



**JA PreventNCD**

Joint Action Prevent Non-Communicable Diseases

**Pilot 8.4.b: Estimating cancer recurrence**

**JA PreventNCD T8.3 & T8.4 Meeting  
Istituto Superiore di Sanità (ISS), Rome,  
Tuesday 5th November 2024**

## **Pilot 8.4.b: Estimating cancer recurrence**

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## **PRELIMINARY FINDINGS WAS CO-FUNDED BY**

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**We have no disclosures to declare**



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## Pilot 8.4.b: Estimating cancer recurrence

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# Outline presentation

- Motivation and Context
  - People living after a cancer diagnosis (complete prevalence) are increasing
  - Several indicators of cancer cure are available
  - Information on cancer recurrence can support decisions by oncologists and policy makers on the best follow-up plans and have several important implications for health economic evaluations.
- 8.4.B PILOT: Piloting the estimation of progression to cancer recurrence and long-term side effect
  - Background
  - Pilot protocol: aim/methods-required data
  - Application to Breast Cancer data
  - Remarks and Conclusions

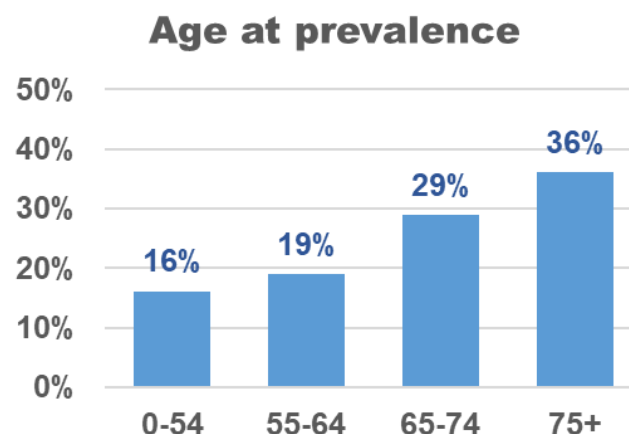
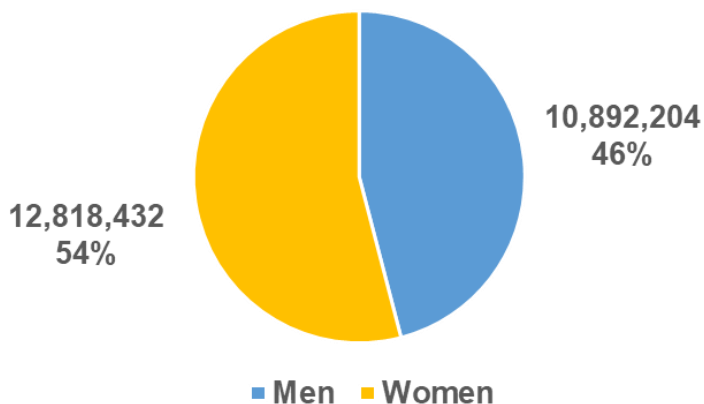


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### Complete prevalence from in Europe, 2020

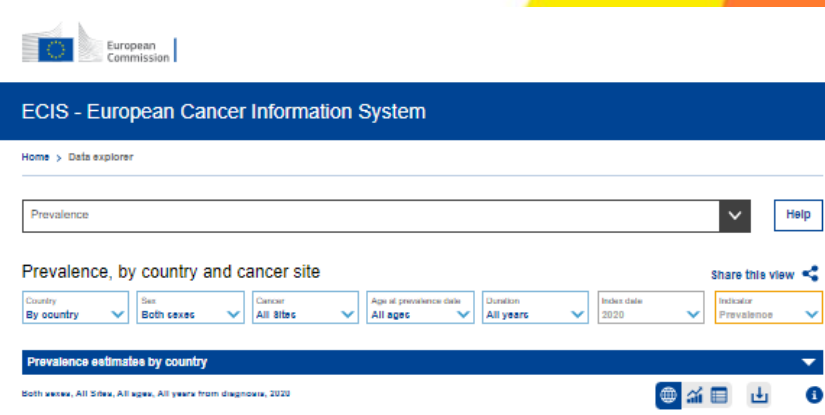


### 23.7 million

- 5% of the total population (448 mln)
- 54% women (12.8 mln)
- 35% below age 65 (8.2 mln)
- 38% long term survivors, 10+ (9.1 mln)



Estimates are accessible for consultation on the **EUROPEAN CANCER INFORMATION SYSTEM (ECIS)** web-site  
<https://ecis.jrc.ec.europa.eu/>



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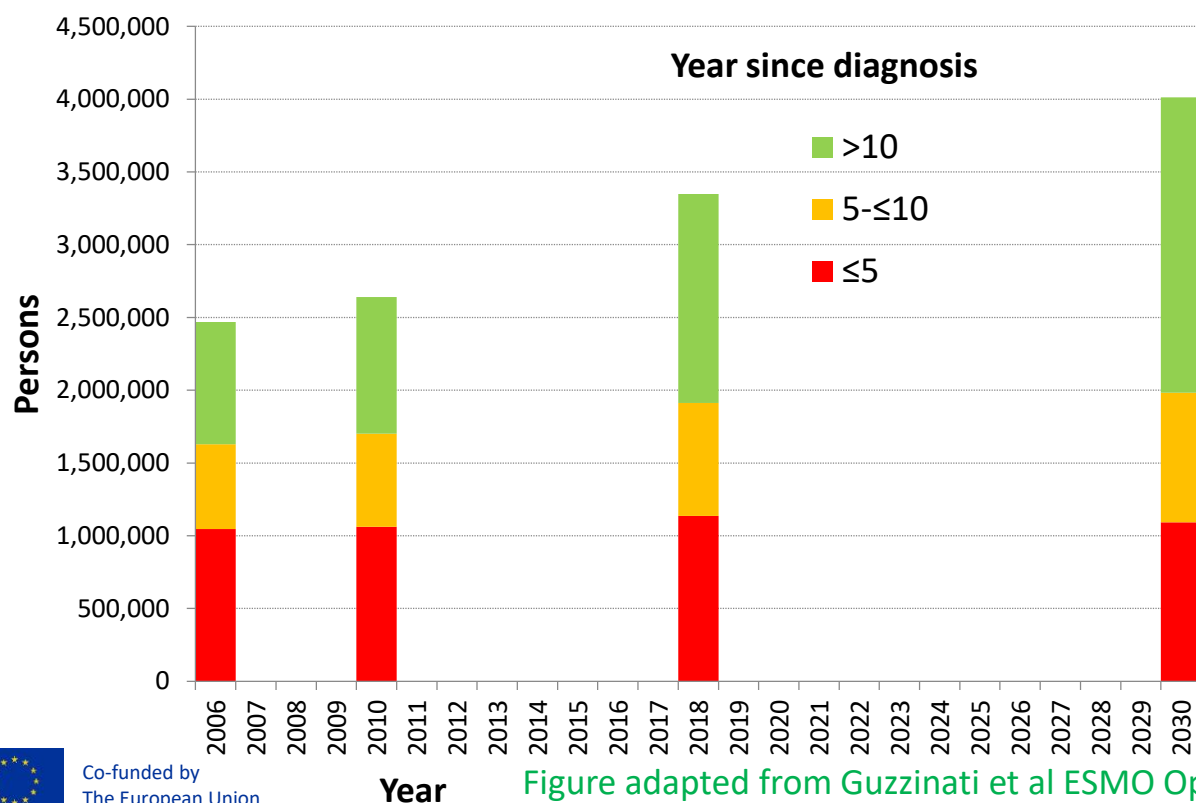
Figure adapted from De Angelis et al. Lancet Oncology 2024  
doi: 10.1016/S1470-2045(23)00646-0



