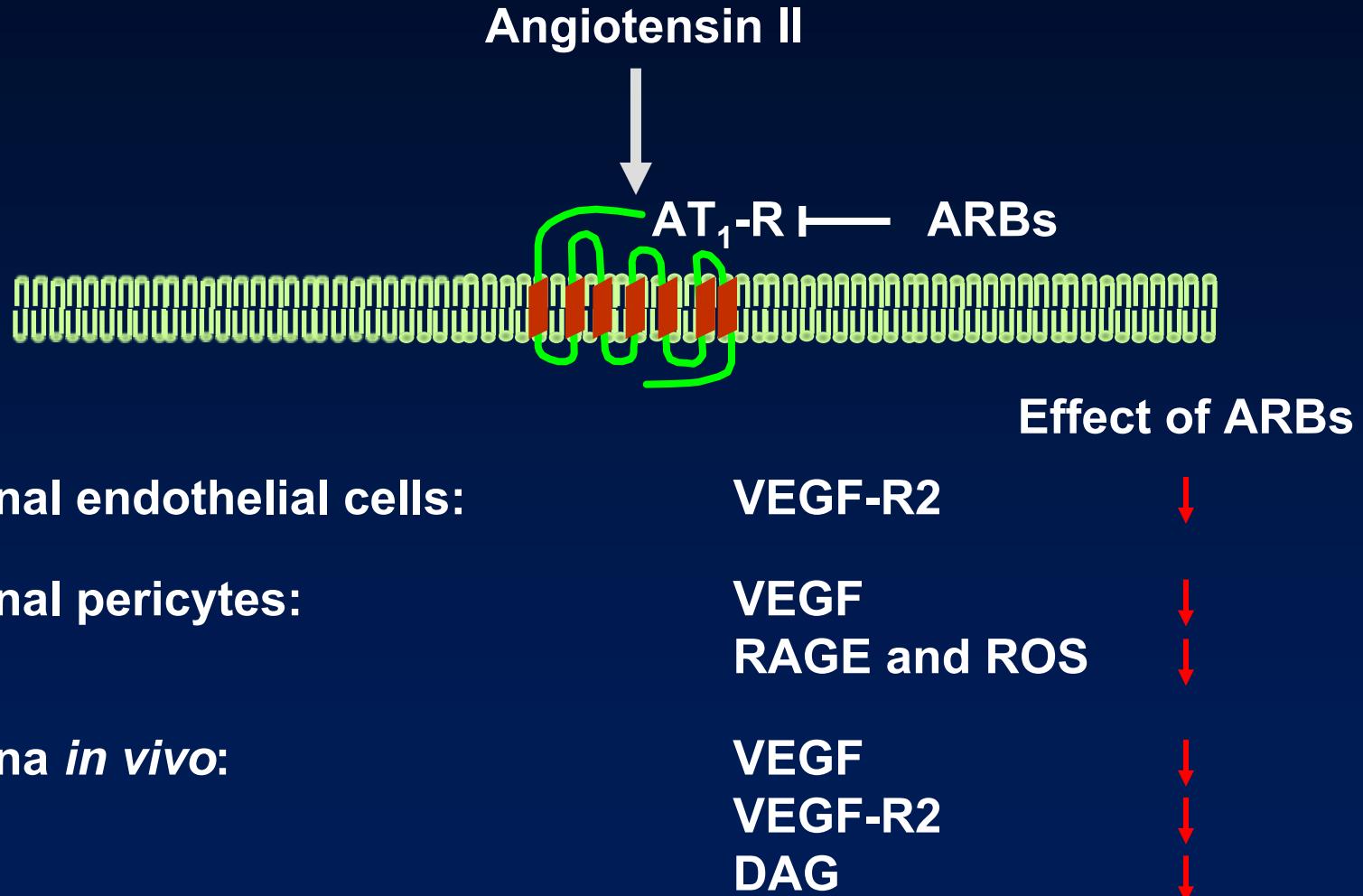
# The retinal RAS is activated in diabetic retinopathy: vitreous VEGF levels



# The RAS influences ocular endothelial cell proliferation in diabetes



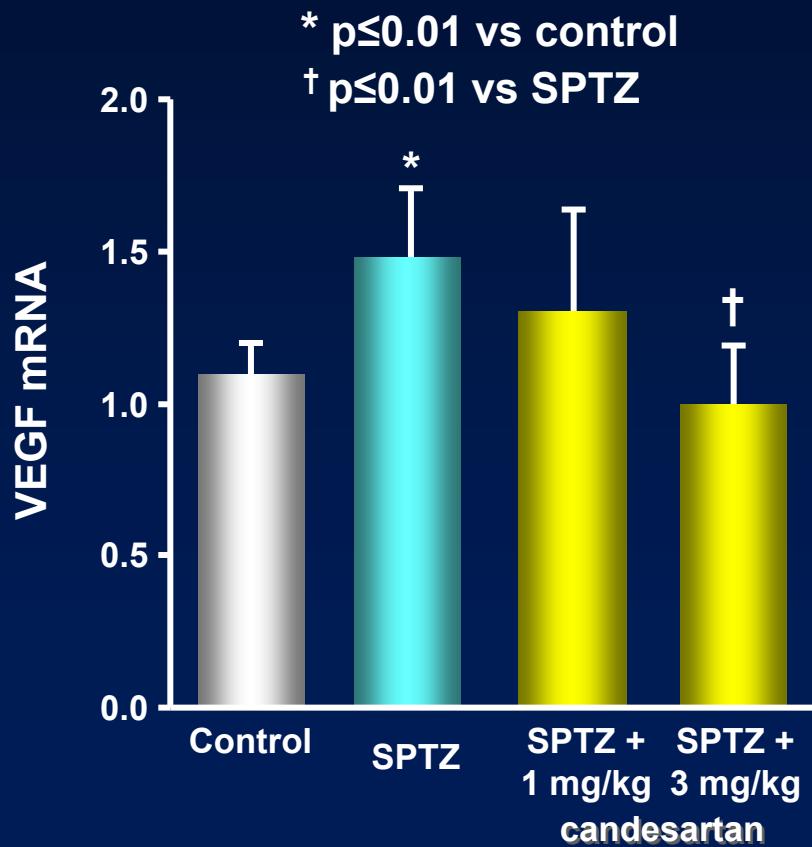
# Molecular effects of angiotensin receptor blockers on retinal vascular cells and tissue



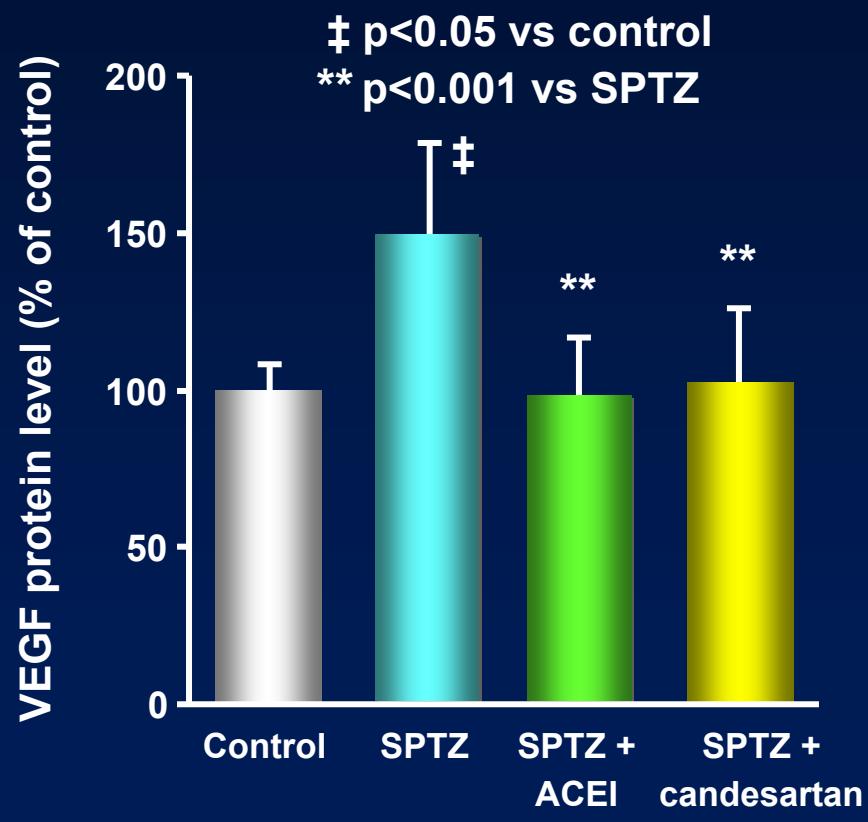
# Impact of RAS blockade

## Effect of candesartan on VEGF expression

Diabetic stroke-prone spontaneously hypertensive rats (SHRSP) with streptozocin-induced diabetes<sup>1</sup>



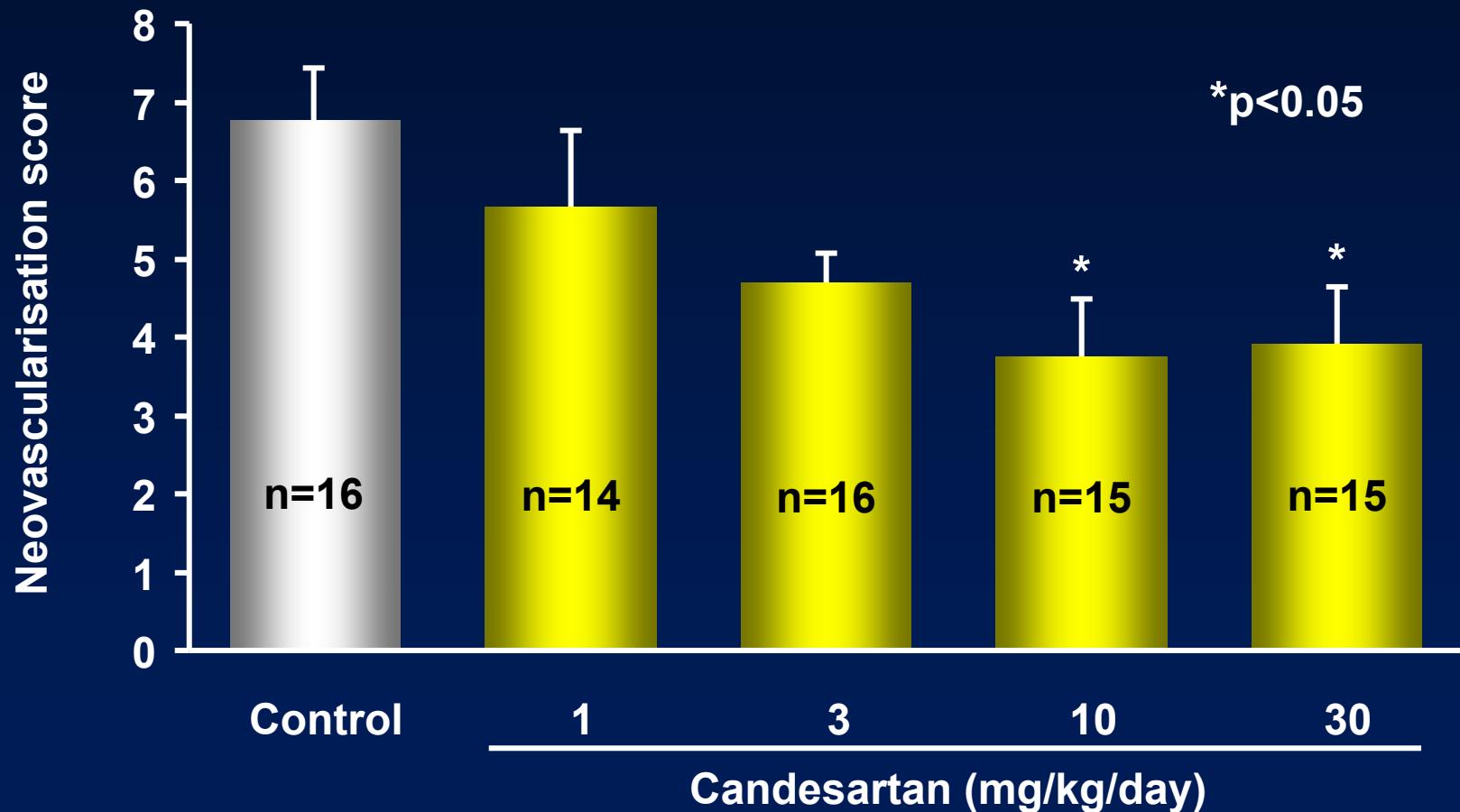
Streptozocin-treated (SPTZ) mice<sup>2</sup>



1. Nagisa Y, et al. *Diabetologia* 2001; **43**: 883–888.
2. Ebrahimian TG, et al. *Arterioscler Thromb Vasc Biol* 2005; **25**: 65–70.

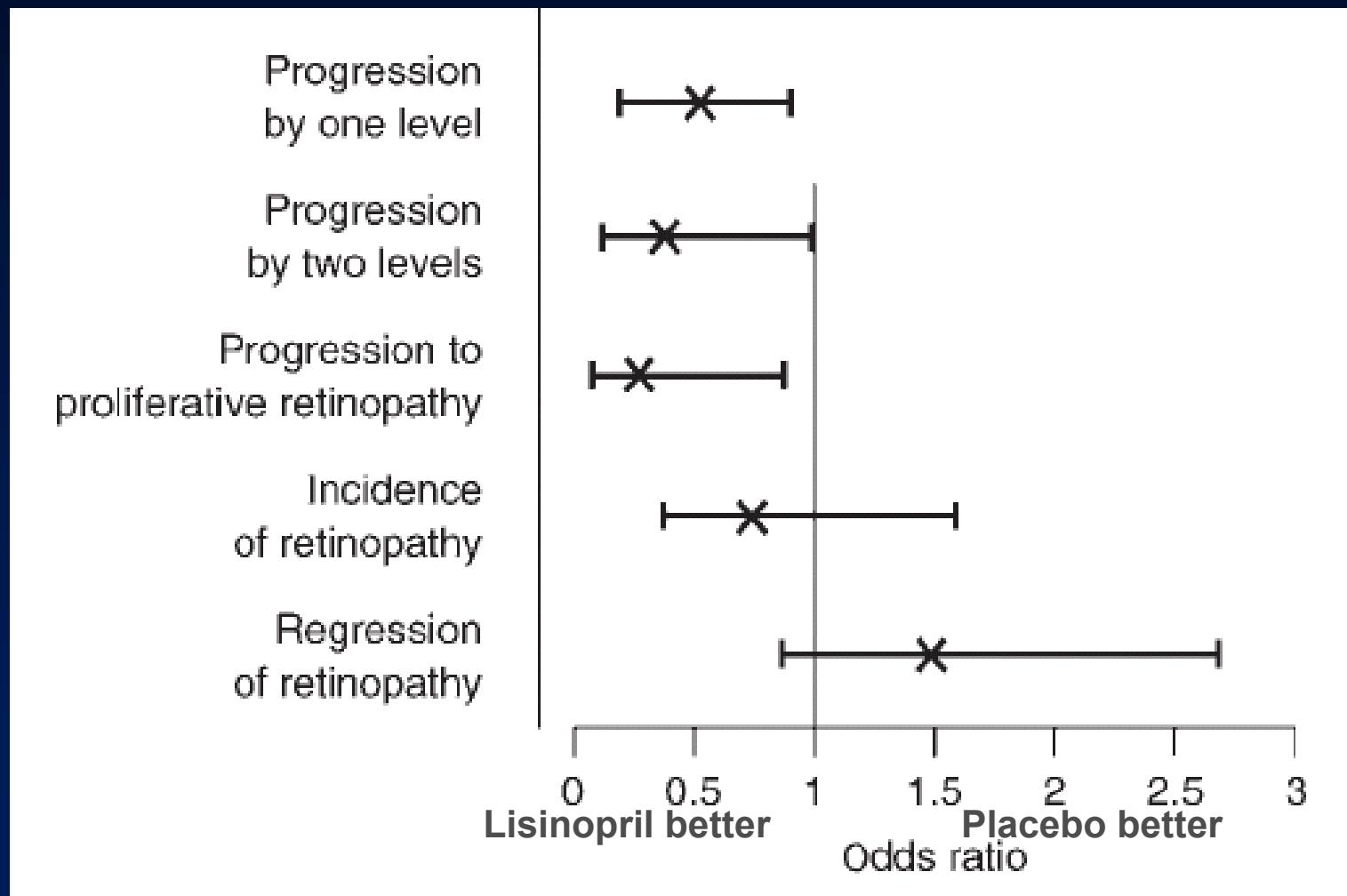
# Impact of RAS blockade

Effect of candesartan on hypoxia-induced neovascularisation in mice



# EUCLID

## Incidence, progression and regression of diabetic retinopathy in patients with type 1 diabetes



## Treatment by lisinopril:

(*EUCLID, Lancet 351, 28-31, 1998*)