European Network  
of Cancer Registries



### Complete prevalence from 2006 to 2018 and projections to 2030 in Italy, by time since diagnosis



*4 million Italians will live in 2030,  
~7% of italians  
2 millions since more than 10 years*

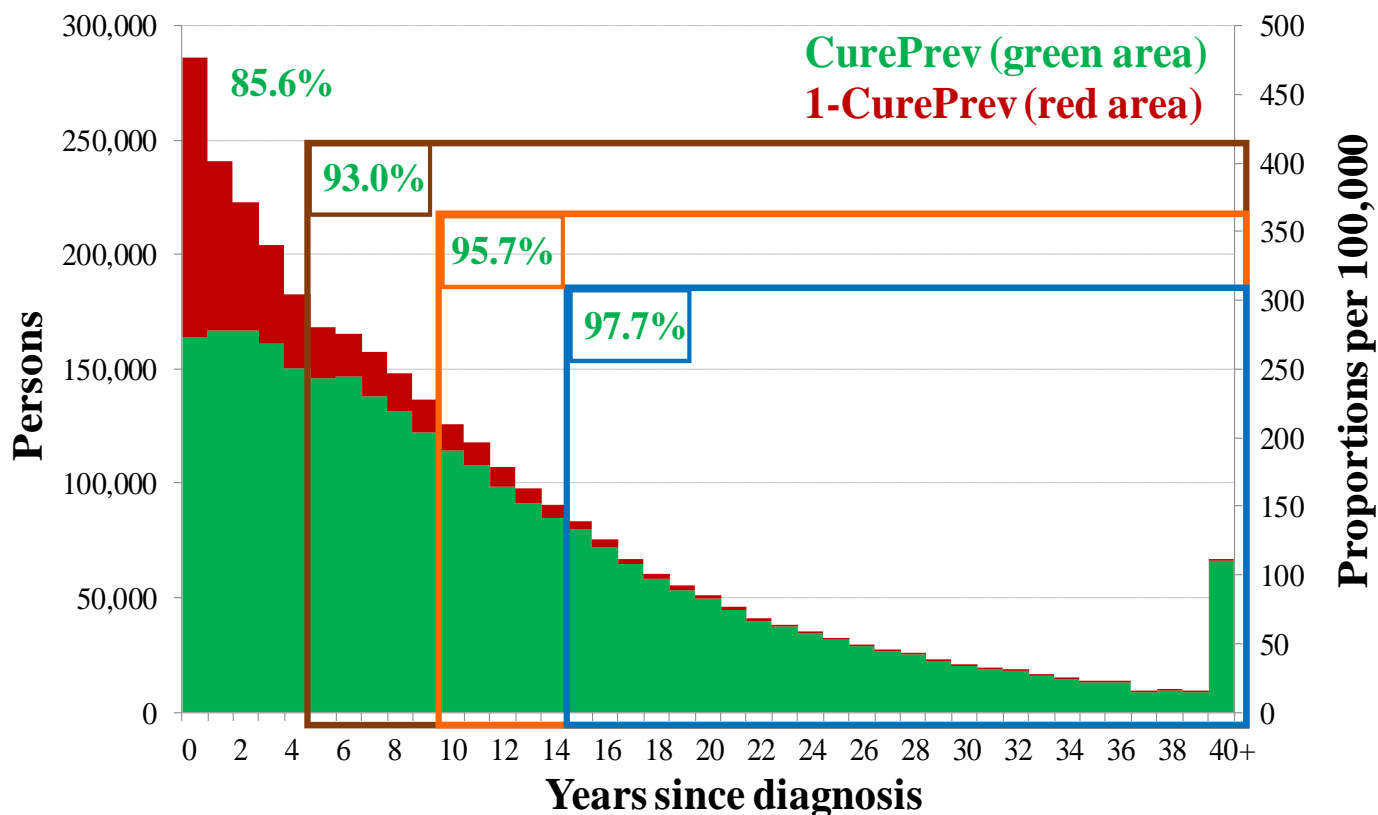


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**Indicators of cure we are able to calculate:**  
**Cure prevalence (How many prevalent patients will not die of their disease?)**



**Squares include people alive at least 5 years after diagnosis (olive), at least 10 years (orange), and at least 15 years after diagnosis (blue).**

Corresponding proportions include patients who will not die from the disease in each group.