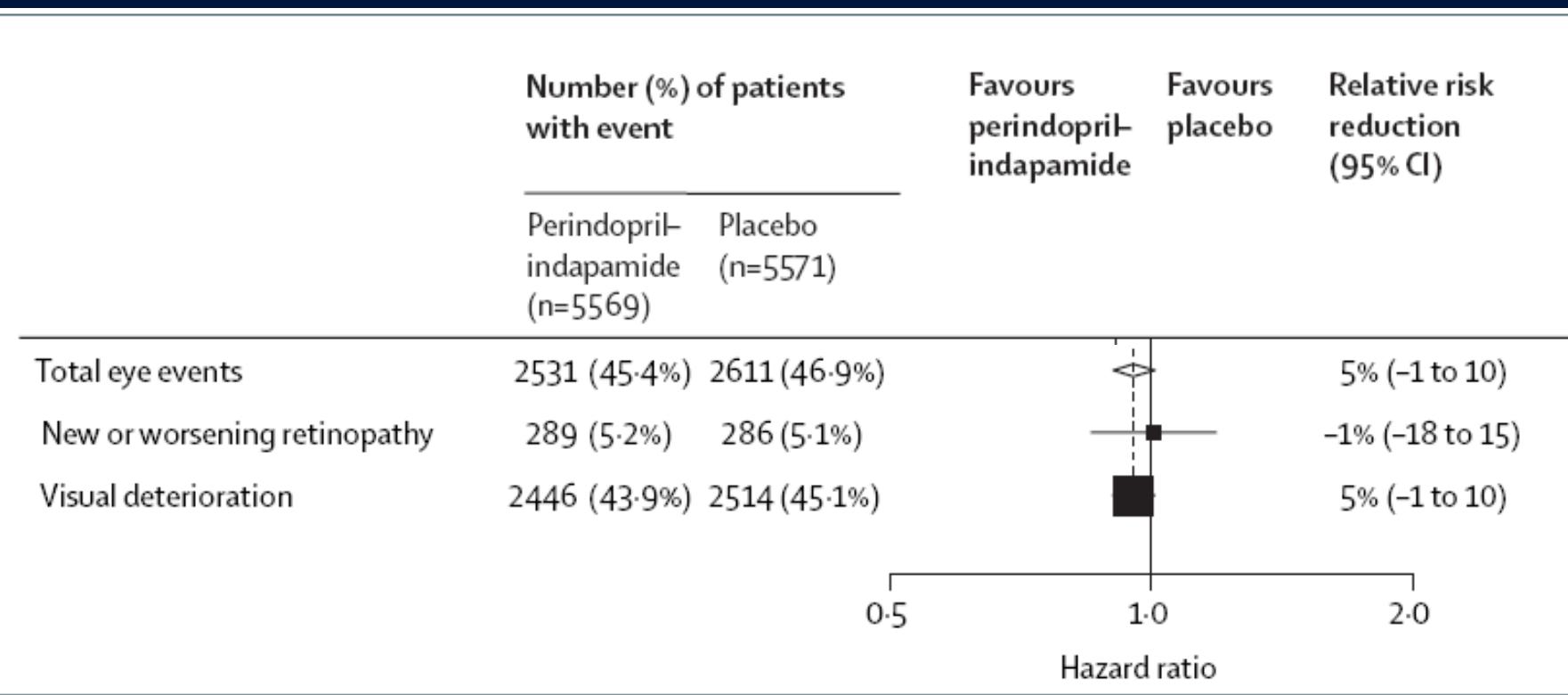
- Reduces by 50% the risk of 1-step progression of DR on the EURODIAB scale (O.R. = 0.50; 95% CI = 0.28-0.89; p<0.02)
- Reduces by 80% the risk of progression to proliferative RD (O.R. = 0.20; 95% CI = 0.04-0.91; p<0.04)

*(for a decrease of 3 mmHg in systolic BP)*

# Effects of a fixed combination of perindopril and indapamide on macrovascular and microvascular outcomes in patients with type 2 diabetes mellitus (the ADVANCE trial): a randomised controlled trial

ADVANCE Collaborative Group\*

[www.thelancet.com](http://www.thelancet.com) Published online September 2, 2007 DOI:10.1016/S0140-6736(07)61303-8



# *DIRECT*

## *Diabetic REtinopathy Candesartan Trials*

# **DIRECT - Programme of investigator initiated and led studies**

Three randomised placebo-controlled studies on the effects of the ARB candesartan on incidence and progression of diabetic retinopathy

- **DIRECT-Prevent 1**

Type 1 diabetes without diabetic retinopathy

- **DIRECT-Protect 1**

Type 1 diabetes with mild-to-moderate diabetic retinopathy

- **DIRECT-Protect 2**

Type 2 diabetes with mild-to-moderate diabetic retinopathy

# DIRECT Programme: Inclusion criteria

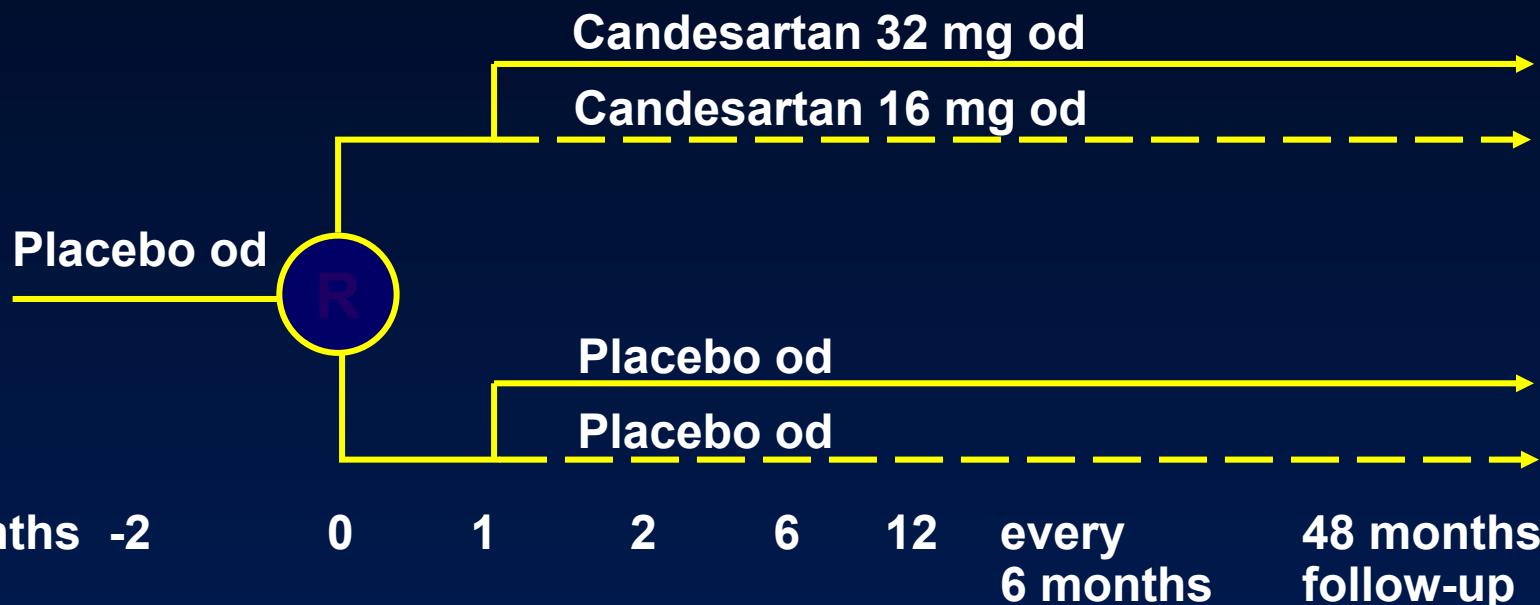
	DIRECT- Prevent 1	DIRECT- Protect 1	DIRECT- Protect 2
<b>Number of patients</b>	1421	1905	1905
<b>Age (years)</b>	18-50	18-55	37-75
<b>Diabetes duration (years)</b>	1-15	1-20	1-20
<b>Microalbuminuria</b>	No	No	No
<b>Blood pressure (mmHg)</b>	<b>SBP <math>\leq</math>130</b> <b>DBP <math>\leq</math>85</b>	<b>SBP <math>\leq</math>130</b> <b>DBP <math>\leq</math>85</b>	<b>No HTN treatment</b> <b>SBP <math>\leq</math>130</b> <b>DBP <math>\leq</math>85</b>  <b>HTN treatment</b> <b>SBP <math>\leq</math>160</b> <b>DBP <math>\leq</math>90</b>
<b>Retinal grading level (ETDRS scale)</b>	<b>10/10</b>	<b><math>\geq</math>20/10 up to <math>\leq</math>47/47</b>	<b><math>\geq</math>20/10 up to <math>\leq</math>47/47</b>

# DIRECT Programme



**309 centres in 30 countries**

# DIRECT Programme: Individual study designs



## Investigations:

Retinal photographs	annually
Urinary albumin excretion rate	annually
Blood pressure	six monthly
Adverse events	six monthly

# Effect of candesartan on prevention (DIRECT-Prevent 1) and progression (DIRECT-Protect 1) of retinopathy in type 1 diabetes: randomised, placebo-controlled trials



Nish Chaturvedi, Massimo Porta, Ronald Klein, Trevor Orchard, John Fuller, Hans Henrik Parving, Rudy Bilous, Anne Katrin Sjølie, for the DIRECT Programme Study Group\*

## Summary

**Background** Results of previous studies suggest that renin-angiotensin system blockers might reduce the burden of diabetic retinopathy. We therefore designed the Diabetic REtinopathy Candesartan Trials (DIRECT) Programme to assess whether candesartan could reduce the incidence and progression of retinopathy in type 1 diabetes.

Published Online  
September 26, 2008  
DOI:10.1016/S0140-6736(08)61412-9

# Effect of candesartan on progression and regression of retinopathy in type 2 diabetes (DIRECT-Protect 2): a randomised placebo-controlled trial



Anne Katrin Sjølie, Ronald Klein, Massimo Porta, Trevor Orchard, John Fuller, Hans Henrik Parving, Rudy Bilous, Nish Chaturvedi, for the DIRECT Programme Study Group\*

## Summary

**Background** Diabetic retinopathy remains a leading cause of visual loss in people of working age. We examined whether candesartan treatment could slow the progression and, secondly, induce regression of retinopathy in people with type 2 diabetes.

Published Online  
September 26, 2008  
DOI:10.1016/S0140-6736(08)61411-7

# DIRECT Programme: Outcome measures

- The primary endpoint is
  - 2-step change in ETDRS level for incidence
  - 3-step change in ETDRS level for progression
- Secondary endpoints include
  - regression of retinopathy  
(3-step or 2-step sustained)
- Change in overall retinopathy severity

# ETDRS retinopathy scale (based on 7-field stereo photographs)

**Levels and severity on the Early Treatment of Diabetic Retinopathy Study scale used for the DIRECT Programme**

Level	Severity
10	DR absent
20	MA only
35	Mild NPDR
43	Moderate NPDR
47	Moderately severe NPDR
53	Severe NPDR
61, 65, 71, 75, 81	Proliferative DR

DR      Diabetic retinopathy

MA      Microaneurysms

NPDR    Non-proliferative diabetic retinopathy

# Diabetic retinopathy

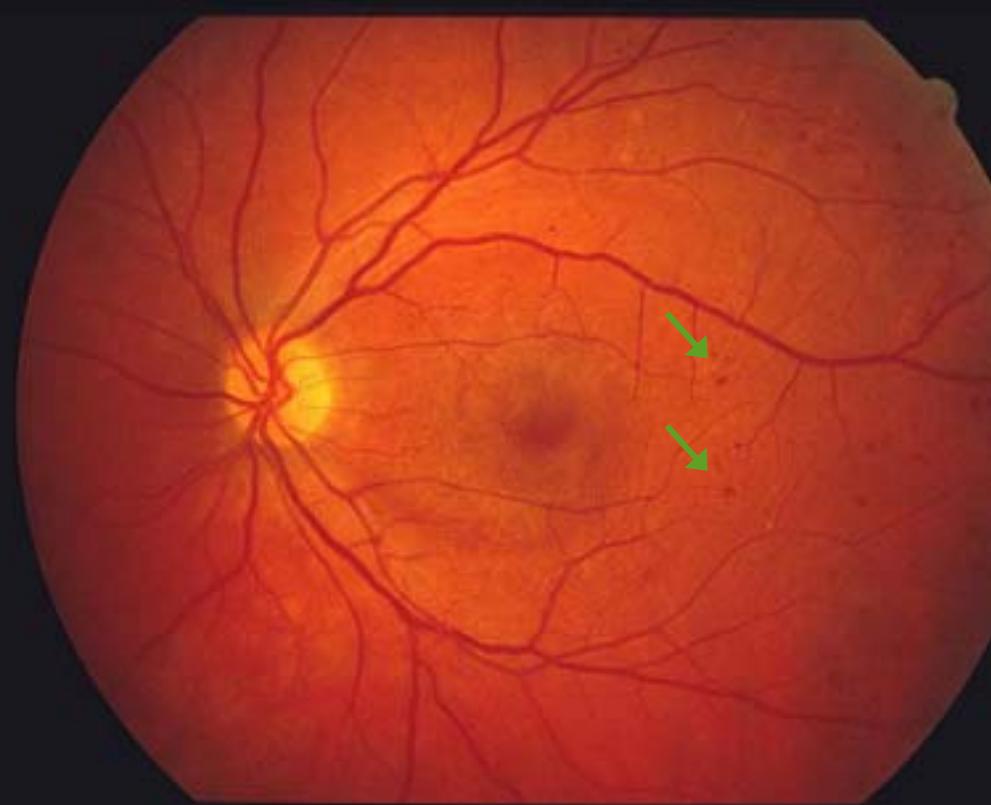
## Microaneurysms only

Level 20



Right eye

Level 20



Left eye

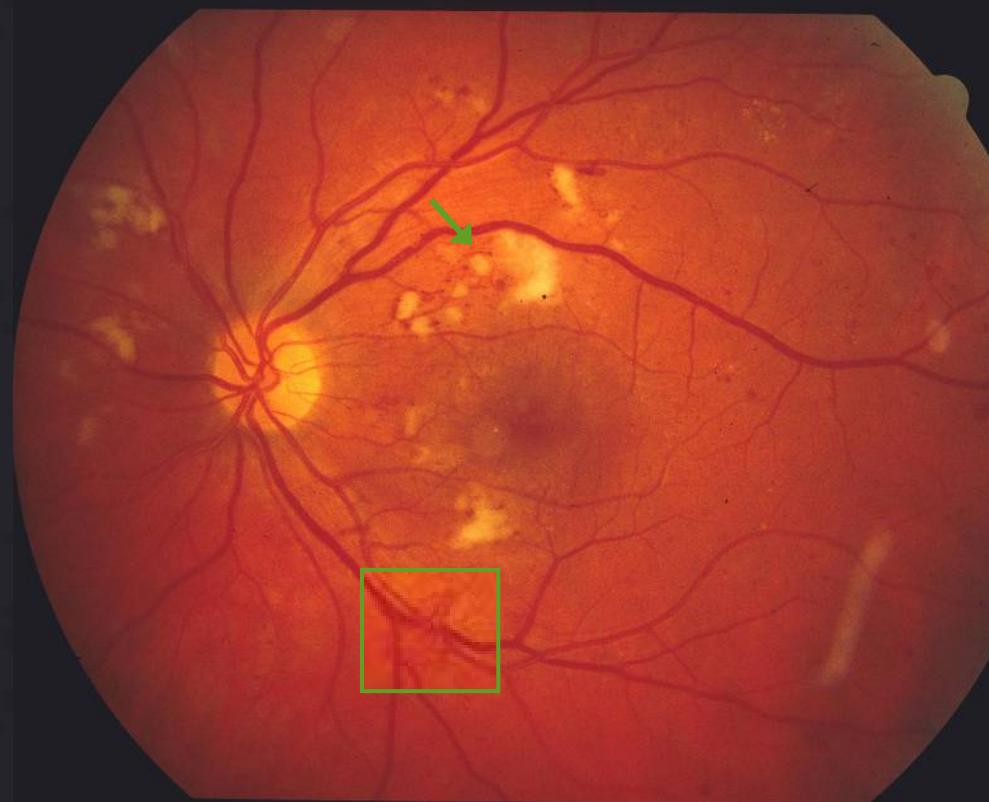
# Diabetic retinopathy 3-step change

Level 35



Right eye

Level 43

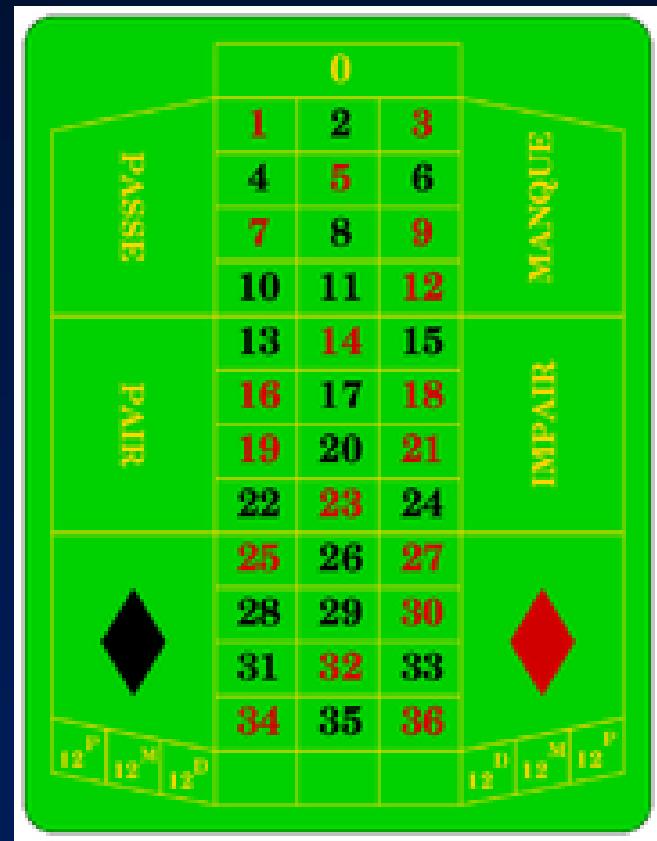


Left eye

# DIRECT-Prevent 1

Effect of candesartan on incidence of retinopathy in type 1 diabetic patients

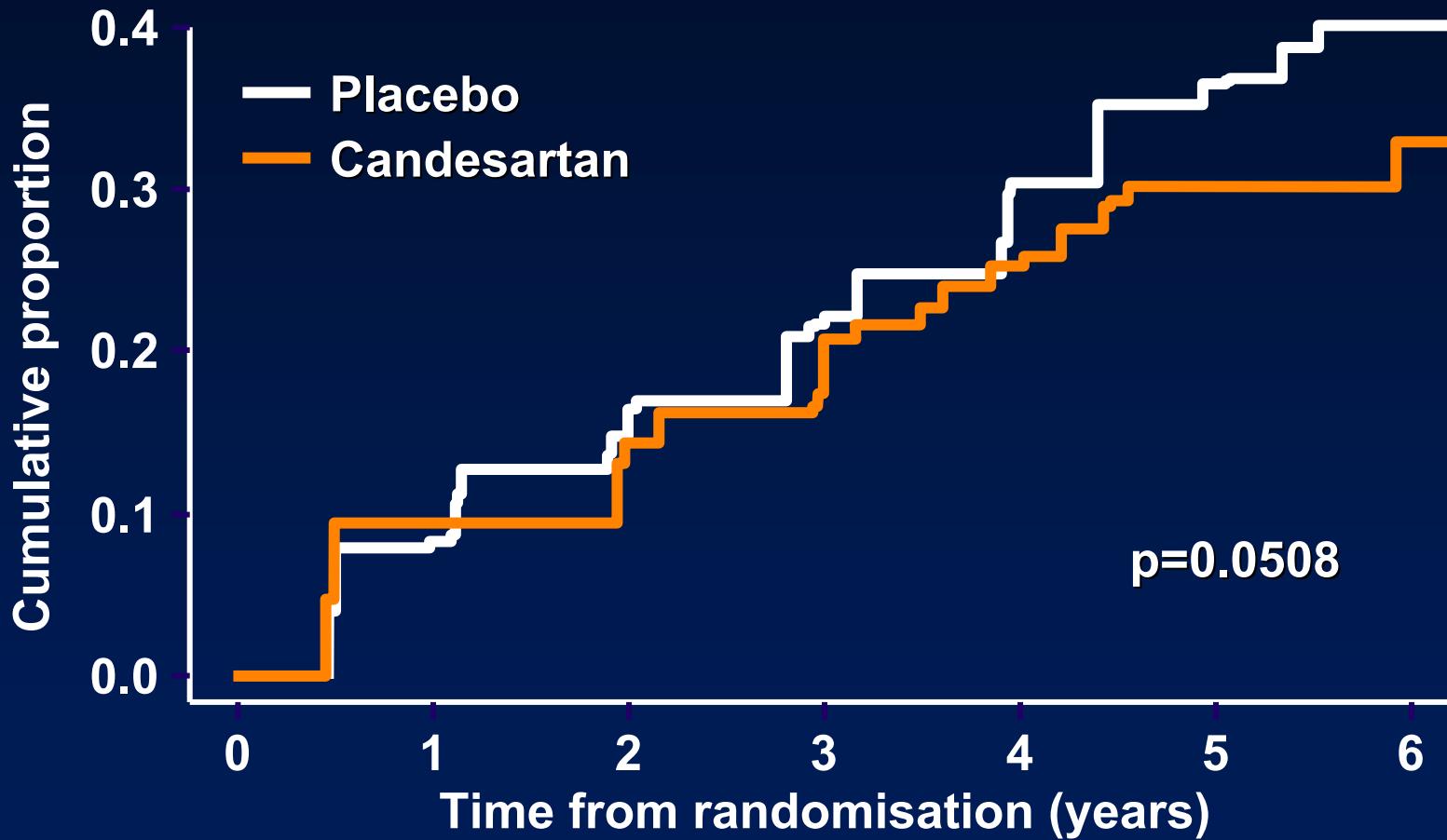
# È vitale puntare sul numero giusto ... ... 2-step o 3-step progression?



# DIRECT Programme: Outcome measures

- The primary endpoint is
  - 2-step change in ETDRS level for incidence
  - 3-step change in ETDRS level for progression
- Secondary endpoints include
  - regression of retinopathy  
(3-step or 2-step sustained)
- Change in overall retinopathy severity

# DIRECT-Prevent 1: Retinopathy incidence 2-step change



No at risk

Placebo 710  
Candesartan 711

644  
633

585  
573

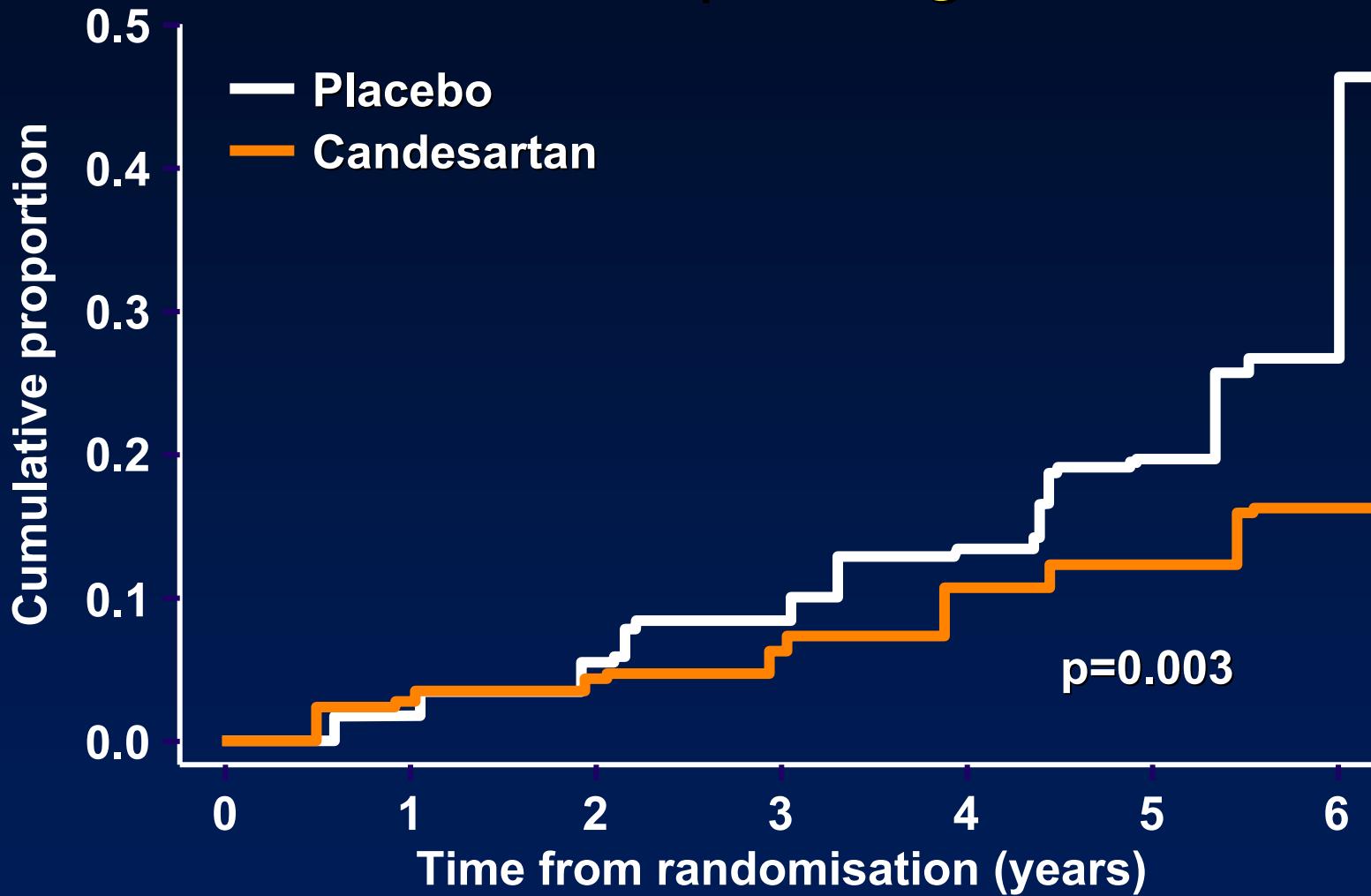
518  
524

347  
356

87  
92

0  
1

# DIRECT-Prevent 1: Retinopathy incidence 3-step change



No at risk

Placebo 710

Candesartan 711

663

630

587

419

109

1

651

615

587

422

108

1

# DIRECT-Prevent 1: Hazard ratios for retinopathy incidence (candesartan vs placebo)

## 2-step change

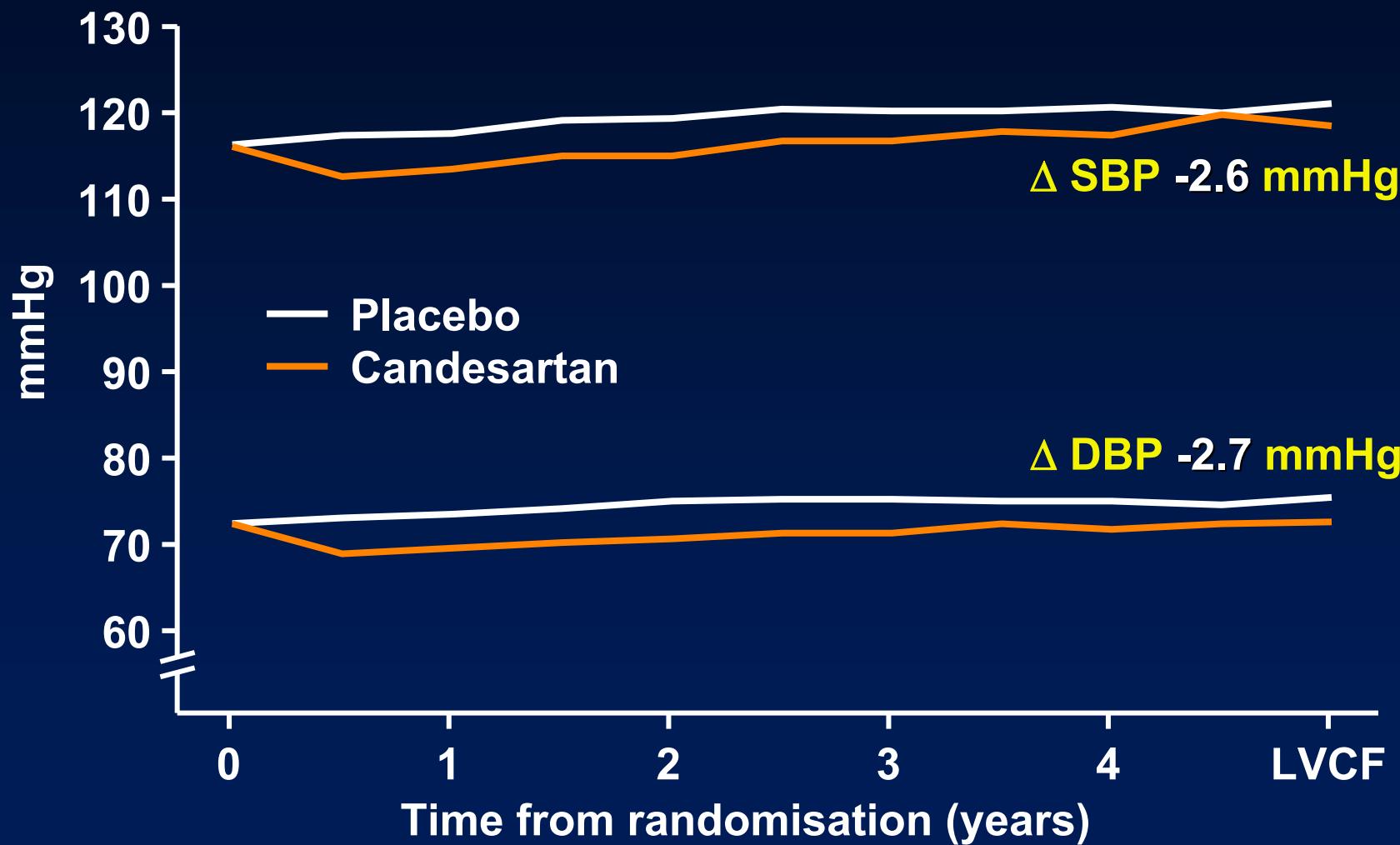
	HR	95% CI	
		Lower	Upper
Unadjusted	0.82	0.67	1.00
Adjusted*	0.88	0.72	1.07