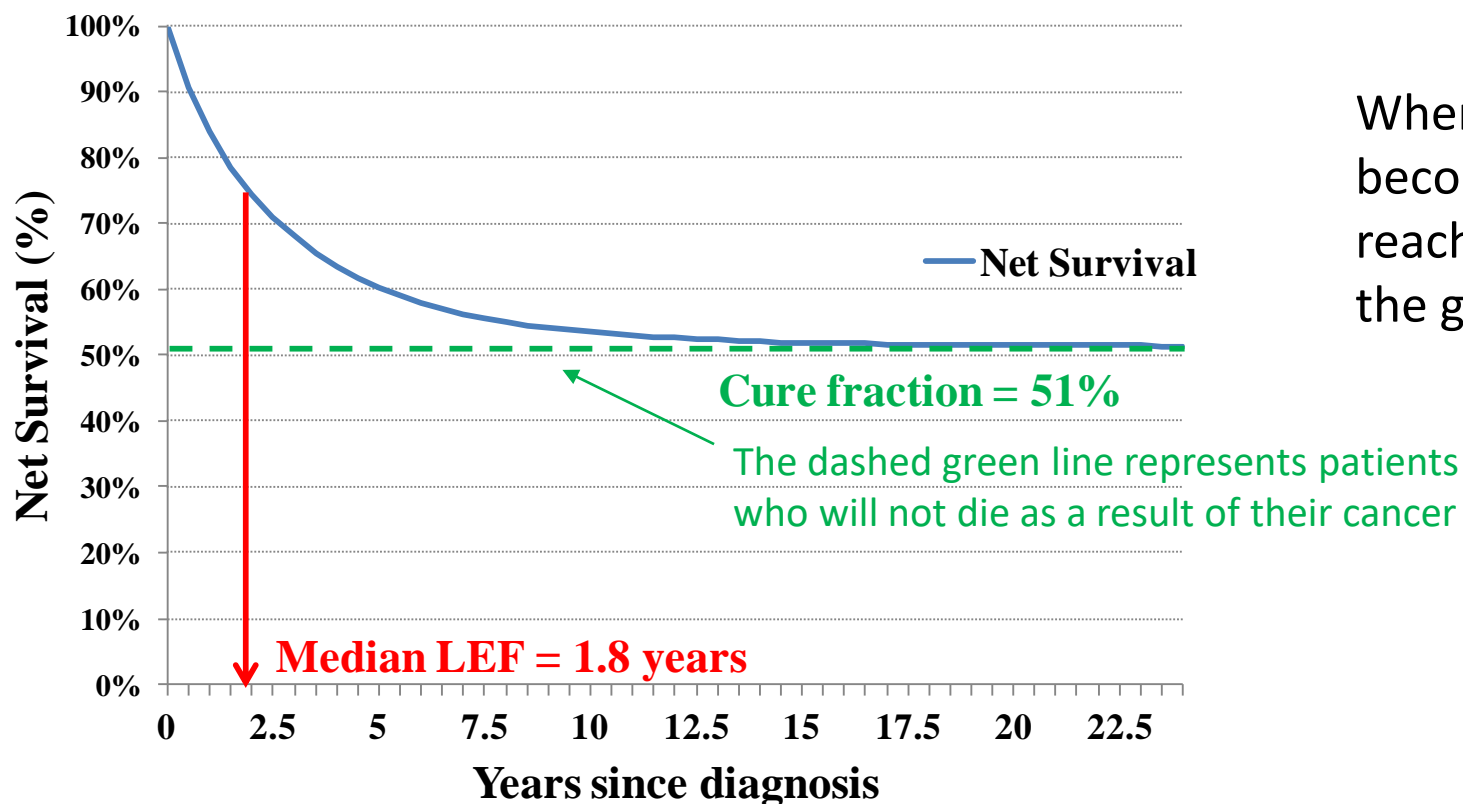


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Figure adapted from Guzzinati et al ESMO Open 2024  
doi: 10.1016/j.esmoop.2024.103635



### Indicators of cure we are able to calculate: Cure fraction (How many patients will be cured?)



When the survival curve becomes flat, cancer cases reach the same death rates of the general population.



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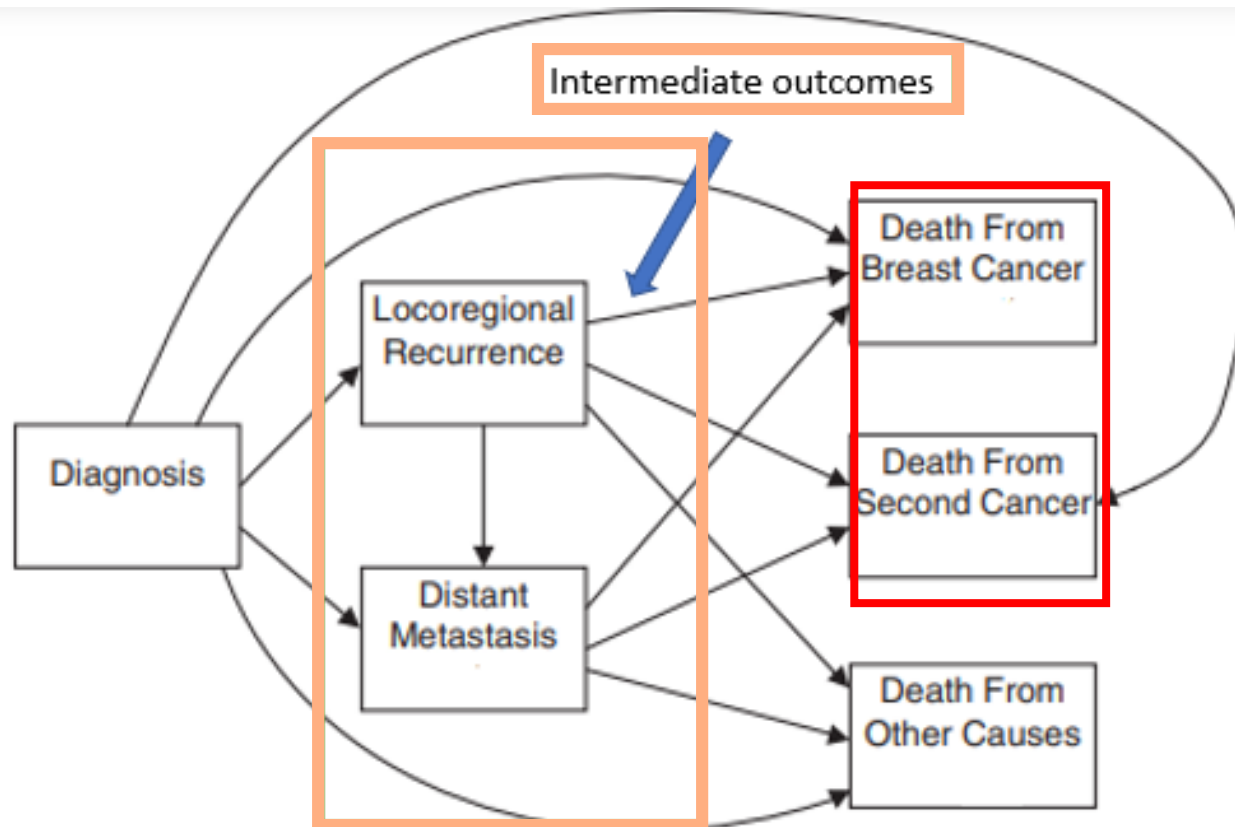
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# Background

## Pilot 8.4.b: Estimating cancer recurrence



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High interest in data collection as well as methods to understand **intermediate outcomes**, including **the number and percent of patients living with recurrence**

**This information may support decisions of oncologists and policy makers on the best follow-up plans, in terms of outcomes and costs.**



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Figure adapted from Eulenburg C. et al. A Comprehensive Multistate Model Analysing Associations of Various Risk Factors With the Course of Breast Cancer in a Population-Based Cohort of Breast Cancer Cases. *AJE* (2016) DOI: 10.1093/aje/kwv163.



## How to retrieve information on recurrence?



Lack of recurrence information has led in recent years to explorations of **several approaches to determine the risk and frequency of cancer recurrence**









## Methods to retrieve information on recurrence?

- **Model-based approaches**
- **Population-based methods**
  - Based on manual revision
  - Based on administrative datasets

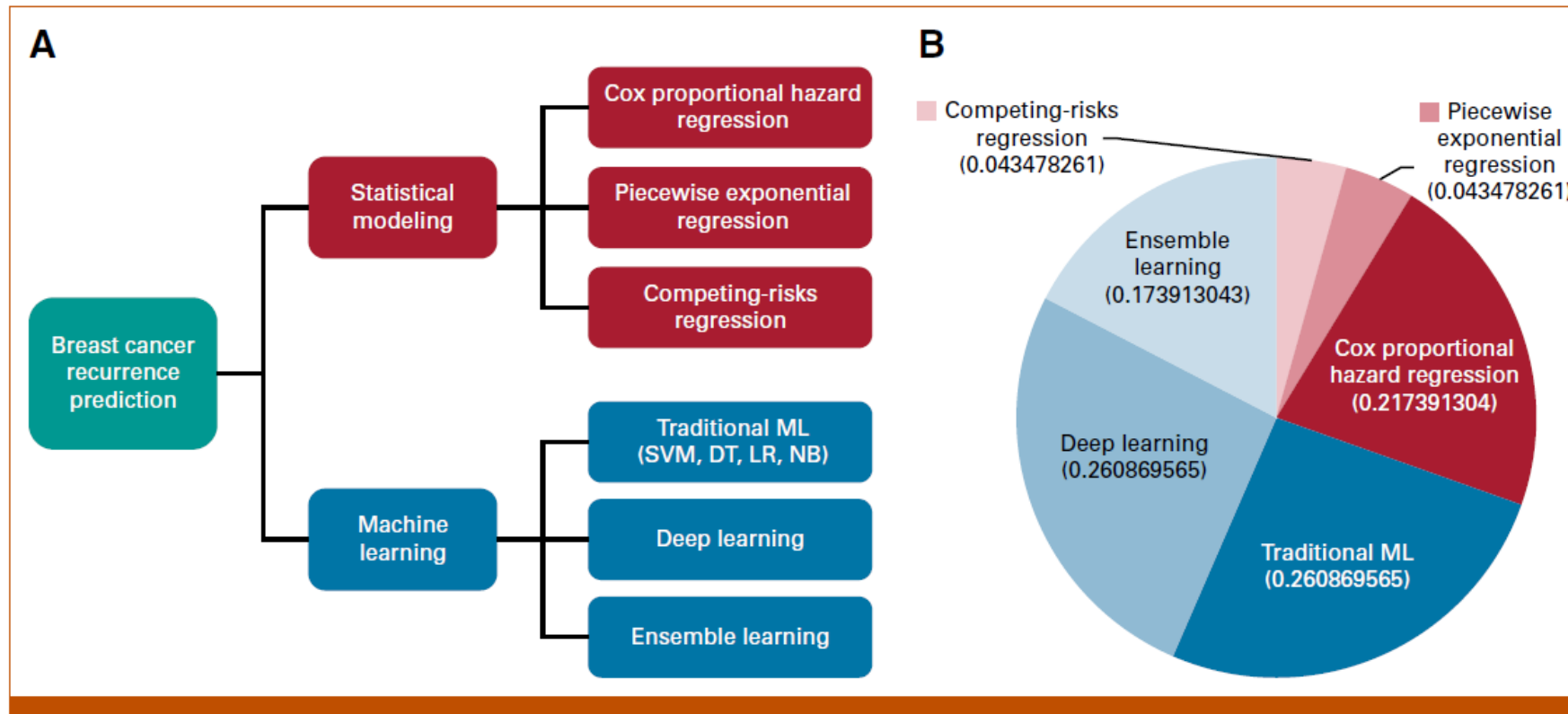
# Background

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### Evolution of Breast Cancer Recurrence Risk Prediction: A Systematic Review of Statistical and Machine Learning–Based Models

Hasna El Haji, PhD<sup>1,2,3</sup> ; Amine Souadka, MD<sup>4</sup> ; Bhavik N. Patel, MD, MBA<sup>1,2</sup>; Nada Sbihi, PhD<sup>3</sup>; Gokul Ramasamy, MS<sup>1,2</sup> ; Bhavika K. Patel, MD<sup>1</sup> ; Mounir Ghogho, PhD<sup>3,5</sup> ; and Imon Banerjee, PhD<sup>1,2</sup> 

DOI <https://doi.org/10.1200/CCI.23.00049>



ML-based prediction models exhibit outstanding performance, yet their practical applicability might be hindered by limited interpretability and reduced generalization.



# Background

Population-based cancer registry



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Challenge: **population-based data on recurrence is not yet available**

**Cancer registry do not routinely collect information on cancer progression or recurrence.**



European Network  
of Cancer Registries

The European Network of Cancer Registries (ENCR) established a multi professional **Working Group on Cancer Recurrences** proposes a new Recommendation for recording recurrence, transformation and progression for population based cancer registries.