## 3-step change

	HR	95% CI	
		Lower	Upper
Unadjusted	0.65	0.48	0.87
Adjusted*	0.71	0.53	0.95

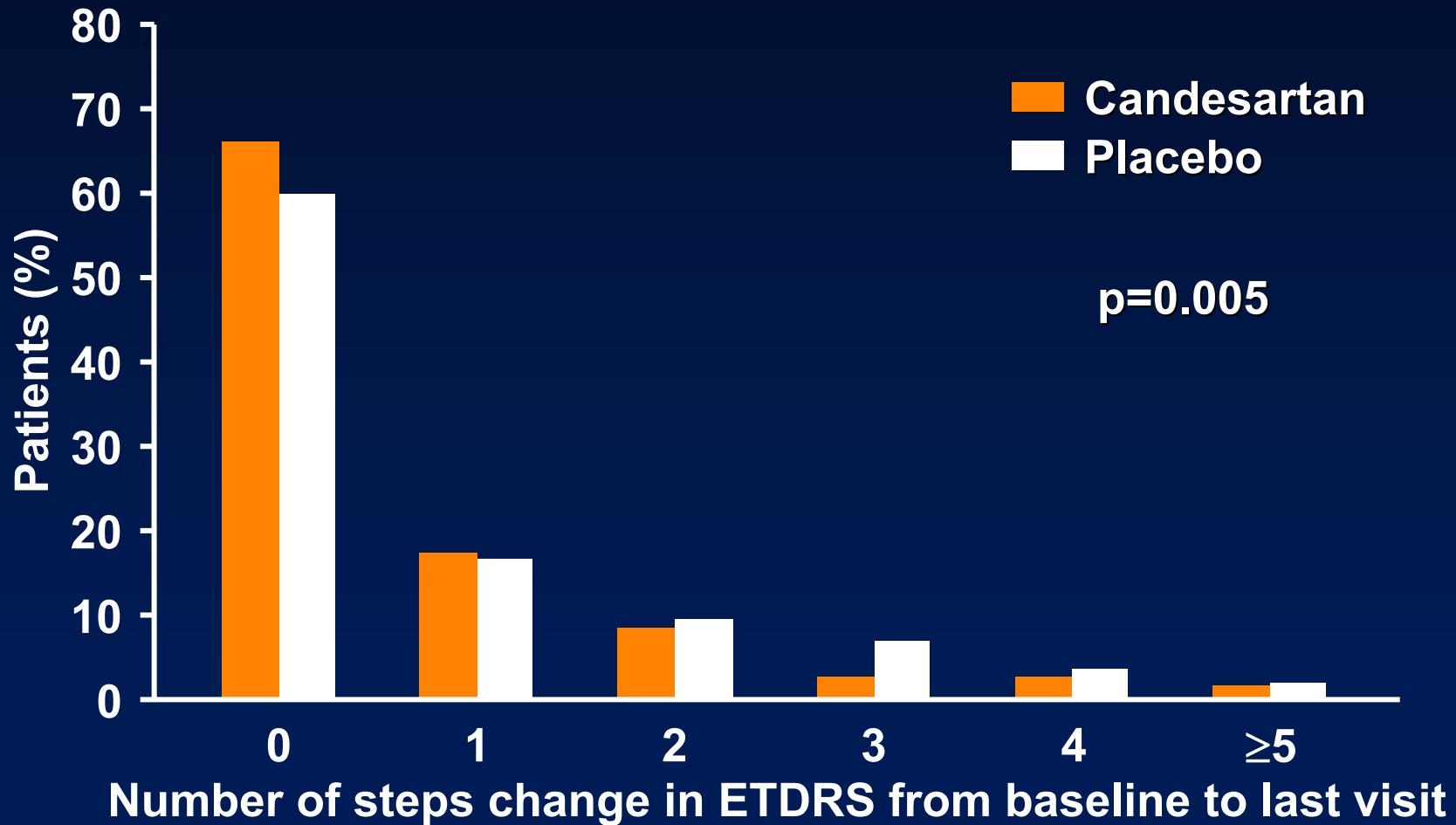
\* Pre-specified adjustment for baseline diabetes duration, HbA<sub>1c</sub> and SBP

# DIRECT-Prevent 1: Systolic and diastolic blood pressure



LVCF = Last Value Carried Forward

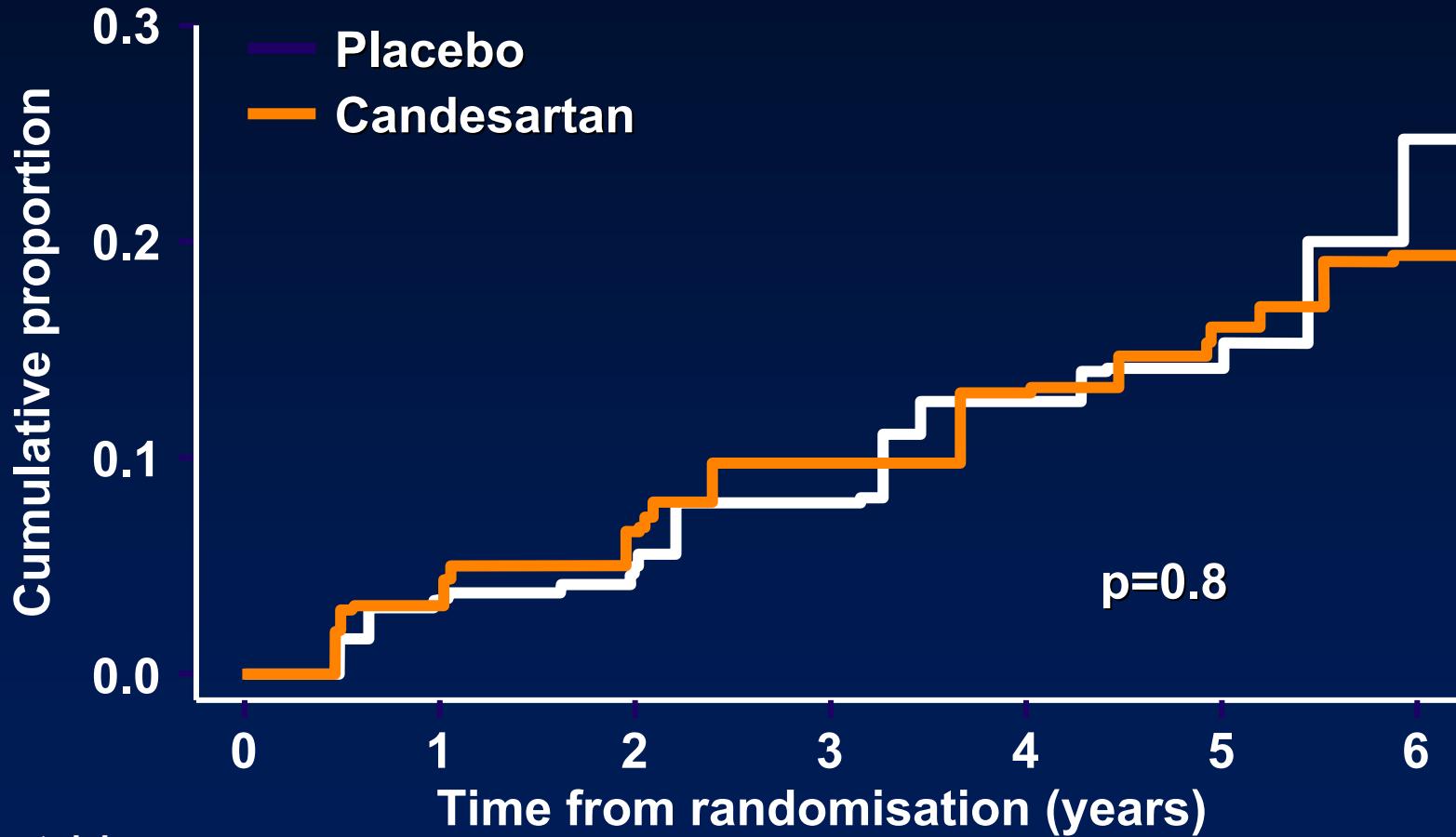
# DIRECT-Prevent 1: Change in ETDRS level



# DIRECT-Protect 1

Effect of candesartan on progression of retinopathy in type 1 diabetic patients

# DIRECT-Protect 1: Retinopathy progression 3-step change



No at risk

Placebo 954

875

820

770

612

188

4

Candesartan 951

863

814

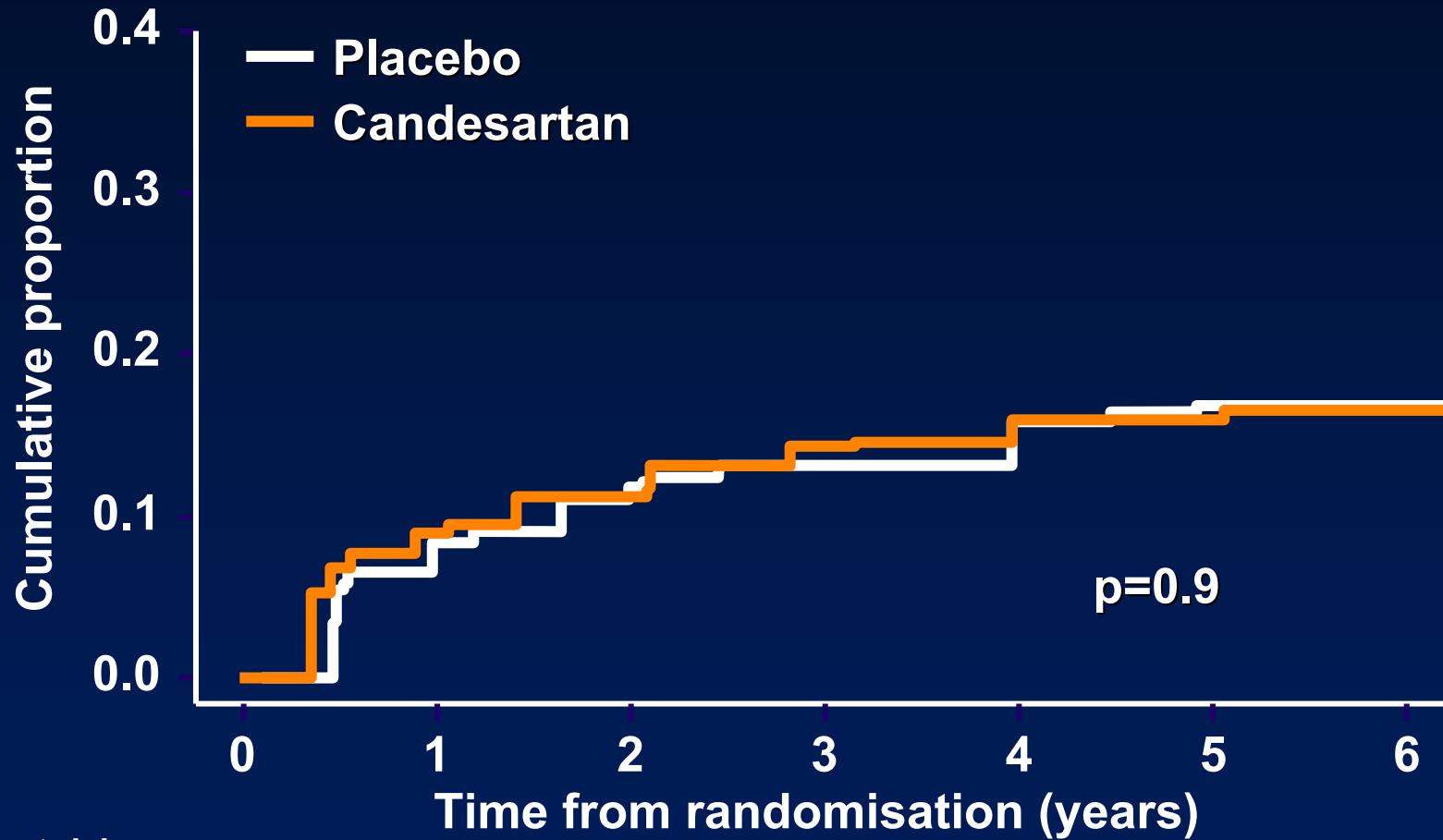
767

626

195

5

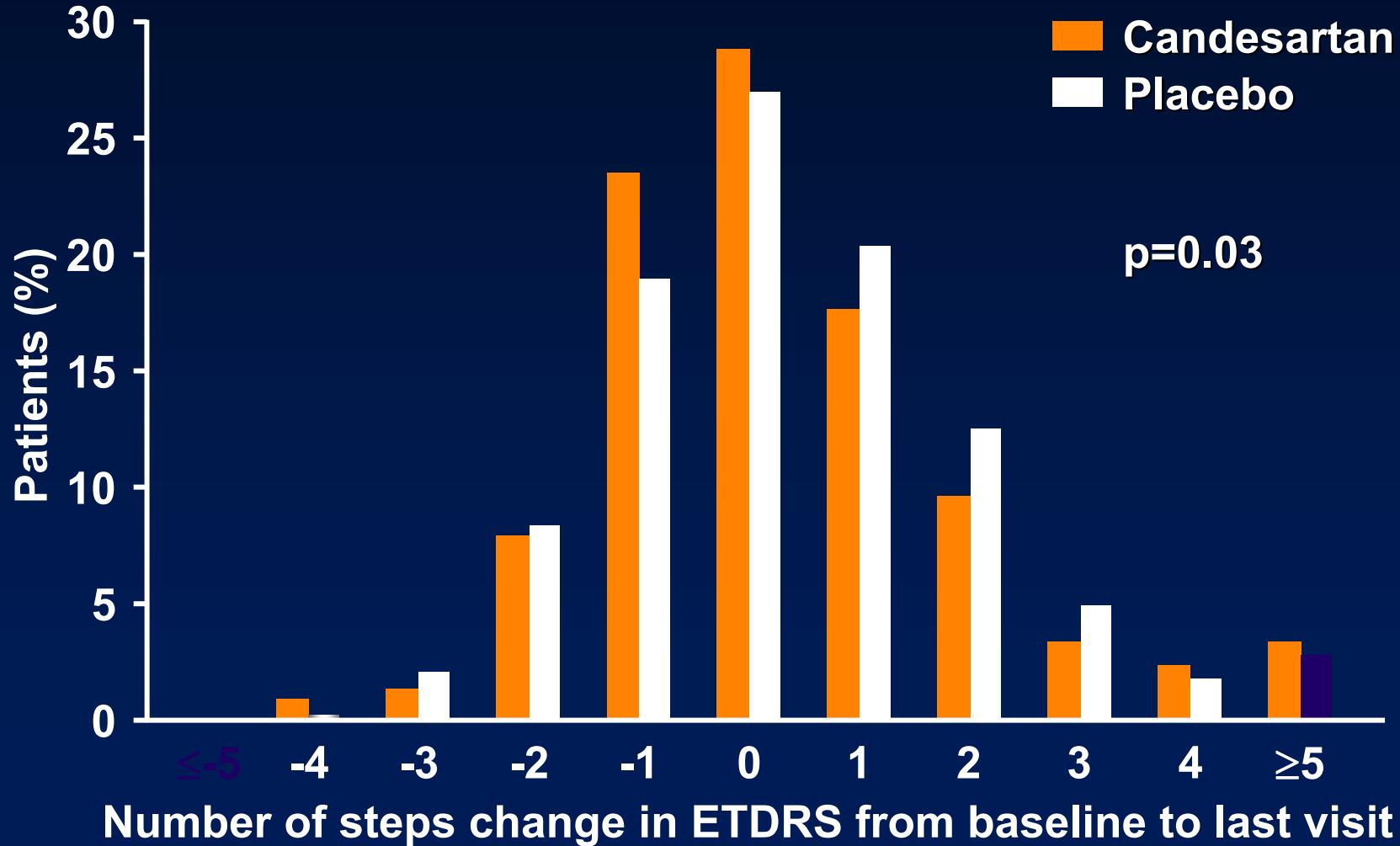
# DIRECT-Protect 1: Retinopathy regression