# Background

This approach requires

-access to clinical chart

- Identification of recurrence/progression via manual case review

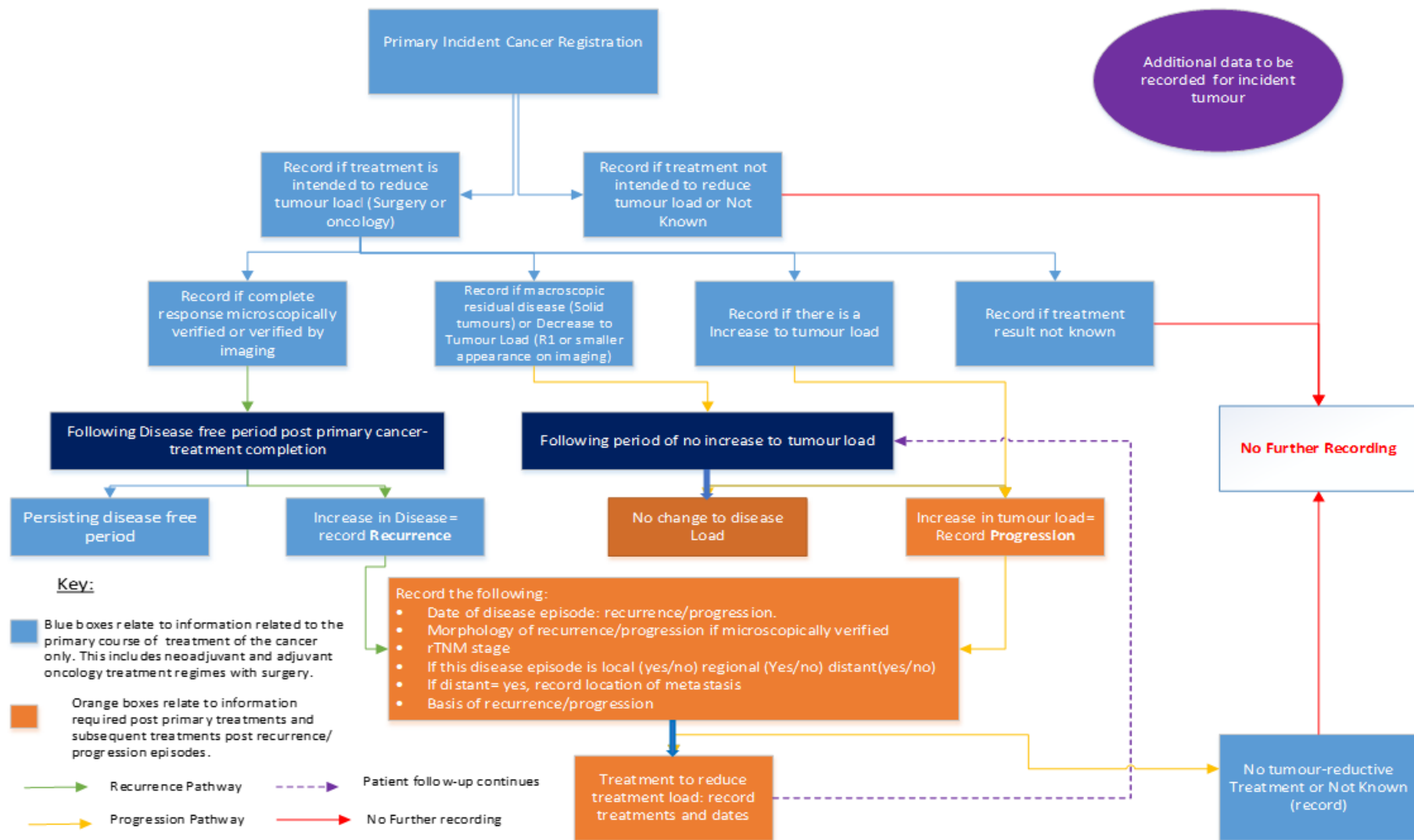


PROS: standardised collection of recurrence/progression data

CONS: time consuming and expensive

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### Solid Tumour Recurrence and Progression Work-Flow Diagram



# Background

## Pilot 8.4.b: Estimating cancer recurrence

Population-based cancer registry ↔ administrative healthcare databases

Data-linkage



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Population administrative healthcare databases can potentially be used to estimate breast cancer recurrence rates

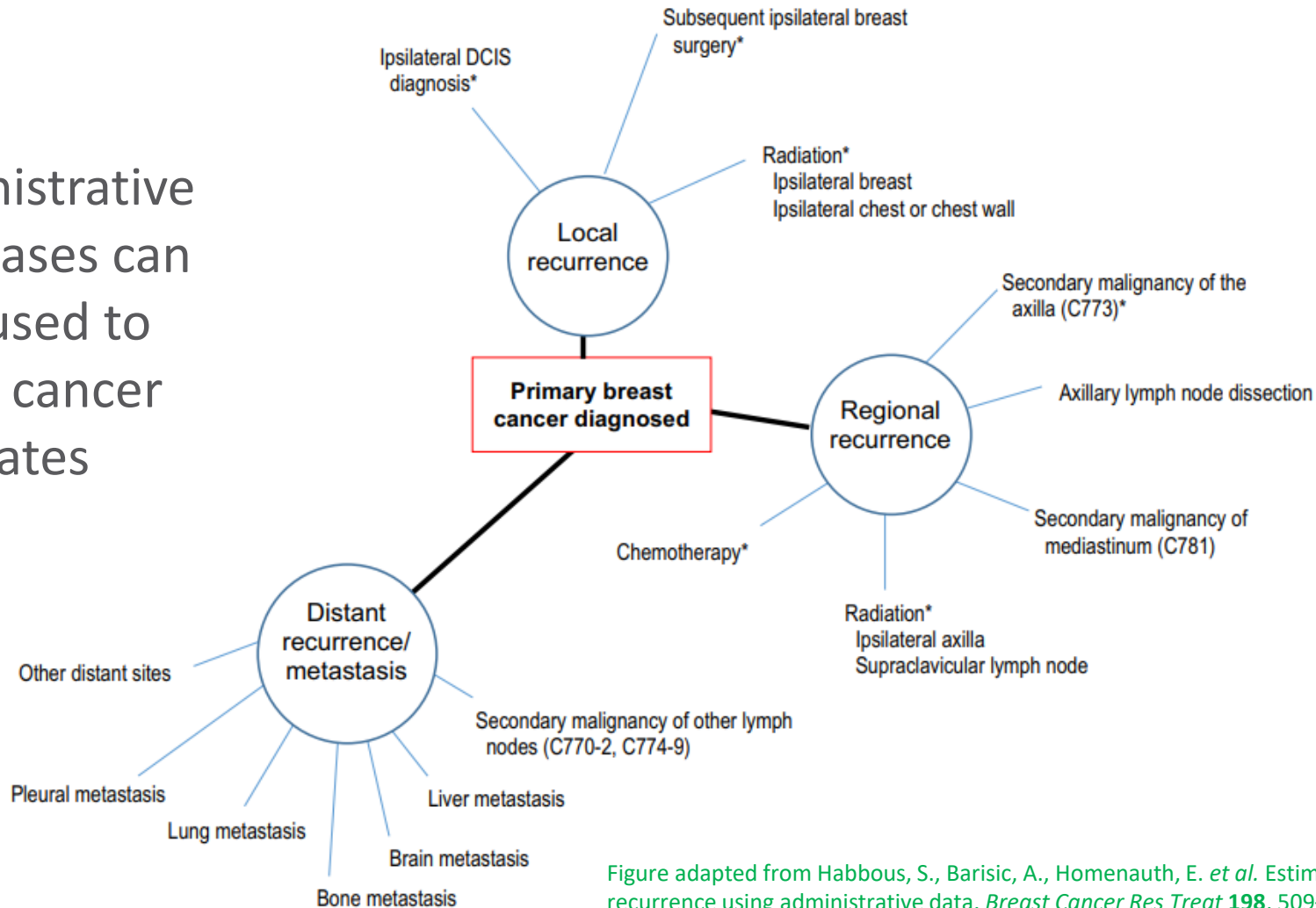


Figure adapted from Habbous, S., Barisic, A., Homenauth, E. *et al.* Estimating the incidence of breast cancer recurrence using administrative data. *Breast Cancer Res Treat* **198**, 509–522 (2023).