No at risk

Placebo	954	840	772	713	559	167	5
Candesartan	951	820	773	728	591	187	5

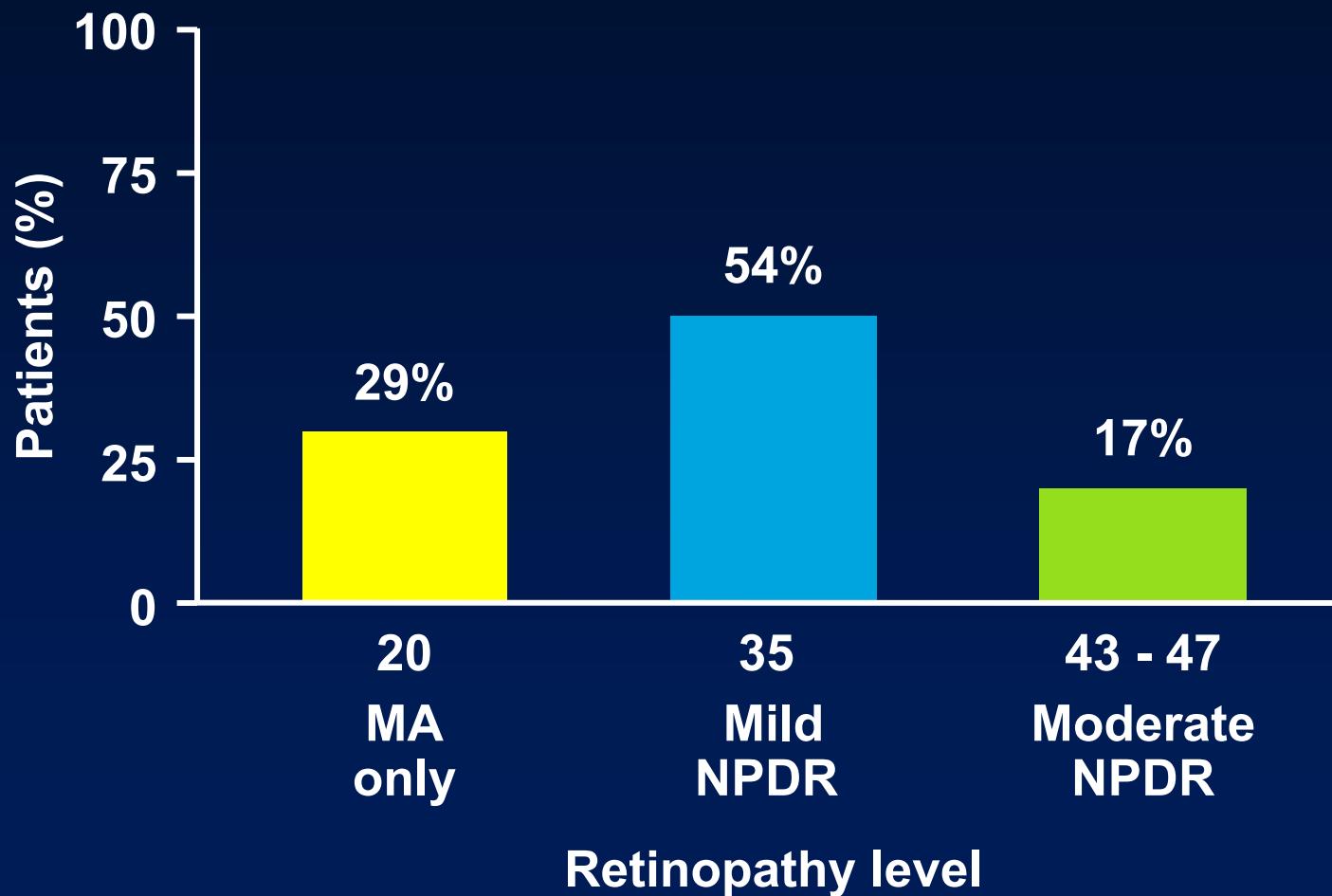
# DIRECT-Protect 1: Change in ETDRS level



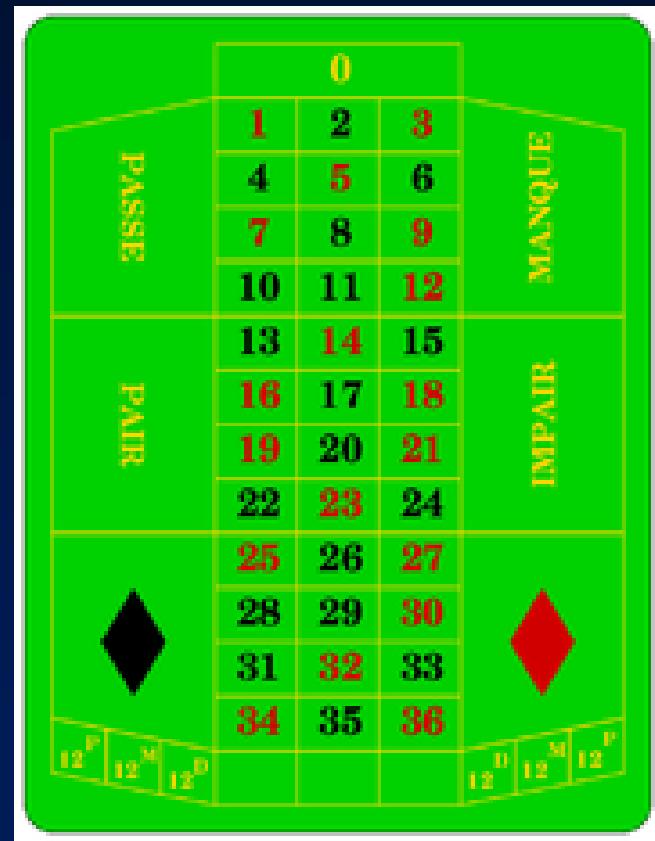
# DIRECT-Protect 2

Effect of candesartan on progression of retinopathy in type 2 diabetic patients

# DIRECT-Protect 2: Retinopathy levels at baseline (worst eye)



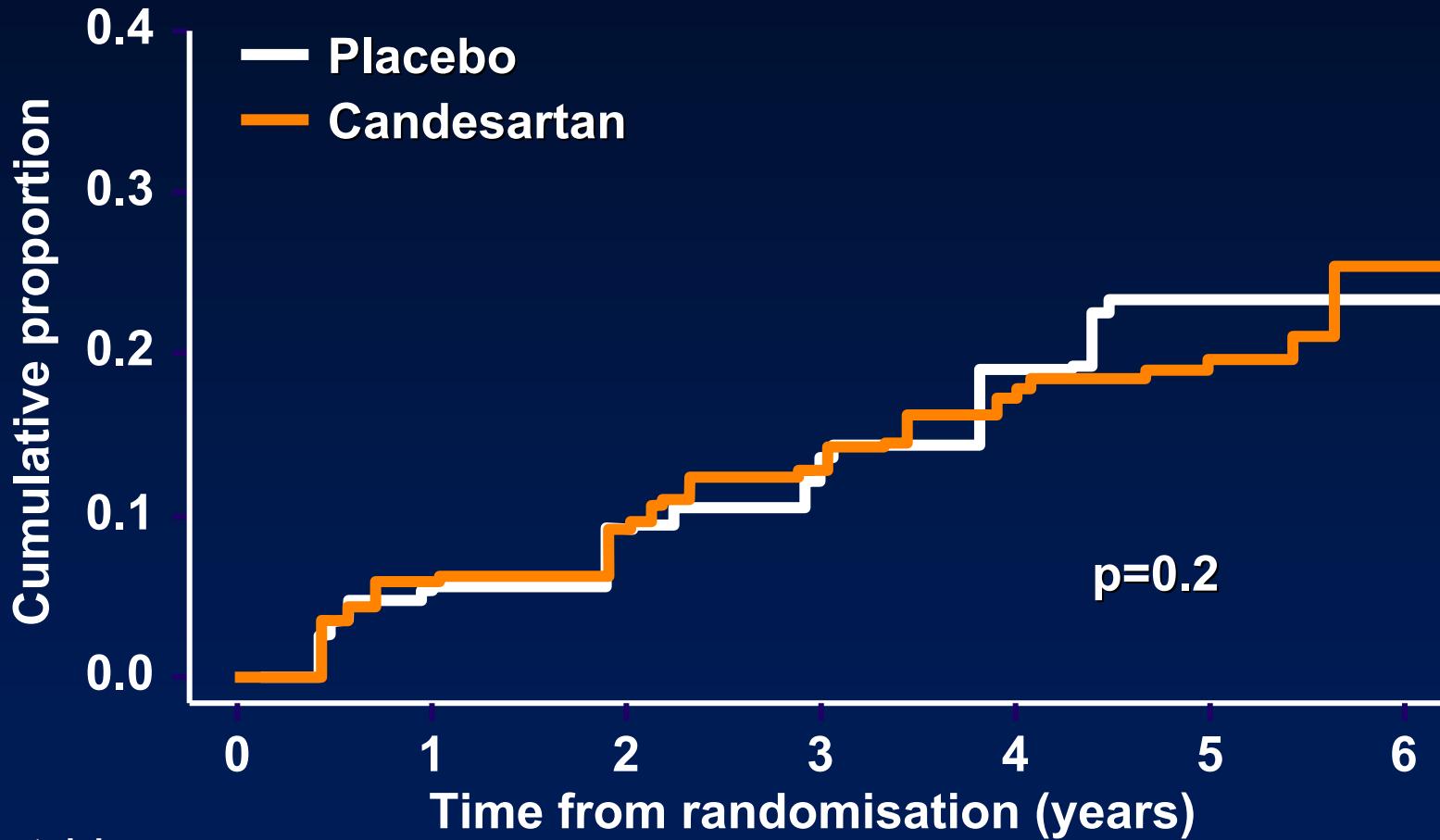
# È vitale puntare sul numero giusto ... ... DR progression o regression?



# DIRECT Programme: Outcome measures

- The primary endpoint is
  - 2-step change in ETDRS level for incidence
  - 3-step change in ETDRS level for progression
- Secondary endpoints include
  - regression of retinopathy  
(3-step or 2-step sustained)
- Change in overall retinopathy severity

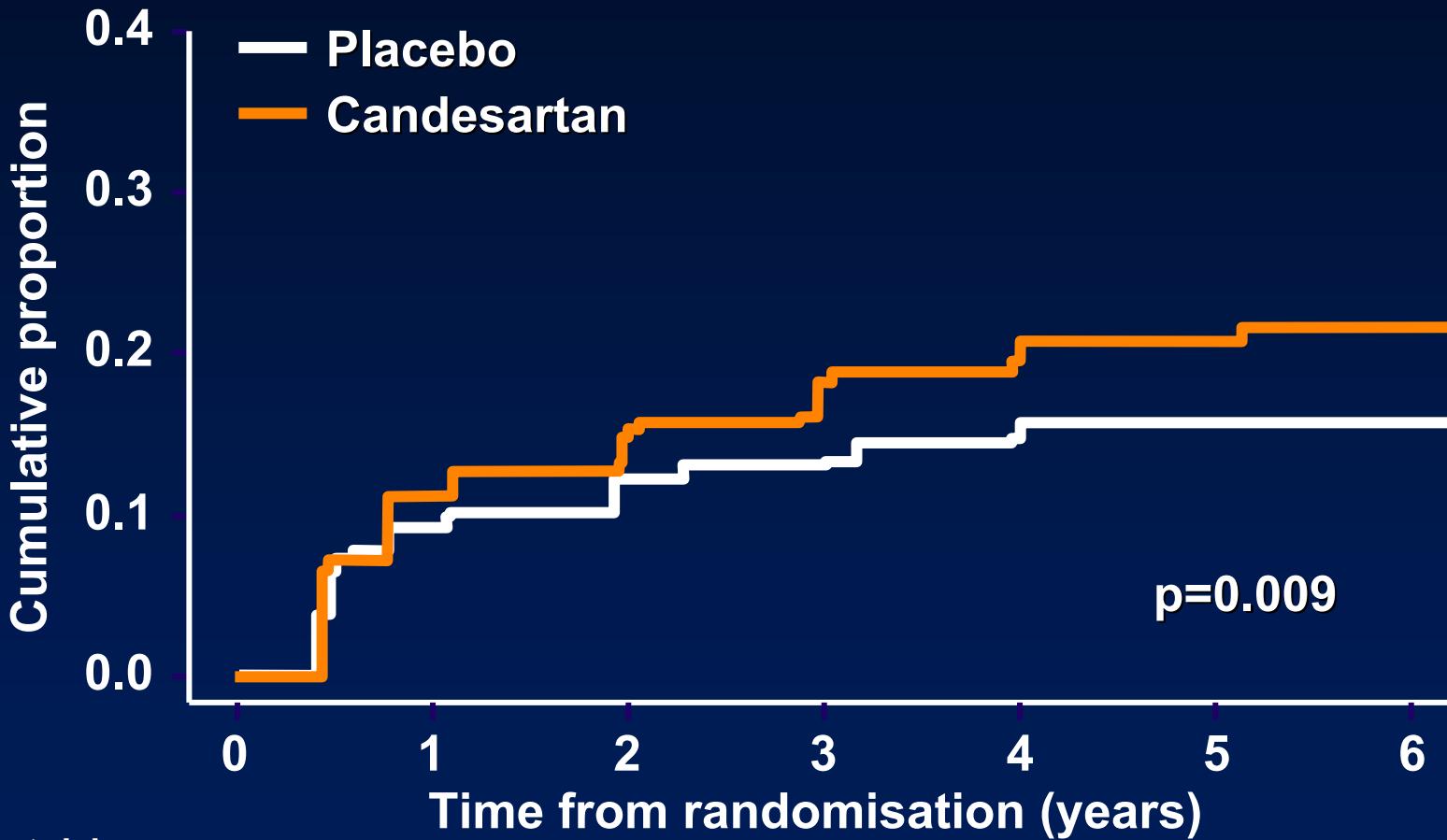
# DIRECT-Protect 2: Retinopathy progression 3-step change



No at risk

Placebo	954	845	794	737	513	112	3
Candesartan	951	848	807	737	540	123	0

# DIRECT-Protect 2: Retinopathy regression



No at risk

Placebo 954

812

760

713

510

93

1

Candesartan 951

811

755

692

492

100

0

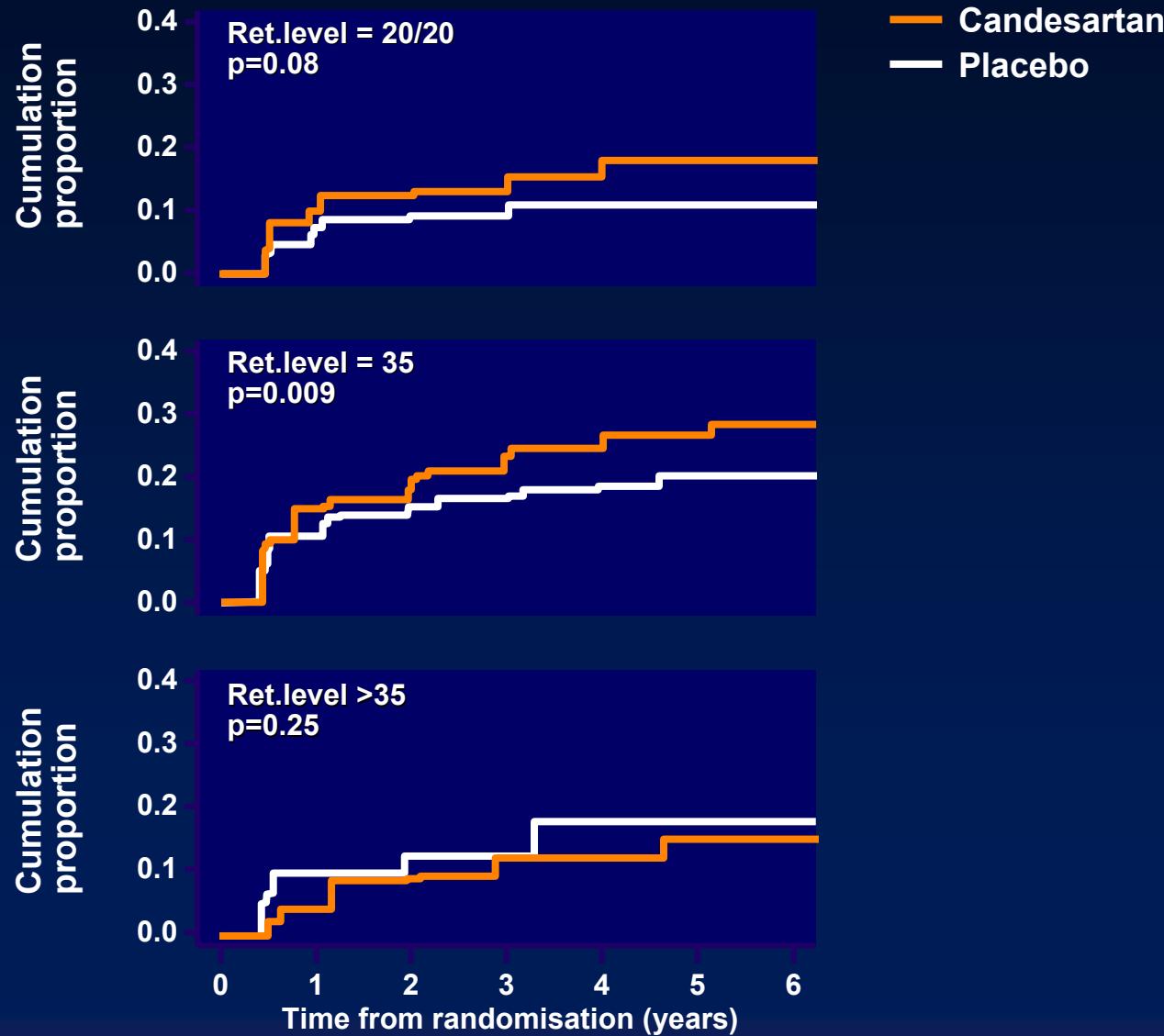
# DIRECT-Protect 2: Hazard ratios for retinopathy regression (candesartan vs placebo)

	HR	95% CI	
		Lower	Upper
Unadjusted	1.34	1.08	1.68
Adjusted*	1.38	1.11	1.73
Adjusted**	1.33	1.06	1.67

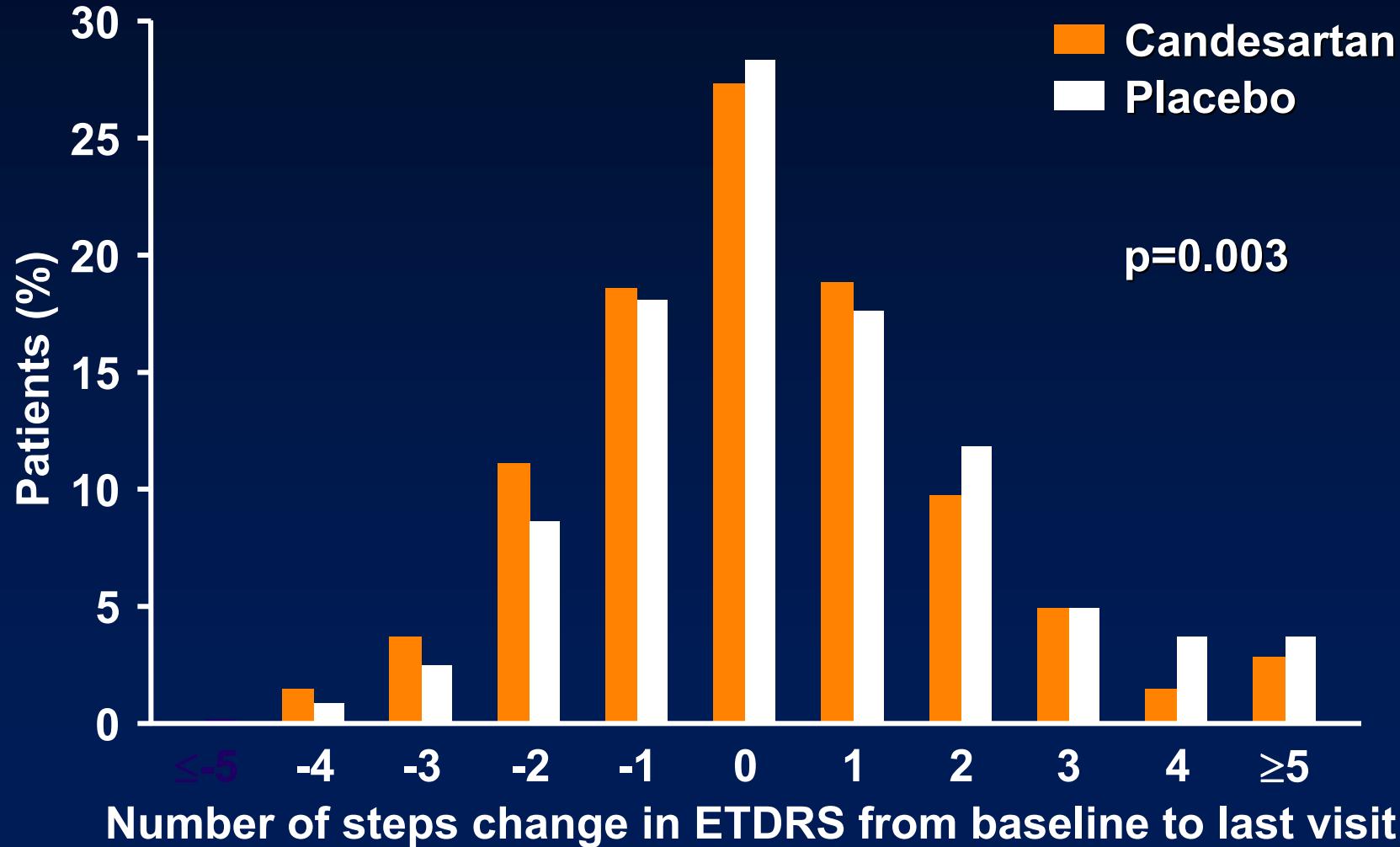
\* Pre-specified adjustment for baseline level of retinopathy, diabetes duration, HbA<sub>1c</sub>, UAER, SBP and antihypertensive treatment

\*\* Pre-specified adjustment for baseline level of retinopathy, diabetes duration, HbA<sub>1c</sub>, UAER, antihypertensive treatment and SBP during study

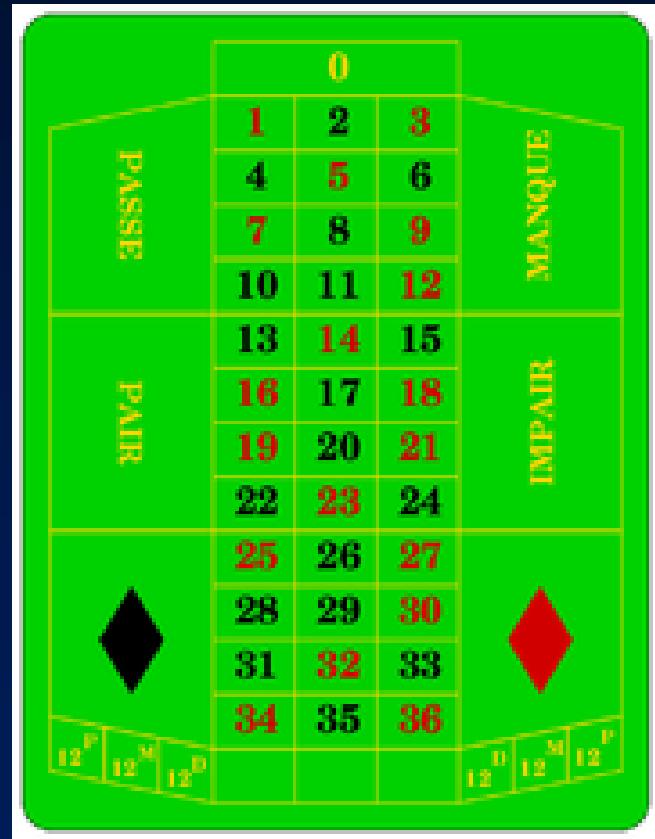
# DIRECT-Protect 2: Regression of DR by baseline level



# DIRECT-Protect 2: Change in ETDRS level



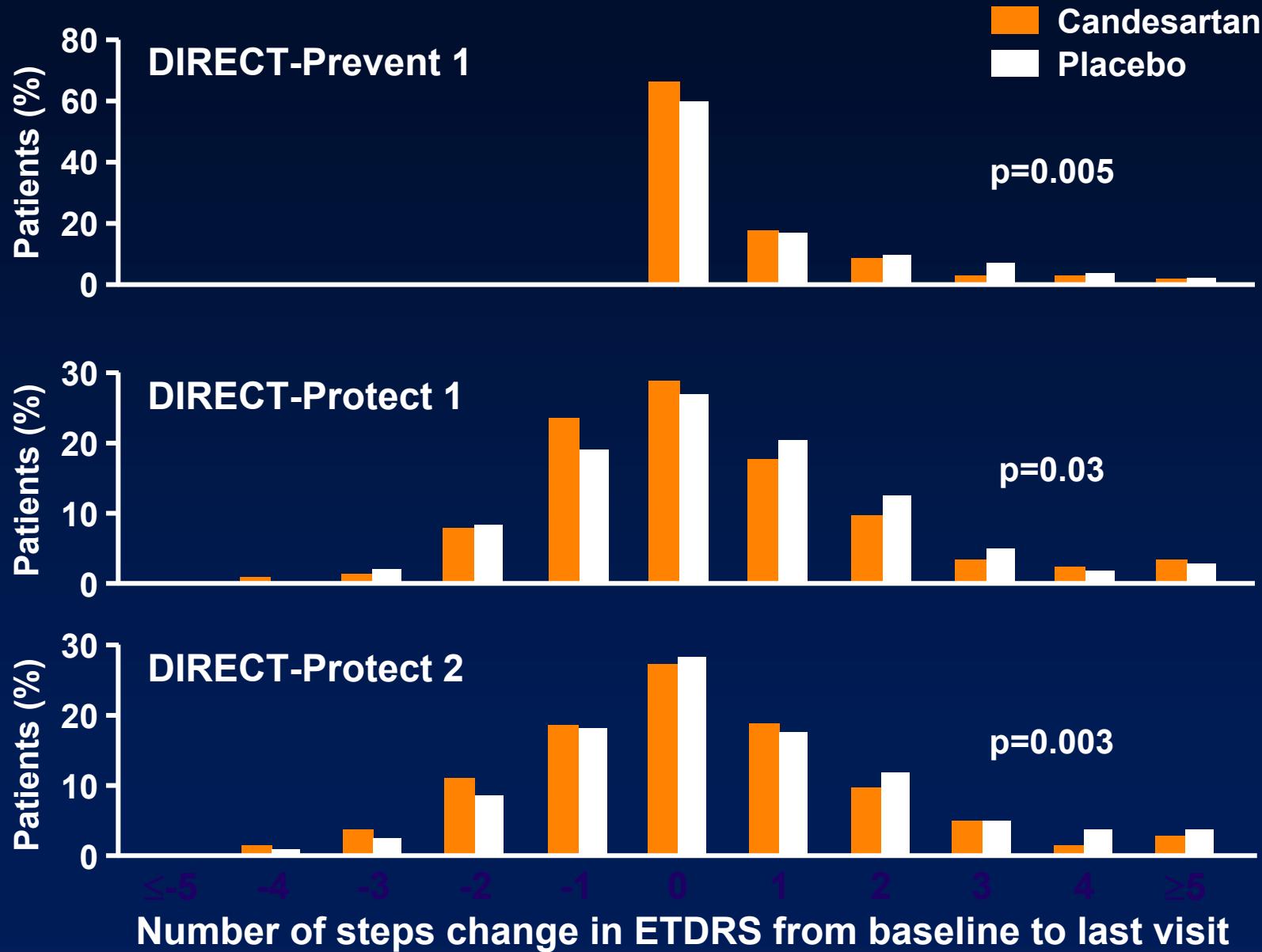
**È vitale puntare sul numero giusto ...  
... change in overall DR severity?**



# DIRECT Programme: Outcome measures

- The primary endpoint is
  - 2-step change in ETDRS level for incidence
  - 3-step change in ETDRS level for progression
- Secondary endpoints include
  - regression of retinopathy  
(3-step or 2-step sustained)
- **Change in overall retinopathy severity**