<https://doi.org/10.1007/s10549-022-06812-z>



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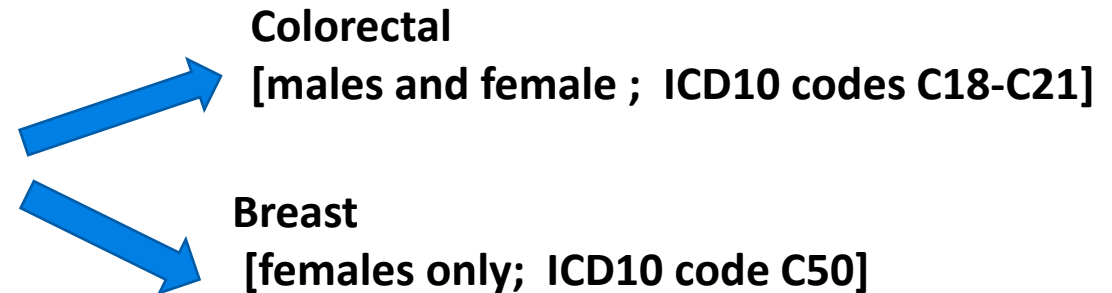
# Protocol (draft)

## Aim

To propose a feasible claims-based procedure to identify the **frequency and type of recurrences** among persons who are living after a diagnosis of cancer through a record-linkage of two data sources

1. population-based cancer registry
2. the health care administrative individual-record databases.

The pilot will be conducted for selected cancer sites



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# Protocol (draft)



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## Methods

### A) DATA SOURCE: POPULATION BASED-CANCER REGISTRY

-Identification of the population-based retrospective cohort

**REQUIRED DATA FROM ITALIAN AND EUROPEAN CENTRES\*:**

- **1. At least one year of incidence of the selected cancers during 2005-2015, with stage information (TNM) and (if available) other relevant prognostic factors (e.g., molecular profile);**
- **2. Incident cases must have at least 5 years of follow-up since the date of diagnosis**



# Protocol (draft)



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## Methods

### **B) DATA SOURCE: ADMINISTRATIVE HEALTH CARE DATABASE**

-Identification of the cancer recurrence

**REQUIRED DATA FROM ITALIAN AND EUROPEAN CENTRES:**

1. Hospital discharge database (HD);
2. Outpatient services database (OSD)

The list of HD and OSD Codes (ICD9-CM) that may indicate a cancer recurrence event will be selected with the collaboration of a multidisciplinary group (epidemiologists, oncologists, surgeons....)



# Protocol (draft)



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## Methods

### -Identification of the date of recurrence

The surveillance period to identify the recurrence through the selected administrative codes will start after a period of no evidence of progression or ongoing disease (remission period) and will be tumour-specific

Assuming that, during primary treatment, procedures follow each other at intervals shorter than 6 months, **the recurrence will be identified by the first procedure occurring at least 6 months after the end of primary treatment**

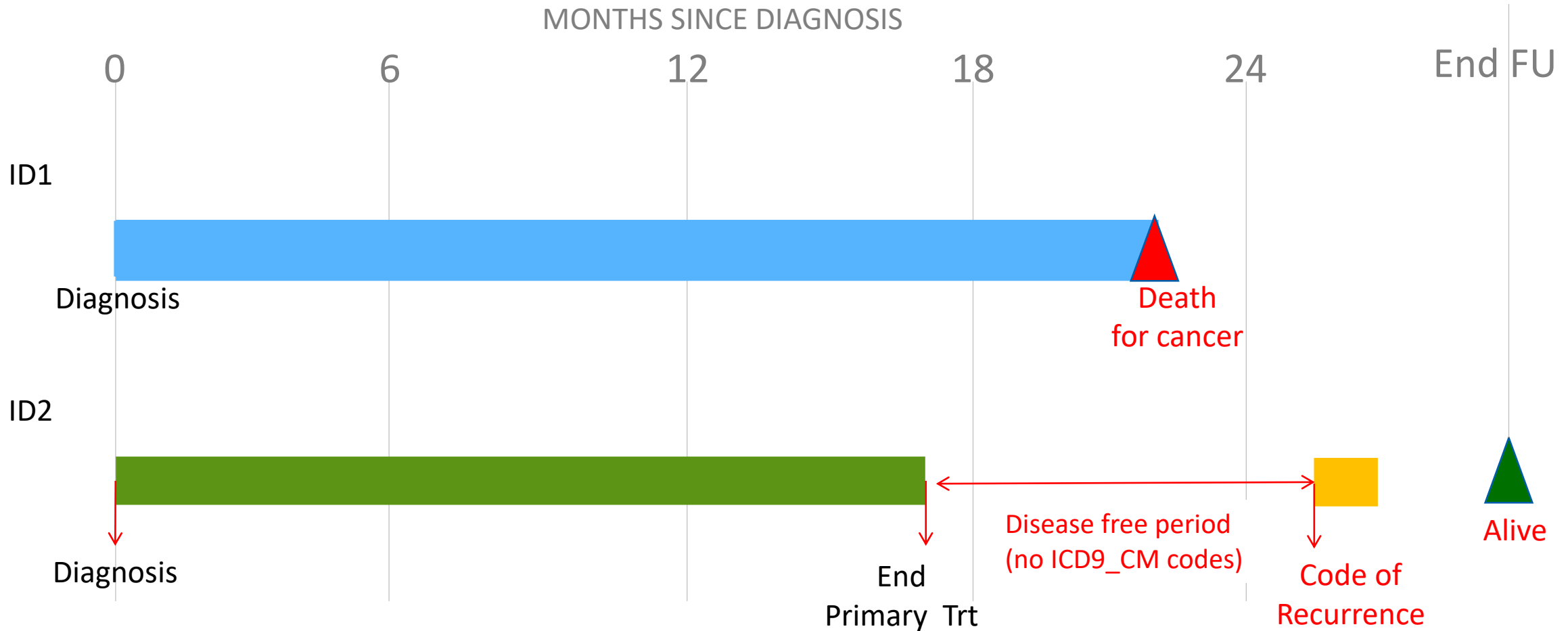
This time window can be adapted according to the schedule of primary treatments, as defined by current guidelines for the specific neoplasm.



# Methods:

## Pilot 8.4.b: Estimating cancer recurrence

### how to define cancer recurrence?



Two situation :

ID1 → patient with NO DISEASE FREE PERIOD: cancer PROGRESSION

ID2 → patient with DISEASE FREE PERIOD: cancer RECURRENCE

...secondary aims



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# Protocol (draft)

## Methods



This pilot study will also be able to allocate the patients after the diagnosis of primary cancer to the following groups

- Alive and recurrence-free:
- Dead before recurrence (for selected cancer or other causes)
- Second primary tumour before recurrence (alive, dead for selected cancer or dead for other causes)
- Alive with recurrence
- Died of selected cancer with recurrence
- Died of other causes with recurrence





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## PRELIMINARY RESULTS

# An application to Breast Cancer Data using Cancer Registries of Friuli Venezia Giulia and Veneto



### INCLUSION CRITERIA

- Women residents in FVG
- Breast cancer diagnosis code (ICD-10: C50)
- Incidence Period: 2004-2010
- Last follow-up: 31/12/2021

### EXCLUSION CRITERIA

- DCO and no follow-up
- Age 75+
- Previous or synchronous cancers
- Stage IV
- Missing Stage