# DIRECT: Change in ETDRS level

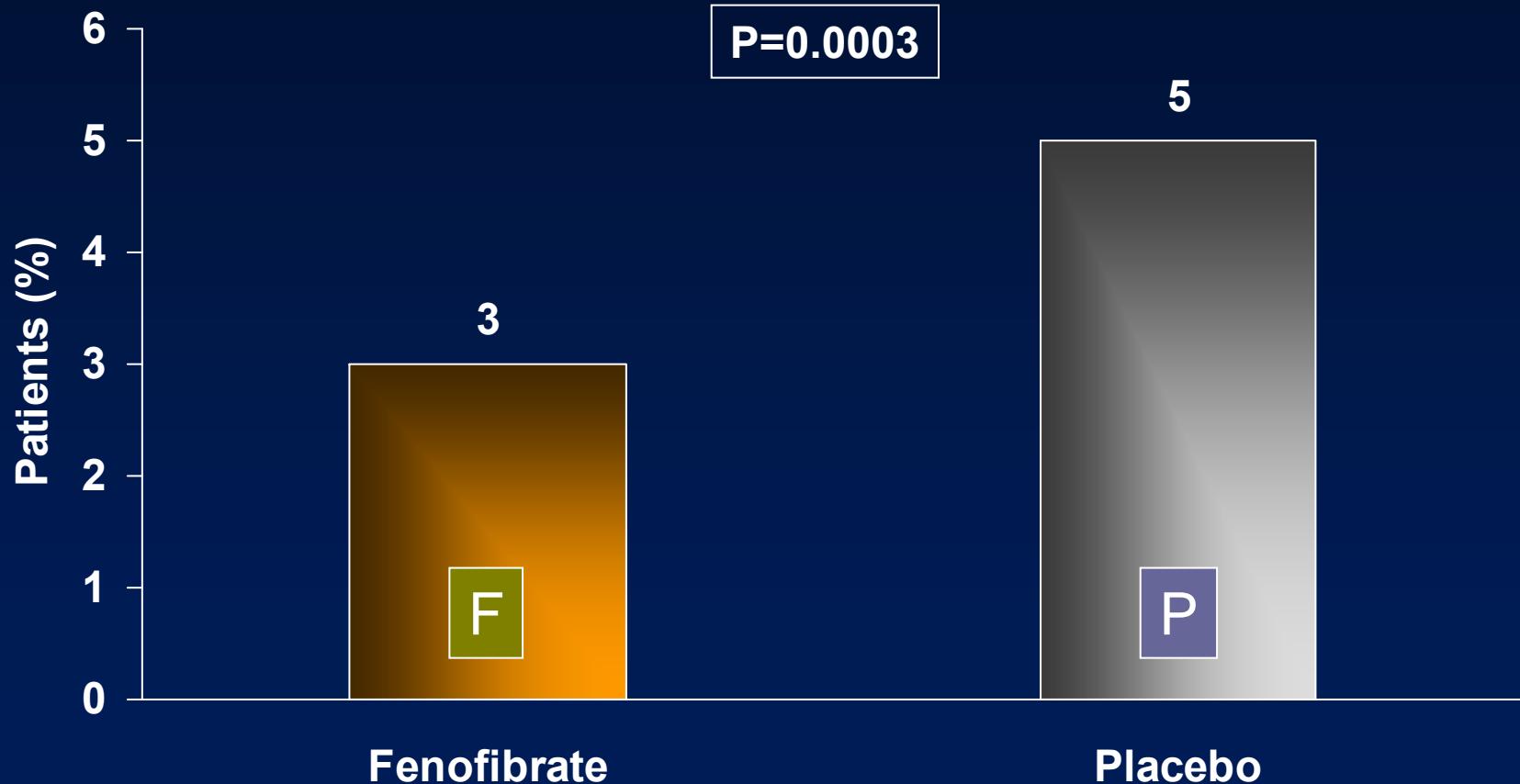


## **Storia n° 4 – Il fenofibrato**



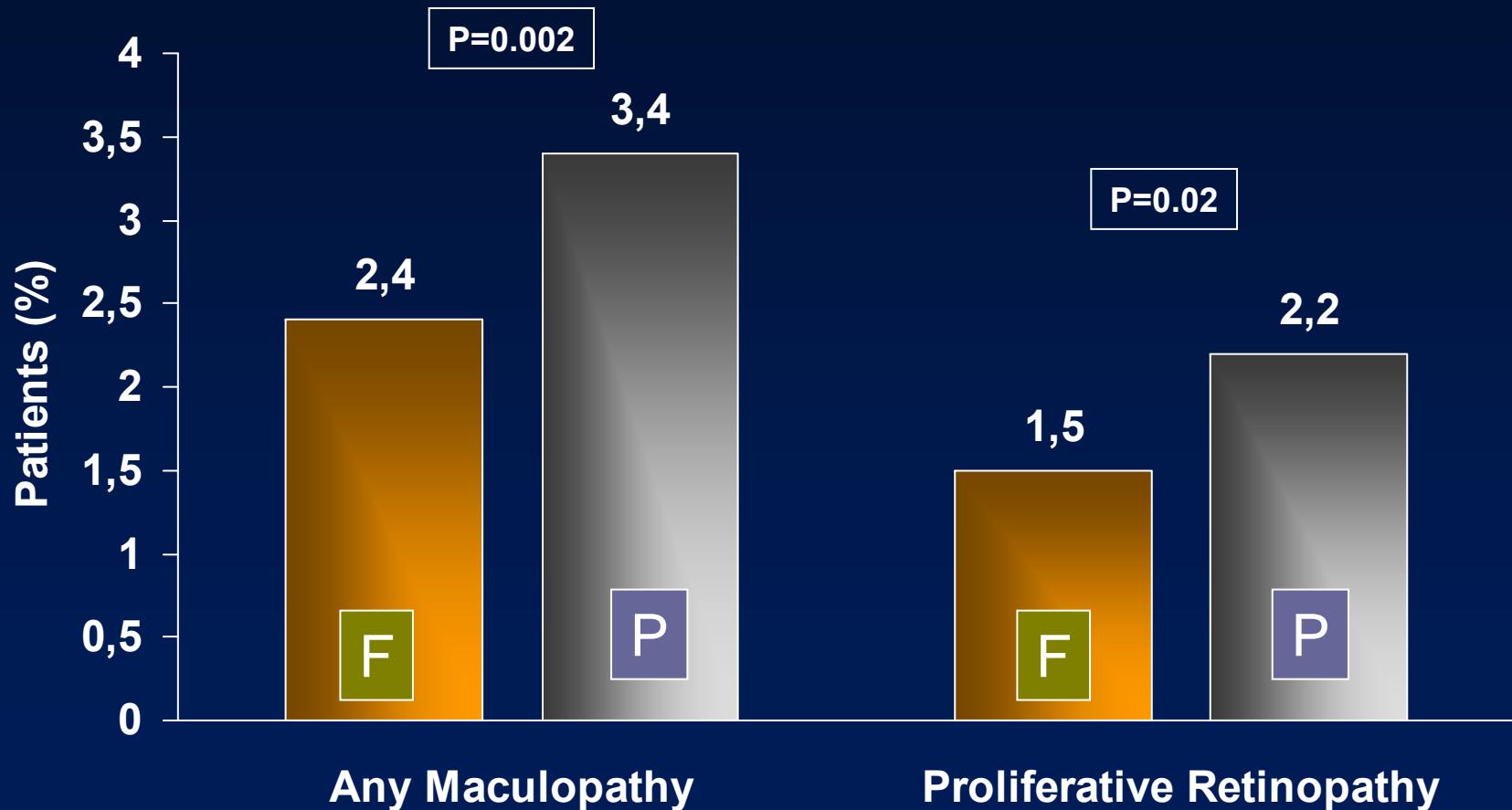
# Retinopathy in the FIELD Trial

## Overall Study: Laser Treatment for Retinopathy

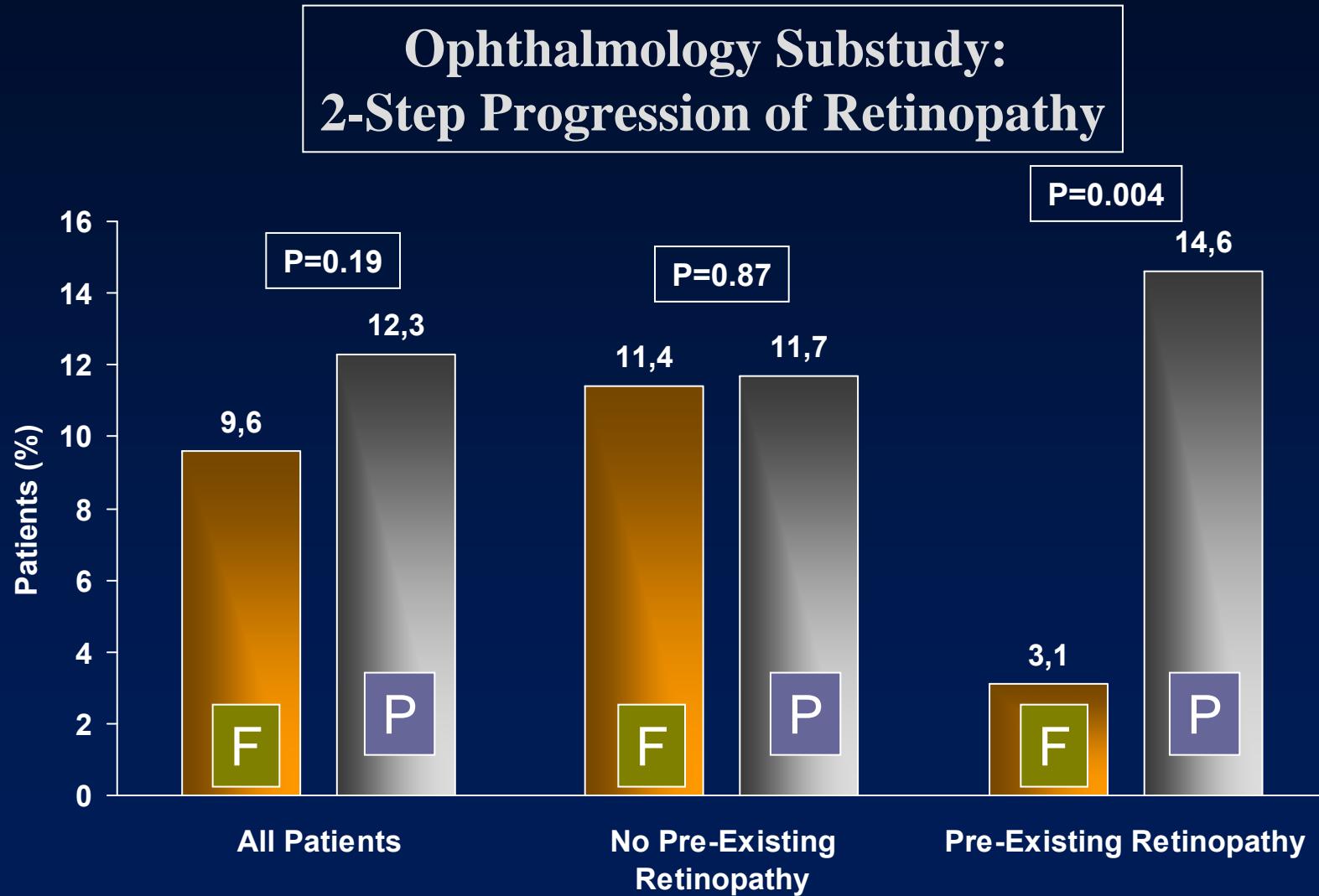


# Retinopathy in the FIELD Trial

## Overall Study: First Laser Treatment Events



# Retinopathy in the FIELD Trial



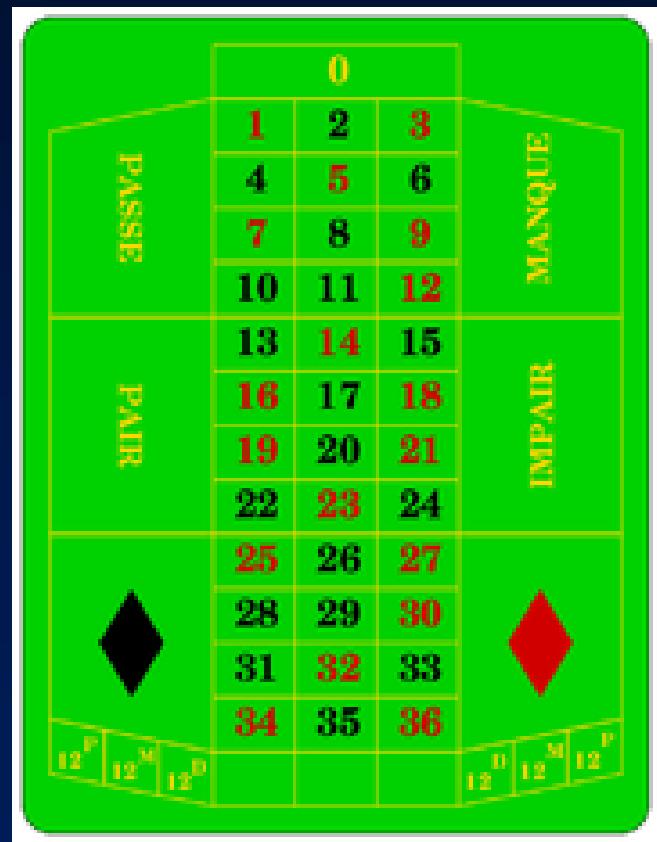
# **Retinopathy in the FIELD Trial**

In diabetic patients, 5 years of treatment with fenofibrate:

- Reduced the need for laser treatment for diabetic retinopathy
- Reduced 2-step progression of retinopathy grade in patients with but not without pre-existing retinopathy
- Did not reduce fasting glucose, A1c or blood pressure<sup>2</sup>

Keech et al. Lancet 2007; DOI:10.1016/S0140-6736(07)61607-9

# È vitale puntare sul numero giusto!

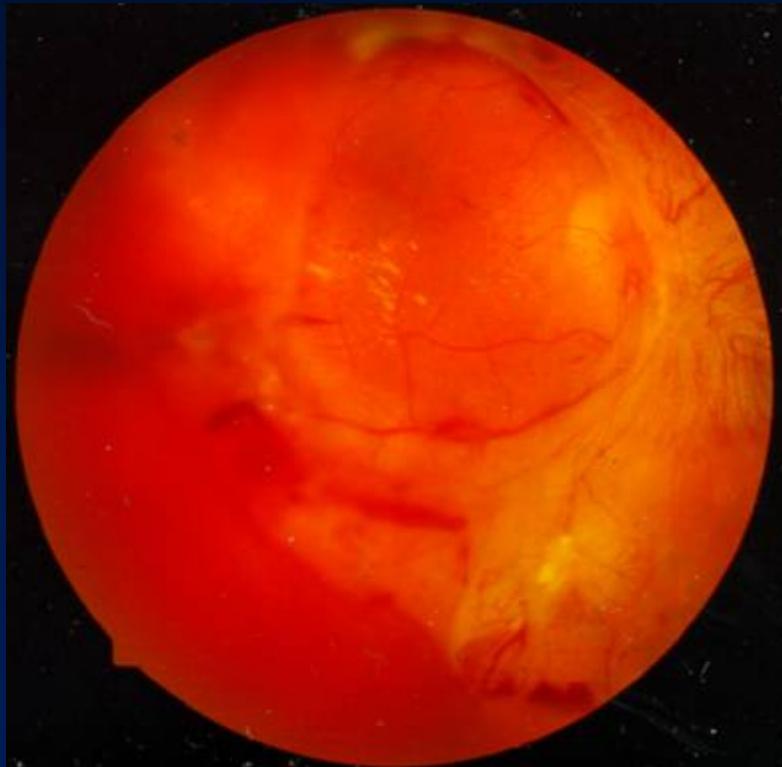


# Epilogo ...



# Growth Factor Mediation in Diabetic Retinopathy

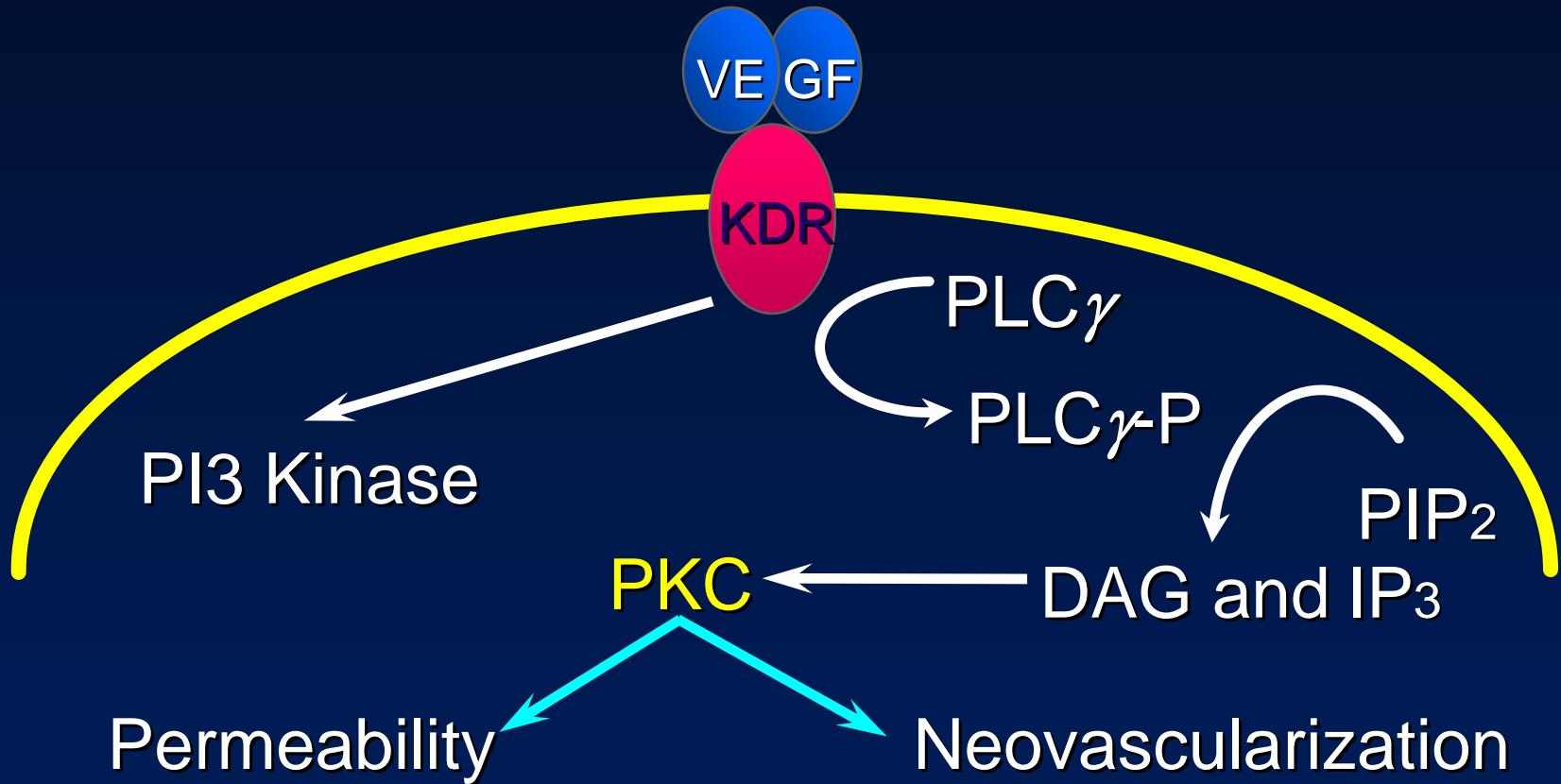
---



**VEGF, GH,  
IGF, FGF,  
HGF, PDGF,  
TGF, Ang,  
ATII, and  
many  
more...**

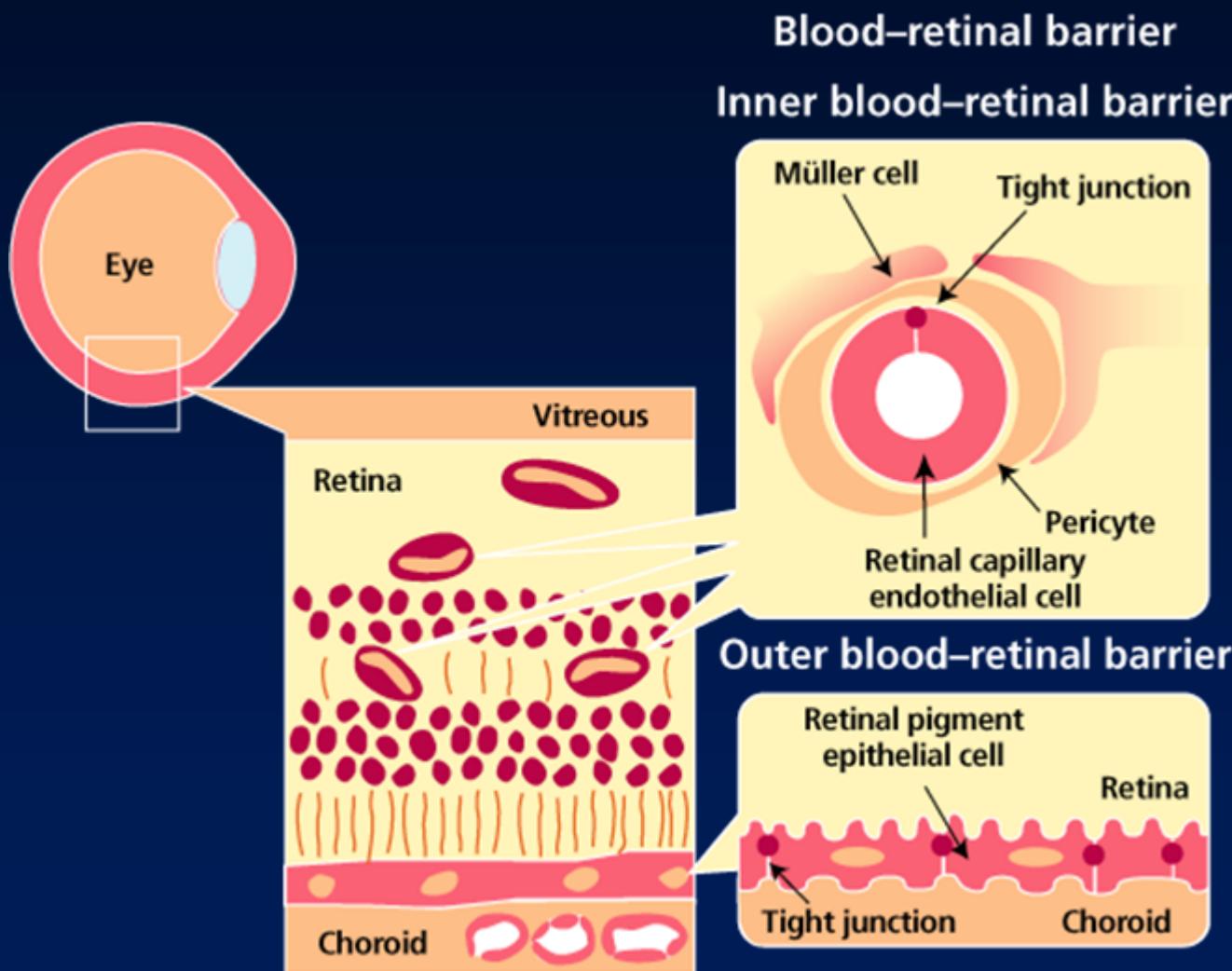
Ang = angiopoietin; ATII = angiotensin II; FGF = fibroblast growth factor;  
GH = growth hormone; HGF = hepatic growth factor; IGF = insulin-like growth factor;  
PDGF = platelet-derived growth factor; TGF = transforming growth factor;  
VEGF = vascular endothelial growth factor.