**5825 women included with a median follow up of 13.5 years**



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### Examples of Hospital and Outpatient Administrative Codes (ICD9-CM) used to Identify Breast Cancer Recurrence



| Intervention | ICD9-CM CODE        | DESCRIPTION   | Database   |
|--------------|---------------------|---|--|
| Chemotherapy | <b>PROCEDURE</b>    |   |  |
|              | 99.25               | Injection or infusion of cancer chemotherapeutic substance                            | -Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera)  |
|              | 99.28               | Injection or infusion of biological response modifier YBRM as an antineoplastic agent | -Outpatient Services Database (Prestazioni Ambulatoriali)  |
|              | <b>DIAGNOSIS</b>    |   |  |
|              | V58.1               | Encounter for chemotherapy and immunotherapy for neoplastic conditions                | Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera)   |
|              | V58.11              | Encounter for antineoplastic chemotherapy   |  |
|              | <b>ICD9-CM CODE</b> | <b>DESCRIPTION</b>  |  |
| Radiotherapy | <b>PROCEDURE</b>    |   |  |
|              | 92.23               | Radioisotopic teleradiotherapy  | -Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera)<br>-Outpatient Services Database (Prestazioni Ambulatoriali) |
|              | 99.24               | Teleradiotherapy using photons  |  |
|              | 92.25               | Teleradiotherapy using electrons  |  |
|              | 92.26               | Teleradiotherapy of other particulate radiation                                       |  |
|              | 92.27               | Implantation or insertion of radioactive elements                                     |  |
|              | 92.28               | Injection or instillation of radioisotopes  |  |
|              | 92.29               | Other radiotherapeutic procedure  |  |
|              | <b>DIAGNOSIS</b>    |   |  |
|              | V580                | Radiotherapy  | Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera)   |
|              | <b>ICD9-CM CODE</b> | <b>DESCRIPTION</b>  |  |
|              | <b>PROCEDURE</b>    |   |  |
|              | 85.20               | Excision or destruction of breast tissue, not otherwise specified                     |  |
|              | 85.21               | Local excision of lesion of breast  |  |
|              | 85.22               | Resection of quadrant of breast   |  |
|              | 85.23               | Subtotal mastectomy   |  |
|              | 85.24               | Excision of ectopic breast tissue   |  |



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### Hospital and Outpatient Administrative Codes (ICD9-CM) used to Identify Breast Cancer Recurrence



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|   | ICD9-CM CODE | DESCRIPTION   |  |
|---|--------------|---|--|
| Malignant neoplasm of female Breast                               | 174.0        | Nipple and areola   | Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera) |
|   | 174.1        | Central portion   |  |
|   | 174.2        | Upper-inner quadrant  |  |
|   | 174.3        | Lower-inner quadrant  |  |
|   | 174.4        | Upper-outer quadrant  |  |
|   | 174.5        | Lower-outer quadrant  |  |
|   | 174.6        | Axillary tail   |  |
|   | 174.8        | Other specified sites of female breast                                  |  |
|   | 174.9        | Breast (female), unspecified  |  |
| Secondary and unspecified malignant neoplasm of lymph nodes       | 196.0        | Lymph nodes of head, face, and neck                                     | Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera) |
|   | 196.1        | Intrathoracic lymph nodes   |  |
|   | 196.2        | Intra-abdominal lymph nodes   |  |
|   | 196.3        | Lymph nodes of axilla and upper limb                                    |  |
|   | 196.5        | Lymph nodes of inguinal region and lower limb                           |  |
|   | 196.6        | Intrapelvic lymph nodes   |  |
|   | 196.8        | Lymph nodes of multiple sites   |  |
|   | 196.9        | Site unspecified Lymph nodes NOS  |  |
| Secondary malignant neoplasm of respiratory and digestive systems | 197.0        | Secondary malignant neoplasm of the lung                                | Hospital Discharge Database (SDO-Schede di Dimissione Ospedaliera) |
|   | 197.1        | Secondary malignant neoplasm of the mediastinum                         |  |
|   | 197.2        | Secondary malignant neoplasm of the pleura                              |  |
|   | 197.3        | Secondary malignant neoplasm of other respiratory organs                |  |
|   | 197.4        | Secondary malignant neoplasm of the small intestine, including duodenum |  |
|   | 197.5        | Secondary malignant neoplasm of the large intestine and rectum          |  |
|   | 197.6        | Secondary malignant neoplasm of the retroperitoneum and peritoneum      |  |
|   | 197.7        | Secondary malignant neoplasm of the liver                               |  |
|   | 197.8        | Secondary malignant neoplasm of the other digestive organs and spleen   |  |

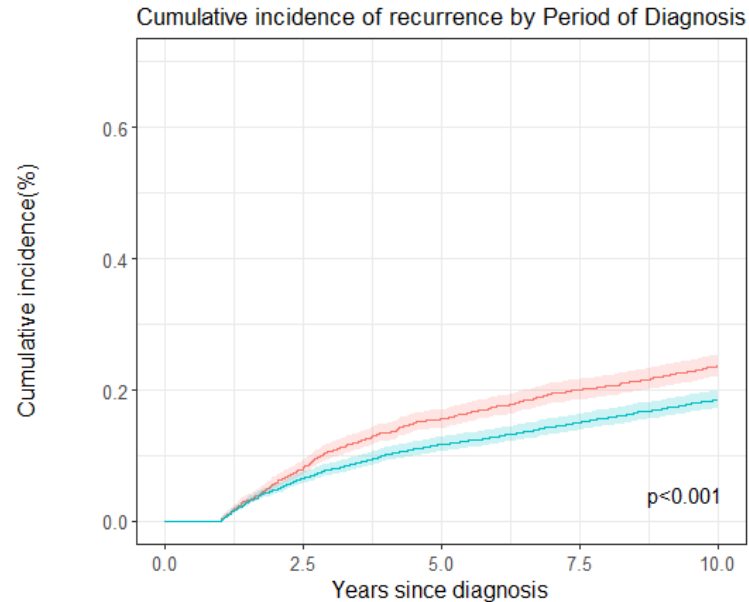
ICD9-CM = International Classification of Diseases 9th Revision codes: Centers for Disease Control and Prevention. International Classification of Diseases, 9th Revision, Clinical Modification (ICD9-CM). <https://www.cdc.gov/nchs/icd/icd9cm.htm>. Published 2016. Accessed 4 Nov 2020



# Application to Breast Cancer Data

## PRELIMINARY RESULTS

The **overall recurrence rate** in the cohort was **23.9 per 1000 py**  
**10-year cumulative recurrence (CI) was 20.8%**

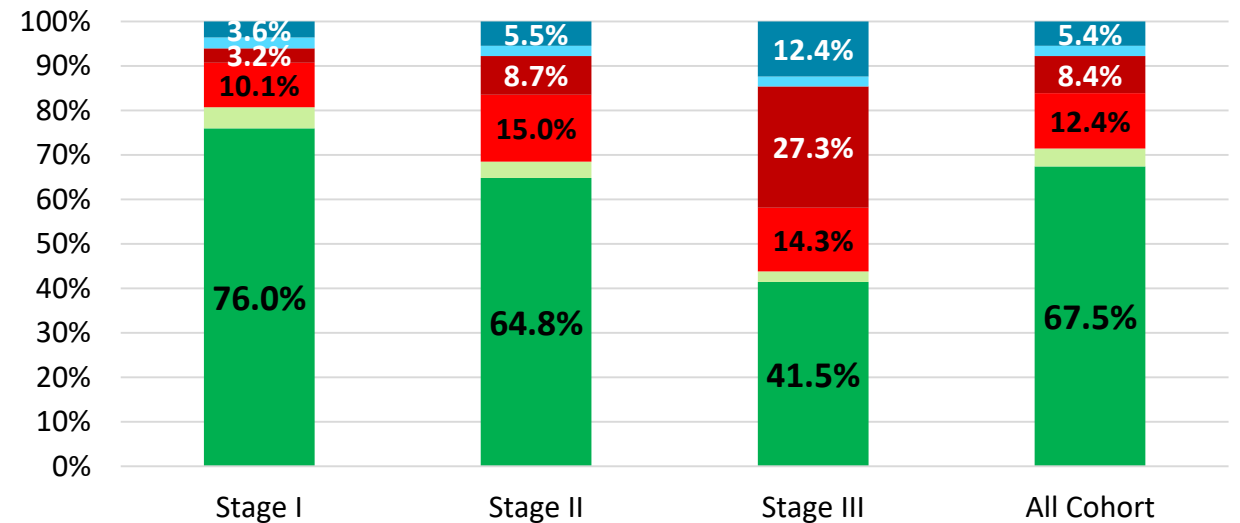


10-year Cumulative Incidence of recurrence decreased over time: **from 23.7%** in the 2004-2006 period **to 18.5%** in the 2007-2010 period of diagnosis

### At 10 years since diagnosis

- **84%** of the women **were alive**
- **68% were alive and without recurrence or other primary tumors**

### Follow-up of women with BC at 10 years since diagnosis by TNM Stage



■ Alive events free
 ■ Alive with second tum
 ■ Alive with recurrence
 ■ Dead with recurrence
 ■ Dead and second tum
 ■ Dead no recurrence

## Remarks and Conclusions



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### Future developments:

- to complete the detailed protocol for estimation of cancer recurrence using administrative datasets
- to validate the procedure with clinical charts
- to include additional administrative databases (Drug Prescriptions database (DP) , Pathology Registry, ...)



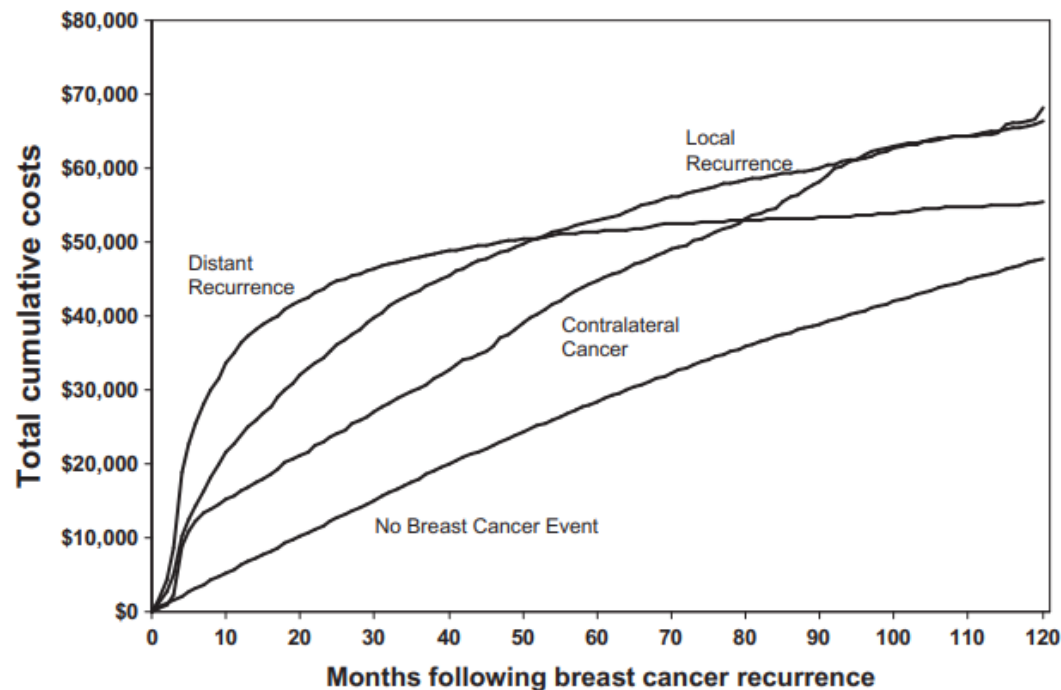
# Remarks and Conclusions



This project may be used also for the **estimation of costs** in specific group of patients

Expected cumulative costs of care over 10 years among patients experiencing selected breast cancer events versus no breast cancer event

Volume 11 • Number 2 • 2008  
VALUE IN HEALTH



## Ten-Year Survival and Cost Following Breast Cancer Recurrence: Estimates from SEER-Medicare Data

Michael E. Stokes, MPH,<sup>1</sup> David Thompson, PhD,<sup>1</sup> Eduardo L. Montoya, BA,<sup>1</sup> Milton C. Weinstein, PhD,<sup>1,2</sup>  
Eric P. Winer, MD,<sup>3</sup> Craig C. Earle, MD, MSc<sup>3</sup>

<sup>1</sup>i3 Innovus, Medford, MA, USA; <sup>2</sup>Department of Health Policy and Management, Harvard School of Public Health, Boston, MA, USA;  
<sup>3</sup>Dana Farber Cancer Institute, Boston, MA, USA

Total expected cumulative costs for

Local/distant recurrence  
**\$176,243**

No recurrence  
**\$42,005**

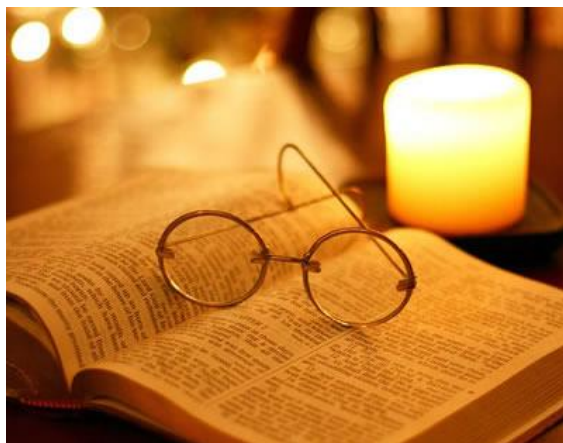


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# Thank you for the attention



**Questions and proposals are welcome ...**

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