# Mechanism of VEGF Action

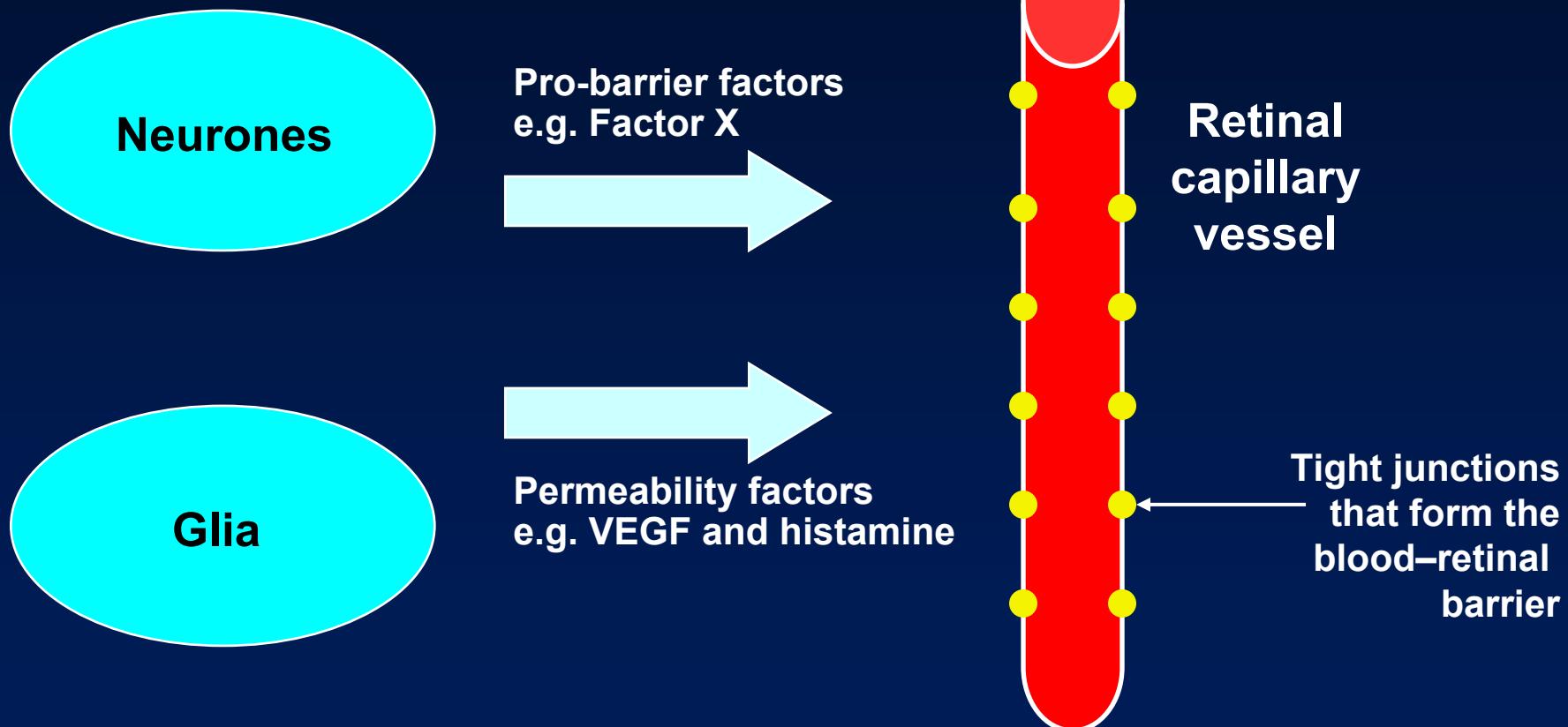


DAG = diacylglycerol; IP<sub>3</sub> = inositol 1,4,5-trisphosphate; KDR = kinase insert domain receptor;  
PI3 = phosphatidylinositol 3-kinase; PLC $\gamma$  = phospholipase C- $\gamma$ ; PIP<sub>2</sub> = phosphatidylinositol 4, 5 biphosphate.  
Xia P et al. *J Clin Invest.* 1996;98:2018-2026.

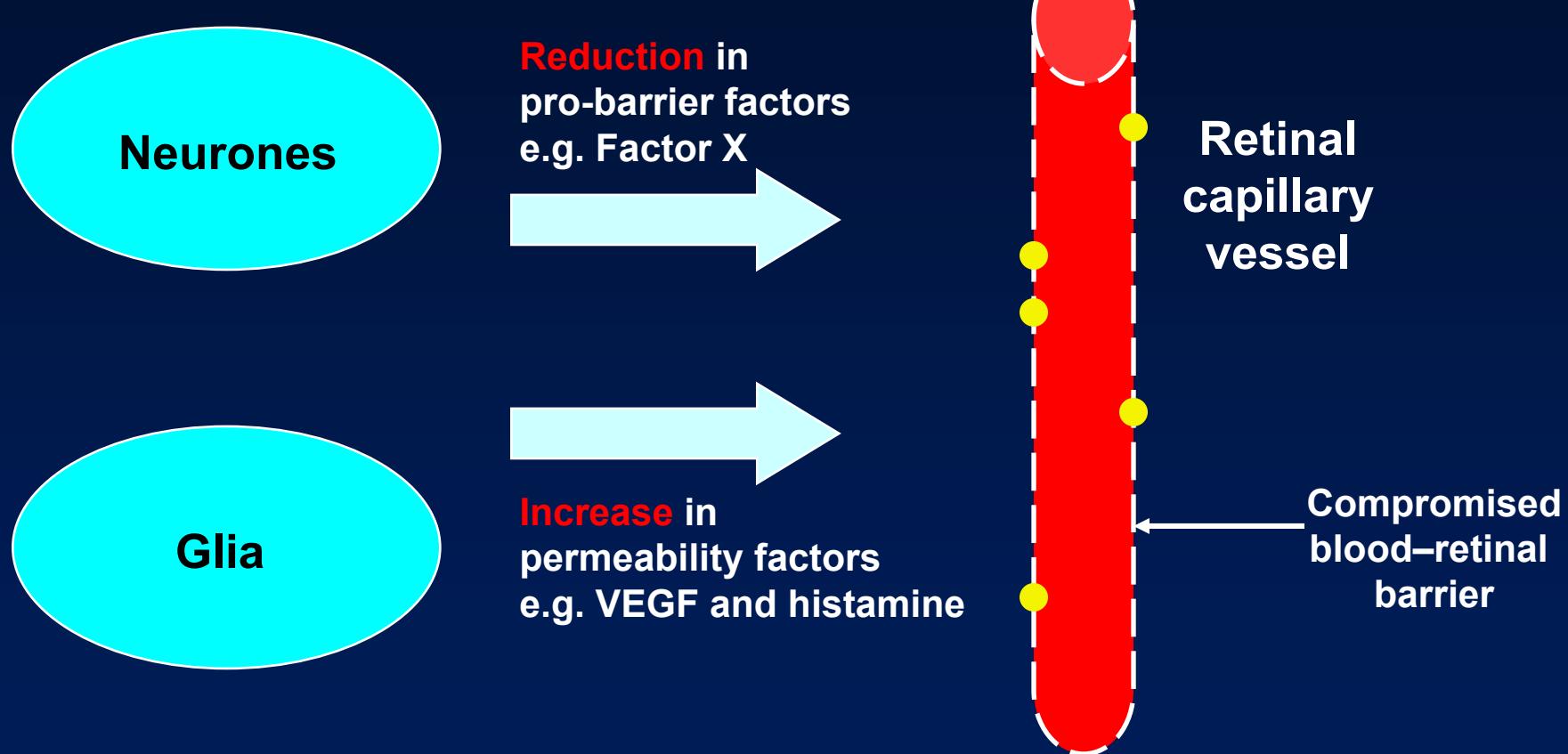
# Blood-retinal barrier



# Blood–retinal barrier

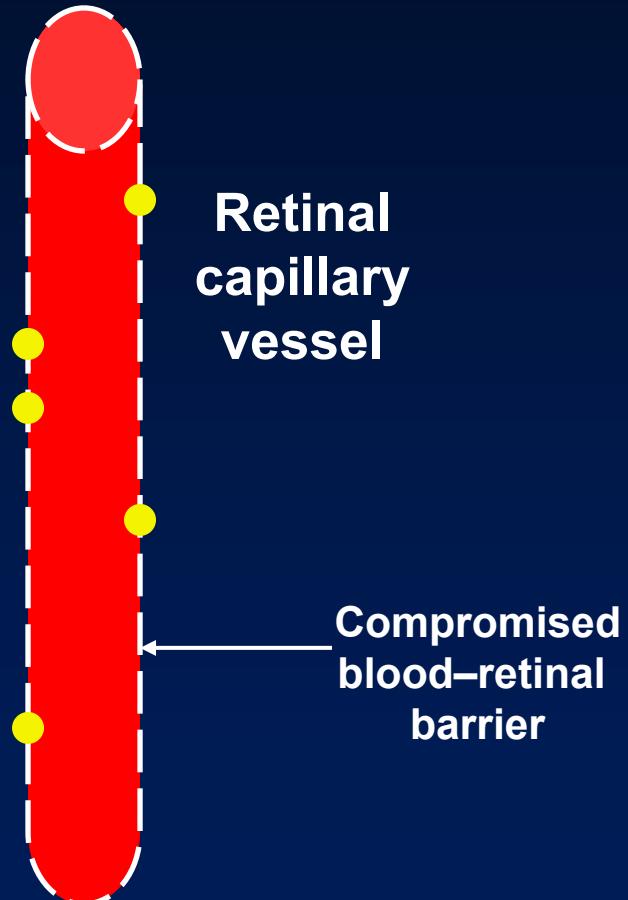


# Blood–retinal barrier in diabetes

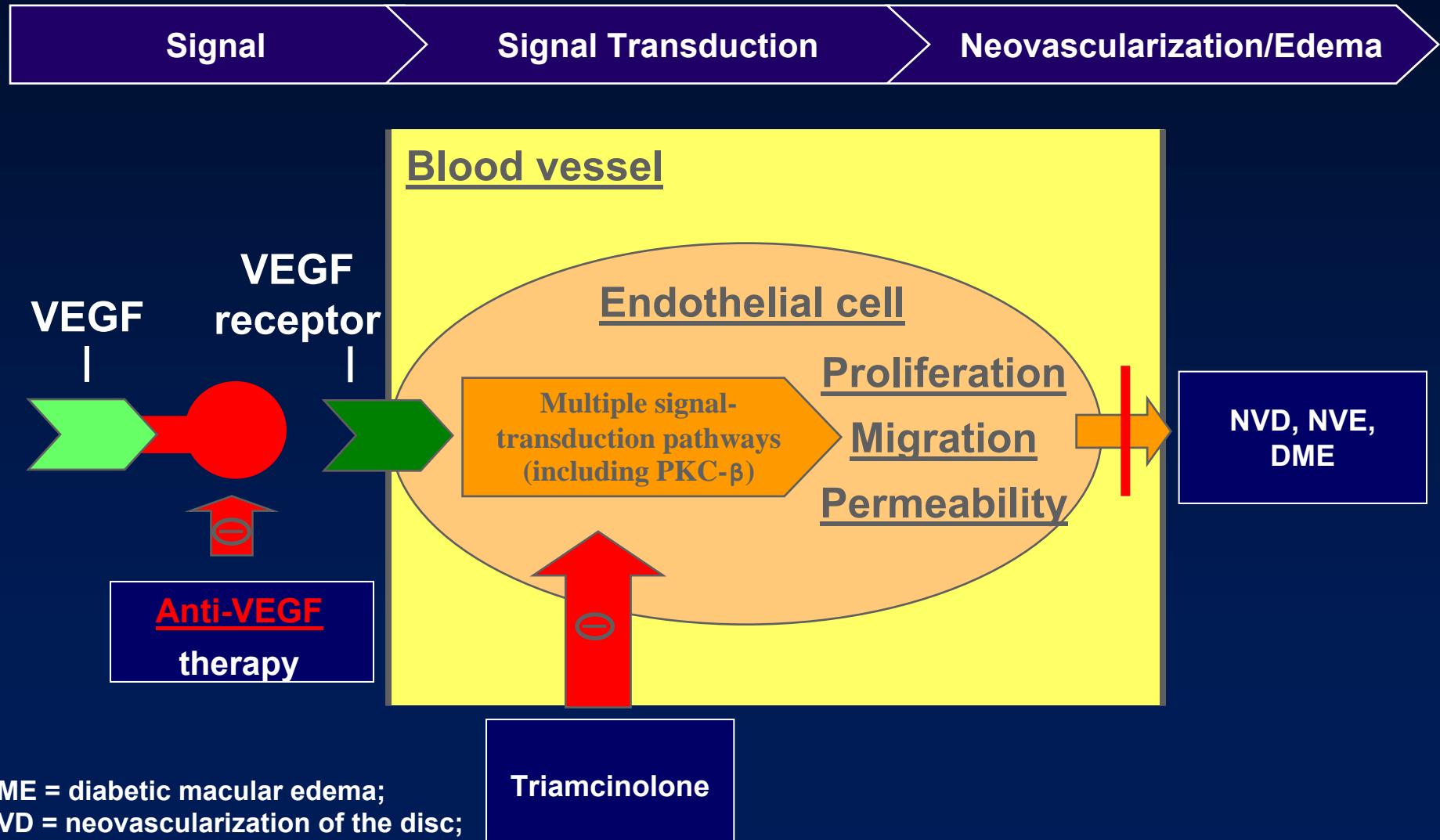


# VEGF: key player in diabetic retinopathy

- VEGF also disrupts the expression of proteins maintaining the tight junctions of the blood–retinal barrier
- As a result, vascular permeability increases



# Inhibition of Angiogenesis/Permeability



# Agents for intra-vitreal use

Agent	Action	Indication
<b>Pegaptanib sodium</b>	Intravitreal aptamer VEGF inhibitor	DME
<b>Ranibizumab</b>	Intravitreal humanized anti-VEGF antibody fragment	DME
<b>Bevacizumab</b>	Anti-VEGF antibody	DME
<b>Triamcinolone acetonide</b>	Intravitreal steroid injection	DME

# Grazie per l'attenzione e ... buona fortuna a tutti!

